



Litige bancaire

In the aftermath of the global financial crisis and the more recent accusations regarding LIBOR and foreign currency exchanges, banks and other financial institutions need now, more than ever, to reduce and manage legal, regulatory, financial and reputational risks.

An important part of the risk management exercise is the investigation, identification and monitoring of contentious and regulatory issues across each international centre of operation, partnering with litigation and regulatory counsel attuned to your needs in relevant jurisdictions.

We are experienced in handling large and complex investigations and claims, both domestic and international, and are regularly instructed by some of the largest financial institutions in relation to their most substantial and sensitive disputes. Our big case experience means that we are accustomed to managing large multi-disciplinary teams across international borders, spanning the life cycle of a dispute from investigation, through contentious regulatory proceedings to litigation or arbitration.

Our experience is deep and diverse and includes representing banks and financial institutions in a full range of financial disputes, before courts and tribunals around the globe. We are equally comfortable litigating before the High Courts of England, Frankfurt, Hong Kong or Singapore, the US District Court for the Southern District of New York or the Moscow Arbitrazh Court. We arbitrate before all the major arbitral institutions and were a founding sponsor of the PRIME Finance arbitration and mediation tribunal, established in the Hague specifically to resolve disputes relating to complex financial transactions.

CAPABILITES

Investment banking and structured finance litigation

We have acted on a number of the most significant cases in the investment banking arena since the collapse of Lehman Brothers in 2008. These matters are typically high value, both in terms of quantum, and reputational and precedential risk.

Corporate and retail banking

We have extensive experience handling the full range of corporate and retail banking disputes, and we are able to combine our experience and expertise in all major financial centres with regional strength to coordinate multi-party and class action litigation, including representation of underwriters in US shareholder class actions.

Regulatory enforcement and disputes

We offer legal knowledge and practical advice on a wide range of issues relating to all the global financial services regulatory bodies, including the US Department of Justice, Federal Reserve Bank, the US Office of the Comptroller of the Currency, the US Securities and Exchange Commission, the Financial Conduct Authority, the Prudential Regulation Authority, various European and European Union regulatory and supervisory bodies, the Securities and Futures Commission of Hong Kong, Australian Securities and Investments Commission and many others. The team regularly undertakes internal investigations for

both wholesale and retail firms and assists clients with self-notification issues, responses to supervisory enquiries and investigations by the enforcement divisions of regulatory bodies, and we appear before the various regulatory tribunals, committees and panels when action is taken.

Fraud and asset tracing

We are experienced in the investigation and prosecution of fraud (civil and criminal) and in tracing misappropriated assets across national borders. We are also experienced in assisting financial institutions to respond to freezing and disclosure orders served on them and in defending them against direct allegations of fraud.

EXPERIENCE

- Acting for Australian noteholders in the landmark Belmont Park case brought in England to establish payment priority under a US\$12.5 billion Lehman synthetic CDO program and coordinating parallel proceedings in the New York Bankruptcy Court and District Court.
- Representing a major international bank in related proceedings in New York and Tanzania courts arising out of the financing of a power plant in Dar-es-Salaam.
- Conducting various regulatory, governance and compliance investigations and reviews for financial institutions.
- Providing global FATCA and privacy advice to leading financial institutions.
- Advising a major UK lender in connection with an investigation of mortgage fraud in a portfolio of in excess of 400 mortgages on 'buy to let' properties.
- Representing two major banks in relation to a US\$2 billion swap dispute in Italy.
- Advising a Russian bank in relation to several arbitrations and in obtaining injunctive relief in connection with a number of credit default swaps.
- Representing a major international bank in related proceedings in London and the UAE in a US\$120 million claim arising out of disputed title to an oil cargo.
- Advising an international banking group in relation to a €140 million claim in respect of a high profile takeover of a financial institution by a banking consortium.
- Acting for a financial services group in relation to payment protection insurance claims, advising on the implementation of a root cause analysis, the British Bankers' Association Review and representing the firm in a related dispute with its insurers regarding its customer redress programme.
- Conducting an investigation for an international bank in relation to forex trading with a major Chinese company and advising on a related HKSF and Commercial Crime Bureau investigations.
- Acting in parallel litigation and arbitration proceedings relating to a large international Ponzi scheme involving entities in Liechtenstein, Cyprus, and St Vincent & the Grenadines, resulting in a multi-million RICO judgment in the US.
- Advising a major international bank in connection with theft, fraud and money laundering involving funds misappropriated in South Africa and wired through Hong Kong and thereafter layered through various banks in a number of jurisdictions.
- Representing the United States Federal Deposit Insurance Corporation as receiver for numerous failed banks throughout the United States in post-insolvency disputes regarding capitalization, ownership of assets and similar matters.

