Public Company and Corporate Governance

Sound corporate governance is an essential pillar of any successful business. Our corporate governance team is a trusted advisor to leading and emerging companies and government entities on corporate governance matters, providing strategic advice and guidance.

We offer comprehensive counsel and representation to public and private companies concerning the regulatory landscape and best practice for corporate governance matters. We assist clients with implementing new governance statutes, rules and regulations; auditing compliance; evaluating disclosure issues; investigating allegations of wrongdoing; and responding to civil, administrative or criminal actions. We also provide board and management continuing education programs.

We have significant experience in advising senior management, boards of directors and special committees of public companies of all sizes on governance matters. Others have experience in areas that directly concern governance issues, such as government controversies (internal investigations and regulatory enforcement proceedings), white collar criminal defence, government affairs, securities litigation and executive compensation.

We also have considerable experience in advising shareholders of listed and unlisted companies on best practice in relation to corporate governance issues. We advise clients on:

- Specifying the distribution of rights and responsibilities for stakeholders, board members, committees and managers
- Specifying the rules and establishing procedures for making decisions among stakeholders, board members, committees, managers and auditors, and
- Implementing structures for corporate governance so that the shareholder objectives can be met.

From an institutional investor perspective, we also recognize and advise investors on the importance of governance as a mechanism for monitoring the actions, policies and decisions of corporations in order to achieve an alignment of interests among stakeholders.

CAPABILITIES

Our corporate governance services cover:

- Board structure and performance monitoring

KEY CONTACTS

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DLA Piper is a global law firm operating through various separate and distinct legal entities. Further details of these entities can be found at www.dlapiper.com. This may qualify as
Conflicts of interest
Compliance best practice, auditing and training
Design and review of corporate governance programs
Review of corporate governance structures within the compliance framework
Disclosure of price-sensitive market information
Directors’ and Officers’ duties
Protection of company funds from non-arm's length, related party dealings
Remuneration and indemnification of Directors
Reporting obligations
Risk management and minimisation

For shareholders:
Obligations of shareholders as owners
Shareholder rights to engage with management
Shareholder activism

EXPERIENCE

We recently advised a UK bank subsidiary of a non-EU parent company who required advice in the context of a larger overseas restructuring, which gave the FSA (“Financial Services Authority”) concerns about the protection of the UK bank’s assets. As a result of these concerns, the FSA imposed an own-initiative variation of permission (“OIVOP”) on the UK bank which prohibited it from transferring assets to the parent or affiliated group companies without the permission of the FSA.

DLA Piper was particularly suitable for this instruction because of our strong reputation for understanding banking businesses and financial services clients and of how the regulators approach their supervisory powers in the context of stressed situations.

The firm provided advice in relation to:
the UK bank’s obligations to the FSA;
the UK bank’s obligations to the to its overseas parent; and
the UK bank’s board members on their obligations as board directors to:
  - the FSA under UK financial services regulatory law and under the OIVOP;
  - to the UK bank, as directors subject to English company law; and
  - to the parent company, as directors of a subsidiary of the parent.

This involved advising the client on the implications of the OIVOP for the client’s freedom of action at board level and of their obligations under FSA senior management requirements and UK company law. In doing so, advice was provided in relation to aspects of the FSA regulatory environment, the UK company law framework and the company law framework of the non EU parent company.

We also participated in meetings between the client and the FSA (at Head of Department level) in the context of the imposition of the OIVOP, which required an enhanced understanding of SYSC (Senior Management Arrangements, Systems and Controls), SUP (Supervision Manual), APER (Code of Practice for Approved Persons) and the FSA Principles for Businesses as well as the FSA’s supervisory tools and enforcement options.

In addition, we advised the client with regard to their interactions with their UK clearing bank and the implications of the OIVOP for their clearing and for their day to day transactions. We also assisted the client's board in managing its relationship with its parent in order to explain the implications of the OIVOP for normal parent/subsidiary relationships and governance.

INSIGHTS

DLA Piper is a global law firm operating through various separate and distinct legal entities. Further details of these entities can be found at www.dlapiper.com. This may qualify as
Publications

Contracting for the climate: The Climate Contract Playbook is a trove of climate clauses

11 November 2020
Contracts have become an essential vehicle for companies seek to mitigate their environmental risks and limit their carbon footprints.

New York Department of Financial Services calls on financial institutions to consider climate change risk

9 November 2020
Investors increasingly view climate as an area of business risk, and regulators are viewing it as a supervisory risk as well.

Assessing ESG factors in the energy sector

27 October 2020
ESG HANDBOOKS AND GUIDES
A reference tool for energy companies as they discuss and refine their ESG programs.

Blockchain and Digital Assets News and Trends

21 October 2020
BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS
SDNY finds Kin token is a security; unpacking the DOJ's cryptocurrency guidance – plus latest legal, regulatory and case law developments.

Director’s and officer’s duties in Canada

14 October 2020
Directors and officers of a corporation are generally protected from personal liability by the “corporate veil.” This means that the corporation is the legal “person” that is held liable, not the individuals tasked with managing and running the corporation. In exchange for the protection provided by the corporate veil, Canadian law imposes a wide range of duties on a corporation’s directors and officers. This article provides high level information on two overarching duties applicable to directors and officers of Canadian companies: a fiduciary duty and a duty of care.

Expiry of temporary suspension of shareholder meeting deadlines in Alberta

28 AUG 2020
The temporary suspension of the deadline to hold an in-person annual shareholder meeting expired on August 14, 2020. Alberta incorporated corporations are once again required to hold annual shareholder meetings that were suspended.
21 August 2020
BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS
California appellate court affirms judgment for Coinbase in lawsuit over Bitcoin Gold – plus latest legal, regulatory and case law developments.

US moves forward on enhanced securities regulations focusing on Chinese companies
17 August 2020
Latest developments in US efforts to address the financial risks posed by emerging market companies.

Alberta to remove directors residency requirements
30 JUL 2020
A new omnibus Bill in Alberta (Bill 22) provides for changes to the Business Corporations Act (Alberta) (the ABCA) and the Companies Act (Alberta) to remove Canadian residency requirements for directors of corporations governed under those statutes.

Blockchain and Digital Assets News and Trends June 2020
18 June 2020
BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS
World Economic Forum announces Presidio Principles as a foundation of blockchain ecosystem design – plus latest legal, regulatory and case law developments.

World Economic Forum announces Presidio Principles as a foundation of blockchain ecosystem design
18 June 2020
WEF’s foundational values for a decentralized future.

Boardroom Brexit - 15 June 2020
15 June 2020
BOARDROOM BREXIT
Boardroom Brexit - Britain rules out extension (15 June 2020).

Preparing for global class actions arising from COVID-19
28 May 2020
The risk to companies of global and cross-border class action and collective redress proceedings is rising.

Chinese and other emerging market companies listed in the US face increased scrutiny from Congress and
Nasdaq
27 May 2020
Within a span of two days, the US Senate, House and Nasdaq each took steps to safeguard investors in the US capital markets.

Blockchain and Digital Assets News and Trends
20 May 2020
BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS
California may limit application of securities laws to tokens, French court says bitcoins are fungible assets – plus latest legal, regulatory and case law developments.

Coronavirus: Directors’ duties and making decisions in a crisis (Australia)
4 May 2020
Directors need to carefully consider the risks of the COVID-19 outbreak within their business, given its impact on the global economy. As many now face significant, and increasing, cash flow pressure, directors should carefully consider their actions in the context of the legal framework.

In this new guide we have set out the practical steps directors should be taking to protect their company and its business going forwards.

US securities regulators focus on China and emerging markets: Further risk and disclosure considerations are necessary
4 May 2020
Key takeaways for China-based US-listed companies.

BC company shareholder meetings during the COVID-19 pandemic — temporary rules permit virtual-only meetings and delays in annual meetings
30 APR 2020
The British Columbia government has recognized that business as usual with regard to shareholder meeting requirements for BC companies would be problematic. By order of the BC Minister of Public Safety and Solicitor General, BC has temporarily embraced hosting shareholder meetings by solely virtual means. Similarly, the BC Registrar of Companies will be deferential to requests for delaying annual general meetings of shareholders for BC companies and is providing a simplified process to make such requests.

Post-COVID-19: What to expect in the "next normal"
30 April 2020
Issues that are front of mind, based on an informal survey of some of the largest companies and most influential global business leaders.
COVID-19: conducting internal investigations in remote work settings

24 April 2020
For companies conducting internal investigations or responding to an investigation, practical solutions in a time of distancing.

Corporate commitment to compliance helps bank avoid FCPA charges despite alleged $4.5M bribery scheme

24 April 2020
The SEC’s complaint provides insight into what made the compliance program so effective that the individual’s former employer avoided government sanctions.

Declaration and payment of dividends in a time of economic uncertainty

23 April 2020
Delaware case law provides guidance for boards facing challenging economic pressure regarding dividends.

US antitrust enforcers on high alert for collusion in labor markets during COVID-19 pandemic

21 April 2020
ANTITRUST AND COMPETITION: NOVEL ISSUES IN A POST-CORONA VIRUS WORLD

Antitrust enforcers are closely monitoring employer coordination to disadvantage workers.

Investment adviser compliance in the COVID-19 pandemic – pay attention to valuation and strategy deviation issues

17 April 2020
Investment advisers still must comply with their obligations to maintain and implement fair pricing policies and procedures and follow their disclosed investment strategies.

US CPSC advises consumers certain recall remedies may be unavailable due to COVID-19 – four key takeaways

15 April 2020
Guidance will evolve as the pandemic develops, and CPSC-regulated firms are encouraged to consider these actions.

Contract analysis in a crisis: flowcharts

7 April 2020
Flowcharts providing considerations for analyzing commercial contracts in the context of the COVID-19 pandemic through a logical process flow that can serve as a practical checklist.
SEC provides additional filing relief and disclosure guidance in light of COVID-19

6 April 2020
In addition, the Division of Corporation Finance issued disclosure guidance regarding the impact of the pandemic.

The barbarians are coming ... time for public companies to reinforce the gate

2 April 2020
While it is far too early to understand or evaluate the true impact that the COVID-19 outbreak may have on the M&A market, for so long as market prices remain depressed, public companies are encouraged to remain cognizant of the potential threat of coercive takeover attempts.

eSignature and ePayment News and Trends

March/April 2020
ESIGNATURE AND EPAYMENT NEWS AND TRENDS
COVID-19 special edition

Annual shareholder letter considerations and guidance

1 April 2020
This alert provides an update on practice as of the date of publication as well as general guidance and insights to assist boards of directors and senior management of US public companies as they evaluate their communication strategies.

Coronavirus: Warning from SEC on insider trading highlights importance of disclosure controls during the COVID-19 pandemic

31 March 2020
A statement on March 23 warned that the SEC would be on heightened alert for signs of insider trading and other misconduct that might harm investors.