ACTUALITÉS

Publications

COVID-19 - financial services measures in Ireland

31 March 2020

On 12 March, an Taoiseach, Leo Varadkar TD, announced the closure of schools, childcare facilities, colleges, and State-run cultural institutions, and recommended the cancellation of mass gatherings until 29 March.

Impact of AASB 16 on Facility Agreements

11 February 2020

AASB 16 *Leases*, being the Australian equivalent of IFRS 16 *Leases*, is effective for periods beginning on or after 1 January 2019. The new standard requires a lessee to recognise most types of operating leases on its balance sheet.

Intellectual Property and Technology News (Asia Pacific) May 2019

15 MAY 2019

[INTELLECTUAL PROPERTY AND TECHNOLOGY NEWS](#)

Intellectual Property and Technology News (Asia Pacific) is our biannual publication designed to report on worldwide development in intellectual property and technology law, offering perspectives, analysis and visionary ideas.

Global Insight - Issue 28, April 2019

2 April 2019

[RESTRUCTURING - GLOBAL INSIGHT](#)

News, views and analysis from DLA Piper's Global Restructuring Group.

Global Insight - Issue 27, December 2018

17 DEC 2018

[RESTRUCTURING - GLOBAL INSIGHT](#)

As we send this final edition of Global Insight for 2018, Rick and I would like to thank you for your continued support of our multi-award-winning Global Restructuring Group.

Restructuring Global Insight - News, views and analysis from DLA Piper's Global Restructuring Group

3 OCT 2018

[RESTRUCTURING - GLOBAL INSIGHT](#)

Welcome to our 26th edition of Global Insight with another selection of restructuring items from our colleagues around the World.

[ACTUALITÉS](#)

Sangeetha Punniyamoorthy named Chair of the Canadian IPT Group

20 MAY 2020

DLA Piper (Canada) LLP is pleased to announce that Sangeetha Punniyamoorthy has been appointed Chair of the Canadian Intellectual Property and Technology Group.

DLA Piper announces partnership promotions for 2020

30 April 2020

DLA Piper is proud to announce that 67 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2020 in the United States and May 1, 2020 for EMEA and Asia Pacific. The promotions have been made across many of the firm's practice areas in 35 different offices throughout 13 countries.

Across the firm's practices globally, Corporate saw the largest intake of new partners with 19 promotions, followed by Litigation and Regulatory with 15. Intellectual Property and Technology and Finance and Projects had ten and eight promotions respectively, while there were six in Real Estate. Tax and Employment both had four, and there was one in Restructuring.

DLA Piper (Canada) LLP increases rankings in the 2020 *Canadian Legal Lexpert Directory*

24 APR 2020

DLA Piper (Canada) LLP is pleased to announce that we have significantly increased the number of lawyers ranked as leading practitioners in the 2020 edition of the Canadian Legal Lexpert Directory.

DLA Piper lawyers named Acritas Stars

10 March 2020

Acritas has named over 200 DLA Piper lawyers as 2020 Acritas Stars. Now in its fourth year, Acritas Stars highlights the stand-out lawyers in private practice as nominated by clients around the world. More than 3,000 senior in-house counsel feed into the nomination process to give a comprehensive view of highly recommended lawyers across the globe.