ESG: The rise of private ordering and the role of the NCGC committee (United States)

26 March 2020
ESG HANDBOOKS AND GUIDES
This inaugural ESG handbook, part of our 2020 Proxy Season Hot Topics series, aims to help public companies as they develop and maintain a robust ESG program.

Blockchain and Digital Assets News and Trends

25 March 2020
BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS
The age of viral outbreaks – key contract considerations in a post-COVID-19 world, plus latest legal, regulatory and case law
developments around blockchain and digital transformation.

Coronavirus: business resilience and continuity planning

19 March 2020
Prudent companies understand that their response to the COVID-19 pandemic should be consistent with their business resilience plans.

Coronavirus: stock repurchase considerations and guidance for US public companies

18 March 2020
General guidance and insights to assist boards of directors and senior management of US public companies as they evaluate potential stock repurchases.

Coronavirus: SEC provides guidance for conducting annual meeting (United States)

17 March 2020
The SEC provides a process for companies to notify shareholders of a change in date, time or location of the annual meeting and guidance on the holding of virtual or hybrid annual meetings and the presentation of shareholder proposals.

Considerations for virtual annual shareholder meetings in light of the coronavirus (United States)

6 March 2020
With the proxy season upon us, many public companies are grappling with how to responsibly hold annual shareholder meetings in light of the COVID-19 outbreak.

SEC provides filing extension for companies affected by coronavirus (United States)

5 March 2020
Companies that file public reports that are unable to meet filing deadlines due to the COVID-19 outbreak will have an additional 45 days to file.

Coronavirus considerations and guidance for US public companies (United States)

3 March 2020
While the situation remains fluid and the specific impacts on most companies are uncertain, the coronavirus COVID-19 outbreak may create risks in all aspects of business.

COP25’s key outcome: adoption of the San Jose Principles on carbon market mechanisms – takeaways for business

12 February 2020
Ambitious countries are not waiting for full consensus on carbon markets.

2019 Proxy Season Hot Topics: Part 3 − SEC hedging rules, auditor report, Form 10-K changes

15 FEB 2019
PROXY SEASON HOT TOPICS
New SEC hedging rules, changes to the auditor’s report, changes to Form 10-K cover pages and exhibit hyperlinks.

eSignature and ePayment News and Trends

20 DEC 2018
ESIGNATURE AND EPAYMENT NEWS AND TRENDS
In this issue, digitally transforming your business – the year’s big legal and regulatory developments and breaking news.

Top of mind: Litigation

10 DEC 2018
Our coverage of big compliance, enforcement and litigation issues that businesses are thinking about this quarter.

International tax and withholding considerations for US companies and their directors

19 MAY 2015
Multinationals commonly elect boards with international representation; it is also common to convene board meetings outside the US. US companies that do either – or both – of these things must be mindful of any resulting US or foreign tax implications

Corporate governance also means protecting your technology and information

7 MAR 2013

Conflict mineral reporting rules impact many public companies: new supply chain requirements and new Form SD

19 Sep 2012
Understanding the new reporting requirements.

NEWS

DLA Piper advises Space Capital on investment in technology start-up bliq
27 October 2020
DLA Piper has advised US venture capital investor Space Capital on its investments in German technology start-up, bliq. The investment was part of bliq’s Series Seed 2 financing round.

DLA Piper represented Acreage Holdings in implementation of amended arrangement with Canopy Growth

6 October 2020
On September 23, 2020, Canopy Growth Corporation and Acreage Holdings, Inc. announced the implementation of Acreage’s previously-announced amended arrangement under section 288 of the Business Corporations Act.

DLA Piper (Canada) LLP joins Canadian Prime Minister Justin Trudeau and Ontario Premier Doug Ford in congratulating Sumitomo Metal Mining on official ground-breaking for Côté Gold Project

15 September 2020
DLA Piper (Canada) LLP congratulates our valued client, Sumitomo Metal Mining Co., Ltd., together with its joint venture partner, IAMGOLD Corporation, on the occasion of the official ground-breaking ceremony at the Côté Gold Project.

DLA Piper (Canada) LLP recognized by Chambers Canada 2021

10 September 2020
DLA Piper (Canada) LLP is pleased to see 25 of our lawyers recognized across 16 practice areas in the 2021 edition of Chambers Canada.

DLA Piper (Canada) LLP acted for DIF Capital Partners in 900 MW Canadian power project investment

31 AUG 2020
DLA Piper (Canada) LLP acted on behalf of DIF Capital Partners in connection with its investment in the 900-megawatt Cascade Power Project. DIF will invest in the construction of Cascade together with joint equity sponsors OPTrust and Axium Infrastructure.

DLA Piper (Canada) LLP gold sponsor of Spring Activator’s 2020 Impact Investor Challenge

31 AUG 2020
DLA Piper Canada is pleased to be a Gold Sponsor and Legal Partner for Spring Activator’s Impact Investor Challenge. The Impact Challenge connects local impact investors, key players in the Vancouver startup ecosystem, and emerging mission-driven companies. DLA Piper Canada lawyers will be the sole legal team providing legal advice to the program’s investors.

DLA Piper (Canada) LLP launches Black and Indigenous Business Law Clinic

28 AUG 2020
DLA Piper Canada is pleased to announce the launch of a pro bono business law clinic dedicated to providing Black and Indigenous businesses with corporate and commercial legal advice.
DLA Piper Canada increases rankings in 2021 edition of *Best Lawyers in Canada*

28 AUG 2020
DLA Piper (Canada) LLP is pleased to see 79 of our lawyers across 35 practice areas recognized in the 2021 *Best Lawyers in Canada* guide, resulting in our best showing with the guide since its inception.

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**DLA Piper advises AS Roma in its sale to The Friedkin Group for €591 million**

17 August 2020
DLA Piper represented AS Roma SPV, LLC, the majority shareholder of Italian soccer club AS Roma, in the sale of its controlling interest in the team and certain related assets to The Friedkin Group, Inc., in a transaction valued at €591 million.

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**DLA Piper advises Syngenta on the acquisition of South African Sensako Group**

17 August 2020
DLA Piper has advised Swiss-based Syngenta Group on its acquisition of Sensako Group, a South African seeds company engaged in the breeding and the commercialization of agronomic crops.

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**DLA Piper Canada advised Askott Entertainment in its acquisition by FansUnite Entertainment**

12 AUG 2020
FansUnite Entertainment Inc., a sports and entertainment company listed on the Canadian Stock Exchange, has completed its acquisition of Askott Entertainment Inc. Askott is a Vancouver based software development company operating since 2013, which focuses on creating both B2B and B2C betting products in the rapidly growing esports industry.

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**DLA Piper advises Heidelberger Druckmaschinen on the sale of MIS software provider CERM**

6 August 2020
DLA Piper has advised Heidelberger Druckmaschinen AG (Heidelberg) on the sale of CERM, a global provider of Management Information System (MIS) software for the printing industry, in a Management Buyout (MBO).

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**DLA Piper (Canada) LLP congratulates Sumitomo Metal Mining on USD1.4 billion construction decision for Côté Gold Project**

28 JUL 2020
DLA Piper (Canada) LLP congratulates our valued client Sumitomo Metal Mining Co., Ltd. on its announcement on July 21, 2020 of the USD1.4 billion construction decision to build the Côté Gold Project in Ontario with its joint venture partner, IAMGOLD Corporation.

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**DLA Piper advises Episode Six on its USD7 million Series A financing**

22 July 2020
DLA Piper has advised Episode Six, a next-generation financial technology provider, on its recent USD7 million Series A funding. The round was led by HSBC and includes investments from Mastercard and SBI Investment Co., Ltd., which first invested in

Sandra Mah recognized in the 2020 Lawyer Monthly Women in Law Awards

23 JUL 2020
DLA Piper (Canada) LLP is pleased to announce that Sandra Mah has been recognized in the 2020 Lawyer Monthly Women in Law Awards for her outstanding contribution and expertise in the area of Corporate Tax.

DLA Piper (Canada) LLP welcomes Partner Denis G. Silva to the Vancouver office

13 JUL 2020
DLA Piper (Canada) LLP is delighted to announce that Denis G. Silva has joined the firm as a Partner in the Vancouver office. Denis focuses his practice on corporate finance and mergers and acquisitions and has extensive experience advising public and private clients in the mining, technology and financial sectors on a full range of business law matters.

DLA Piper advises Katoen Natie on the acquisition of Nijhof Wassink

7 July 2020
DLA Piper has advised Katoen Natie, an international logistics service provider and port operator, on the acquisition of the warehousing activities of Nijhof-Wassink in Poland, a family-owned company specialising in logistics.

DLA Piper announces partnership promotions for 2020

30 April 2020
DLA Piper is proud to announce that 67 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2020 in the United States and May 1, 2020 for EMEA and Asia Pacific. The promotions have been made across many of the firm’s practice areas in 35 different offices throughout 13 countries.

Across the firm’s practices globally, Corporate saw the largest intake of new partners with 19 promotions, followed by Litigation and Regulatory with 15. Intellectual Property and Technology and Finance and Projects had ten and eight promotions respectively, while there were six in Real Estate. Tax and Employment both had four, and there was one in Restructuring.

DLA Piper (Canada) LLP increases rankings in the 2020 Canadian Legal Lexpert Directory

24 APR 2020
DLA Piper (Canada) LLP is pleased to announce that we have significantly increased the number of lawyers ranked as leading practitioners in the 2020 edition of the Canadian Legal Lexpert Directory.

DLA Piper (Canada) LLP acted for DIF Infra 3 Canada in sale to Innisfree

31 MAR 2020
DLA Piper (Canada) LLP acted on behalf of DIF Infra 3 Canada Ltd. in connection with its sale to Innisfree of DIF Infra 3 Canada Ltd’s interest in ISL Health (Victoria) General Partnership, effective March 30, 2020.
On March 23, 2020, Charlotte’s Web Holdings, Inc. announced that they have entered into a definitive arrangement agreement with Abacus Health Products, Inc. in which Charlotte’s Web would acquire all of the issued and outstanding shares of Abacus. DLA Piper Canada is pleased to be acting for Charlotte’s Web on this transaction.

With the addition of six new lawyers to our Vancouver office since the beginning of 2020, DLA Piper Canada has significantly expanded upon its capabilities in the Startups, Emerging Companies and Technology practice.

Acritas has named over 200 DLA Piper lawyers as 2020 Acritas Stars. Now in its fourth year, Acritas Stars highlights the stand-out lawyers in private practice as nominated by clients around the world. More than 3,000 senior in-house counsel feed into the nomination process to give a comprehensive view of highly recommended lawyers across the globe.

DLA Piper (Canada) LLP is pleased to have acted on two of the transactions included in Lexpert magazine’s “Top 10 Deals of 2019.”

DLA Piper was the only firm to rank among the top five most active law firms for global deal volume in each of private equity, venture capital and M&A.