DLA Piper appoints Head of Global Government Affairs team

6 March 2020

DLA Piper announces the strengthening of its Global Government Affairs team with the appointment of Richard Sterneberg who will head up the team in its Brussels office. Richard brings with him over 20 years of experience working in Brussels as a global government affairs expert.

DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat, Raymond Bastedo

2 MAR 2020

DLA Piper (Canada) s.e.n.c.r.l. accueille Raymond Bastedo à titre d'avocat au sein du groupe litiges, arbitrages et enquêtes du bureau de Calgary.

DLA Piper (Canada) LLP accueille trois nouveaux associés

1 JAN 2020

DLA Piper (Canada) s.e.n.c.r.l. accueille trois nouveaux ajouts au partenariat, à compter du 1er janvier 2020.

DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat Andrew MacGregor

4 DEC 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Andrew MacGregor à titre d'avocat au sein du groupe litiges, arbitrages et enquêtes du bureau de Calgary.

DLA Piper launches its Global Litigation Guide

3 December 2019

DLA Piper has launched its 'Global Litigation Guide', which covers aspects of civil litigation in 30 jurisdictions worldwide.

DLA Piper (Canada) LLP recommended in the 2020 edition of the *Legal 500 Canada*

14 NOV 2019

DLA Piper (Canada) LLP has been recommended across a broad range of practice areas in the latest edition of the *Legal 500 Canada*.

DLA Piper (Canada) s.e.n.c.r.l. accueille Stephen F. Gleave à titre d'associé

7 OCT 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'annoncer que Stephen Gleave s'est joint au cabinet à titre d'associé au bureau de Toronto. Stephen a une pratique de litige de droit commercial axée sur le droit de l'emploi.

DLA Piper (Canada) s.e.n.c.r.l. reconnue dans l'édition 2020 de Chambers Canada 2020

20 Sep 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'être reconnue comme leader de l'industrie dans l'édition 2020 de *Chambers Canada*.

DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat, Simon McCleary

9 SEPT 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Simon McCleary à titre d'avocat au sein du groupe litiges, arbitrages et enquêtes du bureau de Toronto.

DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate Brooke Stewart

3 SEP 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Brooke Stewart à son bureau de Toronto à titre d'avocate au sein du groupe du droit de l'emploi.

DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate, Adrienne Wong

3 SEP 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Adrienne Wong à titre d'avocate au sein du groupe litiges, arbitrages et enquêtes du bureau de Calgary.

Les avocats de DLA Piper (Canada) s.e.n.c.r.l. sont reconnus dans l'édition 2020 de *Best Lawyers in Canada*

21 AUG 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir de voir 70 de ses avocats dans 34 domaines de pratique différents reconnus dans l'édition 2020 du guide *Best Lawyers in Canada*.

DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate Emma Cosgrave

24 JUN 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Emma Cosgrave à titre d'avocate au sein du groupe litiges, arbitrages et enquêtes du bureau de Toronto.

DLA Piper (Canada) LLP recognized as a leader in patent laws by IAM Patent 1000

12 JUN 2019

The 2019 edition of *IAM Patent 1000 - The World's Leading Patent Practitioners* has recognized DLA Piper (Canada) LLP and four members of its Intellectual Property and Technology (IPT) Group as leaders in the Canadian patent market.

DLA Piper announces launch of Artificial Intelligence practice

14 MAY 2019

DLA Piper announced today the launch of its Artificial Intelligence practice, which will focus on assisting companies as they navigate the legal landscape of emerging and disruptive technologies, while helping them understand the legal and compliance risks arising from the creation and deployment of AI systems.

DLA Piper (Canada) LLP ranked in 2019 *Canadian Legal Lexpert Directory*

3 MAY 2019

DLA Piper (Canada) LLP is pleased to announce that thirty-eight of the firm's lawyers have been recognized as leading practitioners in the 2019 edition of the *Canadian Legal Lexpert Directory*.