DLA Piper (Canada) LLP and nine of its practitioners have been recognized in the 2020 edition of Chambers Global.

DLA Piper (Canada) LLP is pleased to announce that Becky Rock has joined the firm as an Associate in the Vancouver office.
DLA Piper (Canada) LLP welcomes Partner Michael E. Reid to the Vancouver office

1 FEB 2020
DLA Piper (Canada) LLP is pleased to announce that Mike Reid has joined the firm as a Partner in the Vancouver office. Mike practises technology-related business law, with a particular focus on corporate, commercial and information technology matters.

DLA Piper (Canada) LLP acted for G&W Distillery in acquisition by Labatt

29 JAN 2020
DLA Piper Canada acted on behalf of the vendors in connection with the acquisition of Goodridge & Williams Distillery by Labatt Breweries of Canada, effective January 27, 2020.

DLA Piper (Canada) LLP welcomes Maneesha Dakha to the Vancouver office

29 JAN 2020
DLA Piper (Canada) LLP is pleased to announce that Maneesha Dakha has joined the firm as an Associate in the Vancouver office. Maneesha practises corporate/commercial law and assists clients with general corporate commercial matters, purchase and sale transactions, mergers and acquisitions and corporate governance matters.

DLA Piper advises GS Engineering & Construction in its EUR140 million acquisition of Danwood Holding from Enterprise Investors

28 January 2020
DLA Piper advised GS Engineering & Construction, a leading global construction company based in South Korea, on the acquisition of 100% of the shares in Danwood Holding from Polish Enterprise Fund VII, a private equity fund managed by Enterprise Investors. The value of the transaction is EUR140 million.

DLA Piper Canada advised Granite Oil in its transaction with International Petroleum Corporation

24 JAN 2020
On January 20, 2020 Granite Oil Corp. announced that it had entered in an arrangement agreement with International Petroleum Corporation providing for the acquisition by IPC of the issued and outstanding shares of Granite Oil for cash consideration of $0.95 per Share. DLA Piper (Canada) LLP acted as legal advisor to Granite Oil for the transaction.

DLA Piper acting for Pinnacle Renewable Energy in partnership with Westervelt to develop pellet plant in the US Southeast

20 JAN 2020
Pinnacle Renewable Energy Inc. has entered into a partnership with The Westervelt Company and Two Rivers Lumber Co., LLC to develop a new industrial wood pellet production facility in Demopolis, Alabama. DLA Piper are acting as counsel to Pinnacle.

DLA Piper (Canada) LLP welcomes Tyson Gratton

13 JAN 2020
DLA Piper (Canada) LLP is pleased to welcome Tyson Gratton to the firm’s Vancouver office as an associate in the Corporate group. Tyson’s practice focuses primarily on assisting emerging and growth stage companies manage corporate governance matters, negotiate contracts and licensing agreements, navigate growth financing, and facilitate routine corporate commercial matters.

DLA Piper (Canada) LLP welcomes Morgan McDonald to the Vancouver office

8 JAN 2020
DLA Piper (Canada) LLP is pleased to announce that Morgan T. McDonald has joined the firm as Counsel in the Vancouver office. Morgan’s practice is focussed on assisting founders, startup and growth companies in the technology sector, including software, gaming, ecommerce and related technology facing businesses and emerging markets including artificial intelligence, cloud computing and privacy.

DLA Piper tops list for global M&A deal volume for tenth straight year

8 January 2020
For the tenth consecutive year, DLA Piper was the highest ranked legal advisor in the world for M&A deal volume, according to Mergermarket's league tables.

DLA Piper (Canada) LLP welcomes Partner Ryan J. Black

1 JAN 2020
DLA Piper (Canada) LLP is pleased to announce that Ryan Black has joined the firm as Partner in the Vancouver office. Ryan practises technology-related corporate law, with a particular focus on internet technologies, ecommerce, video games and esports.

DLA Piper (Canada) LLP welcomes three new partners

1 JAN 2020
DLA Piper (Canada) LLP welcomes three new additions to the partnership, effective January 1, 2020.

Jarrod Isfeld appointed Office Managing Partner of the Calgary office

1 JAN 2020
DLA Piper (Canada) LLP is pleased to announce that, effective January 1, 2020, Jarrod Isfeld will become the Office Managing Partner of the Calgary office.
Onex Corporation completes acquisition of WestJet Airlines Ltd.

19 DEC 2019
Onex Corporation and WestJet Airlines Ltd. announced on December 11, 2019 that they have completed their previously announced purchase agreement valued at approximately $5 billion including assumed debt. DLA Piper Canada acted as counsel for Onex Corporation on various aspects of what is the largest private equity purchase of an airline in aviation history, including with respect to aviation law, financing matters and corporate law matters.

DLA Piper Canada advises Beanfield Technologies in its acquisition by Digital Colony

20 NOV 2019
Digital Colony Capital, Inc. acquired Canadian independent bandwidth infrastructure provider Beanfield Technologies for an undisclosed amount. DLA Piper Canada acted as legal advisor to Beanfield.

DLA Piper (Canada) LLP recommended in the 2020 edition of the Legal 500 Canada

14 NOV 2019
DLA Piper (Canada) LLP has been recommended across a broad range of practice areas in the latest edition of the Legal 500 Canada.

Russel Drew named a 2019 Lexpert Rising Star

8 NOV 2019
DLA Piper (Canada) LLP is pleased to announce that Russel Drew from the firm’s Toronto office has been named a 2019 Lexpert Rising Star.

DLA Piper advises Seequent Limited on its acquisition of GEOSLOPE

4 NOV 2019
DLA Piper has advised Accel-KKR and its portfolio company, Seequent Limited, in connection with its acquisition of GEOSLOPE International Ltd., a Canadian-based company that creates integrated, geotechnical analysis software.

DLA Piper’s cross-border team advises Althea on capital raising and acquisition of Peak Processing Solutions

18 October 2019
Global law firm DLA Piper has advised ASX listed medicinal cannabis company, Althea Group Holdings Ltd (ASX: AGH), on its acquisition of Canadian extraction and contract manufacturing company Peak Processing Solutions, together with Althea’s associated AUD30 million capital raising.

DLA Piper (Canada) LLP welcomes Partner Alex C. Roberts

10 OCT 2019
DLA Piper (Canada) LLP is pleased to announce that Alex Roberts has joined the firm as Partner in the Toronto office.
DLA Piper (Canada) LLP welcomes Associate Counsel Alan Monk

1 OCT 2019
DLA Piper (Canada) LLP is pleased to announce that Alan Monk has rejoined the firm as Associate Counsel in the Vancouver office. Alan has a broad-based corporate/commercial practice with a focus on mergers and acquisitions, corporate finance and securities law.

DLA Piper (Canada) LLP recognized by Chambers Canada 2020

20 Sep 2019
DLA Piper (Canada) LLP is pleased to be recognized as an industry leader in the 2020 edition of Chambers Canada.

DLA Piper (Canada) LLP welcomes new associate Lee K. Axford

16 SEP 2019
DLA Piper (Canada) LLP is pleased to welcome Lee Axford to the firm’s Edmonton office as an associate in the Corporate group.

DLA Piper adviseert The Carlyle Group bij investering in HSO Group

6 September 2019
DLA Piper heeft The Carlyle Group geadviseerd bij de investering in HSO Group. Het eigen vermogen voor de investering kwam van Carlyle European Technology Partners III Fund (CETP), een fonds van EUR635 miljoen dat investeert in technologiegerichte bedrijven in Europa en de VS.

DLA Piper advises The Carlyle Group on its investment in HSO Group

6 September 2019
DLA Piper has advised The Carlyle Group on its investment in HSO Group. Equity for the investment is provided by Carlyle European Technology Partners III Fund (CETP), a EUR635 million fund that invests in technology-focused companies in Europe and the US.

DLA Piper Canada lawyers recognized in 2020 edition of Best Lawyers in Canada

21 AUG 2019
DLA Piper (Canada) LLP is pleased to see 70 of our lawyers across 34 practice areas recognized in the 2020 Best Lawyers in Canada guide.

DLA Piper advises Credible on merger with Fox

6 August 2019
Global law firm DLA Piper has advised ASX-listed US fintech company Credible Labs Inc. (ASX: CRD) on its AUD585 million proposed merger with NASDAQ listed Fox Corporation.
DLA Piper (Canada) LLP acted for Autopro Automation Consultants Ltd. in completion of acquisition by Universal mCloud Corp.

17 JUL 2019
DLA Piper (Canada) LLP acted for Autopro Automation Consultants Ltd. in completion of its acquisition by Universal mCloud Corp. in a three party transaction also involving Fulcrum Automation Technologies Ltd.

DLA Piper (Canada) LLP welcomes new partner Mark von Marksfeld

15 JUL 2019
DLA Piper (Canada) LLP is pleased to announce that Mark von Marksfeld has joined the firm as a partner in the Vancouver office. Mark practises primarily in the areas of banking and secured lending, commercial real estate, and mergers and acquisitions.

DLA Piper advises Jaja Finance on its £530m acquisition of Bank of Ireland’s UK credit card business

12 July 2019
DLA Piper has advised digital finance company Jaja Finance Limited (Jaja) on the acquisition of Bank of Ireland’s (The Bank) UK credit card portfolio.

DLA Piper (Canada) LLP represented Acreage Holdings in USD$3.4 billion arrangement with Canopy Growth

27 JUN 2019
Acreage Holdings, Inc., a leading U.S. multi-state cannabis operator, and Canopy Growth Corporation announced the implementation of an arrangement, pursuant to which Canopy Growth received the option to acquire all of the issued and outstanding shares in the capital of Acreage Holdings. DLA Piper Canada represented Acreage Holdings in this transformative transaction within the global cannabis industry.

DLA Piper (Canada) LLP represented Difference Capital Financial Inc. in $110 Million acquisition of Mogo Finance Technology Inc.

26 JUNE 2019
Difference Capital Financial Inc. has completed its previously announced acquisition of all of the issued and outstanding common shares of Mogo Finance Technology Inc. DLA Piper Canada represented the special committee of Difference Capital’s board of directors, as lead deal counsel.

DLA Piper advises Tubi on IPO and ASX listing

21 June 2019
Global law firm DLA Piper has advised Tubi Limited (ASX: 2BE) on its AUD48.5 million initial public offering (IPO) and listing on the Australian Securities Exchange (ASX).

DLA Piper (Canada) LLP welcomes new associate Josh Freedman

3 JUN 2019
DLA Piper (Canada) LLP welcomes Josh Freedman to the firm’s Toronto office as an associate in the Corporate Group.

DLA Piper (Canada) LLP acting for Onex Corporation in $5 billion acquisition of WestJet Airlines Ltd.

16 MAY 2019
On May 13, 2019, Onex Corporation announced that it had entered into a definitive agreement in which it will acquire all outstanding shares of WestJet Airlines Ltd. in an all-cash transaction valued at CDN $5 billion.

DLA Piper announces launch of Artificial Intelligence practice

14 MAY 2019
DLA Piper announced today the launch of its Artificial Intelligence practice, which will focus on assisting companies as they navigate the legal landscape of emerging and disruptive technologies, while helping them understand the legal and compliance risks arising from the creation and deployment of AI systems.

DLA Piper appoints new Head of Corporate for the Middle East, strengthening M&A capability

13 MAY 2019
DLA Piper has appointed Will Seivewright as a partner and Head of Corporate for the Middle East. Will joins the firm’s Dubai office from Baker McKenzie. His practice focuses on M&A (both public and private), joint ventures, corporate restructurings, private equity and venture capital transactions in the UAE, broader Middle East and internationally.

DLA Piper (Canada) LLP ranked in 2019 Canadian Legal Lexpert Directory

3 MAY 2019
DLA Piper (Canada) LLP is pleased to announce that thirty-eight of the firm’s lawyers have been recognized as leading practitioners in the 2019 edition of the Canadian Legal Lexpert Directory.

Bretton Woods Law Canada to join DLA Piper (Canada) LLP’s Montréal office

1 MAY 2019
DLA Piper (Canada) LLP is pleased to announce it has reached an agreement with the Montréal office of Bretton Woods Law Canada. Effective May 1, 2019, Bretton Woods Canada’s team of four lawyers and an articling student will join DLA Piper Canada’s Montréal office.

DLA Piper (Canada) LLP acting for Autopro Automation Consultants Ltd. in three-party transaction with Universal mCloud Corp. and Fulcrum Automation Technologies

26 APR 2019
Universal mCloud Corp., Fulcrum Automation Technologies and Autopro Automation Consultants Ltd. have announced the signing of letters of intent for a transaction under which Autopro and Fulcrum will be acquired by mCloud for consideration of cash and shares. DLA Piper Canada is acting on behalf of Autopro in this three-party transaction.
DLA Piper (Canada) LLP acting for Difference Capital Financial Inc. in combination with Mogo Finance Technology Inc.

26 APR 2019
On April 15, 2019 Difference Capital Financial Inc. announced that it entered into an arrangement agreement pursuant to which it would acquire all of the issued and outstanding common shares of Mogo Finance Technology Inc. DLA Piper Canada is representing the special committee of Difference Capital’s board of directors, as lead counsel in this transaction.

DLA Piper represents Cowen and Canaccord Genuity as lead underwriters of Greenlane Holdings IPO

23 APR 2019
DLA Piper represented Cowen and Company, LLC and Canaccord Genuity LLC as the lead underwriters in the recently completed upsized US$102 million initial public offering of Greenlane Holdings (NASDAQ: GNLN).

DLA Piper (Canada) LLP welcomes new associate Natalie Prange

22 APR 2019
DLA Piper (Canada) LLP welcomes Natalie Prange to the firm’s Toronto office as an associate in the Projects, Energy and Infrastructure Group.

DLA Piper announces partnership promotions for 2019

1 APR 2019
DLA Piper is proud to announce that 77 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2019 in the United States and May 1, 2019 for EMEA and Asia Pacific. The promotions were made across many of the firm’s practice areas in 43 different offices throughout 20 countries.

DLA Piper (Canada) LLP welcomes new associate Stephen Wintermute

25 MAR 2019
DLA Piper (Canada) LLP welcomes Stephen Wintermute to the firm’s Vancouver office as an associate in the Securities & Capital Markets Group.

Advising Zetta Jet on foreign insolvency judgment in Singapore

19 MAR 2019
DLA Piper acted as international counsel to Zetta Jet Pte. Ltd. (a Singapore-incorporated company) (“Zetta Jet”) and others, as applicants, in a seminal judgment on the recognition of foreign insolvency proceedings under the UNCITRAL Model Law on Cross-Border Insolvency (as adopted in Singapore in 2017) (the “Singapore Model Law”).

DLA Piper advises China Ping An Insurance Overseas (Holdings) Co. Ltd. on investment in GDS Holdings Limited
DLA Piper represented China Ping An Insurance Overseas (Holdings) Co. Ltd. (Ping An Overseas) in entering into a definitive agreement to make an equity investment in the NASDAQ listed GDS Holdings Limited (GDS), a leading developer and operator of high-performance data centers in China. Ping An Overseas paid a subscription price of US$150 million for convertible preferred shares in GDS.

DLA Piper advises Merlin Entertainments on the A$174 million sale of Australian ski resorts

13 MAR 2019

DLA Piper has advised Merlin Entertainments, a global leader in location based entertainment, on the A$174 million sale of its Australian ski resorts, Hotham and Falls Creek, to US ski resort operator Vail Resorts, Inc.

DLA Piper advises Laureate on US$28 million asset sale

6 MAR 2019

DLA Piper has advised Baltimore-based and Nasdaq listed Laureate Education (NASDAQ:LAUR), the world’s largest global network of higher education institutions, on the sale of Laureate’s interest in Stamford International University (Stamford) to China YuHua Education Investment Limited (YuHua) (6169:HK).

DLA Piper announces launch of Blockchain and Digital Assets practice

26 FEB 2019

DLA Piper announced today the launch of its Blockchain and Digital Assets practice, which will offer strategic advice on a global basis to address the needs of companies implementing blockchain technology solutions and creating and deploying digital assets.

Chambers Global 2019 recommends DLA Piper (Canada) LLP

19 FEB 2019

Nine DLA Piper (Canada) LLP practitioners have received rankings in the 2019 edition of Chambers Global, which conducts thousands of independent peer and client interviews every year to identify top legal talents from around the world.

DLA Piper advises Seequent Limited on its acquisition of Canadian software company Geosoft

19 FEB 2019

DLA Piper has advised Accel-KKR and its portfolio company, Seequent Limited (“Seequent”), in connection with Seequent’s acquisition of Geosoft, a Canadian-based software company.

DLA Piper recognized as a leading private equity, venture capital and M&A firm in PitchBook’s league tables

19 FEB 2019
For the second consecutive year, DLA Piper was the only firm to rank as one of the top five most active law firms for private equity, venture capital and M&A deal volume in PitchBook's 2018 global league tables report.

DLA Piper (Canada) LLP featured in Lexpert’s “Top 10 Deals of 2018”

18 FEB 2019
We are pleased to have acted on two of the transactions included in Lexpert magazine’s “Top 10 Deals of 2018” and congratulate our clients on these standout transactions that have been deemed among the most impactful in the country last year.

DLA Piper (Canada) LLP acted for CDW Canada Corp. in its acquisition of Scalar Decisions Inc.

14 FEB 2019
DLA Piper Canada represented CDW Canada Corp. in its acquisition of Scalar Decisions Inc., a leading technology solutions provider in Canada.

DLA Piper (Canada) LLP welcomes Patrick Burgess

1 FEB 2019
DLA Piper (Canada) LLP is delighted to announce that Patrick Burgess has joined our Calgary office as a senior energy counsel. Pat brings to the firm over 34 years of experience in all aspects of energy law, including domestic and international oil and gas, property acquisitions and dispositions, property exchanges and diverse joint venture transactions.

DLA Piper advises Samsung Electronics on its investment in Verimi

1 FEB 2019
DLA Piper has advised Samsung Electronics on its investment in Verimi GmbH. Samsung Electronics joins a group of twelve international companies from a variety of industries. These include Allianz, Axel Springer, Bundesdruckerei, Core, Daimler, Deutsche Bahn, Deutsche Bank und Postbank, Deutsche Telekom, Lufthansa and Volkswagen Financial Services.

DLA Piper advises CIRCOR in US$85 million sale of Reliability Services business to RelaDyne

28 JAN 2019
DLA Piper represented CIRCOR International, Inc., a provider of flow control solutions and other highly engineered products for the industrial, energy, aerospace and defense markets, in the sale of its Reliability Services business to an affiliate of RelaDyne LLC for approximately US$85 million.

DLA Piper strengthens ASEAN Corporate and Finance offering with key partner hire

21 JAN 2019
DLA Piper has strengthened its Corporate and Finance offering with the appointment of Philip Lee as a partner in its Corporate practice, based in Singapore.
DLA Piper (Canada) LLP welcomes new associate Jonathan Fraser
16 JAN 2019
DLA Piper (Canada) LLP is pleased to welcome Jonathan Fraser to the firm’s Edmonton office as an associate in the Corporate group.

DLA Piper (Canada) LLP acted for TRG Group Benefits and Pensions Inc. in acquisition by Hub International Limited
14 JAN 2019
DLA Piper Canada acted on behalf of the seller, TRG Group Benefits and Pensions Inc., in its acquisition by Hub International Limited.

DLA Piper tops Mergermarket, Thomson Reuters league tables
9 JAN 2019
For the ninth consecutive year, DLA Piper earned the top legal advisor ranking globally for overall M&A deal volume, according to Mergermarket’s league tables.

DLA Piper (Canada) LLP represents Sumitomo Metal Mining Co., Ltd. and Sumitomo Corporation in the acquisition of the Pogo Gold Mine in Alaska by Northern Star Resources for US$260 million
7 JAN 2019
On September 28, 2018, Sumitomo Metal Mining Co., Ltd. and Sumitomo Corp. completed the US$260 million sale of the Pogo Gold Mine in Alaska to Northern Star Resources.

DLA Piper (Canada) LLP welcomes four new partners
2 JAN 2019
DLA Piper (Canada) LLP welcomes four new additions to the partnership, effective January 1, 2019.

DLA Piper (Canada) LLP recognized in 2019 Legal 500 Canada guide
7 DEC 2018
DLA Piper (Canada) LLP is pleased to announce that 42 firm lawyers across 17 practice areas have been recommended in the latest edition of the Legal 500 Canada.

DLA Piper (Canada) LLP welcomes new associate Fabjola Gera
5 DEC 2018
DLA Piper (Canada) LLP is pleased to welcome Fabjola Gera to the firm’s Edmonton office as an associate in the Corporate group.
DLA Piper (Canada) LLP welcomes Eamon Hurley

3 DEC 2018
DLA Piper (Canada) LLP is pleased to welcome Eamon Hurley to the firm's Calgary office as Counsel in the Corporate Group.

Catherine Gibson named one of Women’s Executive Network 2018 Canada's Most Powerful Women: Top 100 Award Winner

22 NOV 2018
DLA Piper (Canada) LLP is pleased to congratulate Catherine Gibson, Managing Partner of the firm's Vancouver office, on being named a Women's Executive Network (WXN) 2018 Canada's Most Powerful Women: Top 100 Award Winner presented by KPMG.

Trevor Wong-Chor appointed Chair of Canadian Securities and Capital Markets Group

20 NOV 2018
DLA Piper (Canada) LLP is pleased to announce that Trevor Wong-Chor has been named Chair of the Securities & Capital Markets service group.