Bretton Woods Avocats Canada se joint au bureau de DLA Piper (Canada) s.e.n.c.r.l. à Montréal

1 MAY 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'annoncer qu'une entente a été conclue avec Bretton Woods Avocats Canada (« Bretton Woods Canada »). À compter du 1er mai 2019, l'équipe de quatre avocats et d'une stagiaire de Bretton Woods Canada se joindra au bureau de DLA Piper Canada à Montréal. L'équipe apporte un agencement unique de capacité et d'expérience

renforçant ainsi le bureau de Montréal et augmentant notre offre de service nationale dans le marché croissant de la conformité aux normes internationales, de la gestion du risqué et des enquêtes visant des sociétés.

DLA Piper announces partnership promotions for 2019

1 APR 2019

DLA Piper is proud to announce that 77 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2019 in the United States and May 1, 2019 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 43 different offices throughout 20 countries.

DLA Piper announces launch of Blockchain and Digital Assets practice

26 FEB 2019

DLA Piper announced today the launch of its Blockchain and Digital Assets practice, which will offer strategic advice on a global basis to address the needs of companies implementing blockchain technology solutions and creating and deploying digital assets.

DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate conseil Katrina Edgerton-McGhan

11 FEB 2019

DLA Piper (Canada) s.e.n.c.r.l. a la plaisir d'accueillir Katrina Edgerton-McGhan à titre d'avocate conseil au sein du groupe litiges, arbitrages et enquêtes du bureau de Calgary.

Kate Brown de Vejar joins DLA Piper as global co-chair of International Arbitration

22 JAN 2019

DLA Piper announced today that Kate Brown de Vejar has joined the firm's Litigation practice as a partner in Mexico City and New York.

DLA Piper (Canada) s.e.n.c.r.l. est reconnue par le Legal 500 Canada en 2019

7 DEC 2018

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'annoncer que 42 avocats dans 17 domaines de pratique ont été recommandés dans la dernière édition du *Legal 500 Canada*.

DLA Piper and IKM Advocates secure victory for the Republic of Kenya defeating a US\$2 billion ICSID claim

24 OCT 2018

In a decisive victory for the Republic of Kenya, DLA Piper and DLA Piper Africa member firm, IKM Advocates, have successfully defeated the claim estimated to be worth US\$2 billion in arbitration proceedings brought by a mining investor, Cortec Mining Kenya Limited. The claim was brought under the bilateral investment treaty (BIT) between the UK and Kenya dated 13 September 1999. The arbitration took place under the auspices of the World Bank's International Centre for Settlement of Investment Disputes (ICSID), with the hearing taking place in Dubai in January 2018. The Tribunal issued its award on 22 October

2018.

DLA Piper Canada s.e.n.c.r.l. reconnue dans l'édition 2019 de *Chambers Canada*

27 SEP 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'être reconnue en tant que leader de l'industrie dans l'édition 2019 de *Chambers Canada*. Avec 34 avocats qui se sont distingués dans plus de 20 champs de pratique de droit, il s'agit de la meilleure performance de DLA Piper Canada à ce jour.

Soixante-douze avocats de DLA Piper (Canada) s.e.n.c.r.l. sont reconnus dans l'édition 2019 de *Best Lawyers in Canada*

22 AUG 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'avoir augmenté encore une fois ses classements dans l'édition 2019 de *Best Lawyers*, avec près du tiers des avocats du cabinet qui se distinguent à titre de praticiens de premier plan au Canada dans plusieurs domaines de pratique clés.

DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate, Ashley Boyes

7 AUG 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'accueillir Ashley Boyes à titre d'avocate au sein du groupe litiges, arbitrages et enquêtes du bureau de Toronto.

DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat de litige, Stephen Kroeger

25 JUN 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'accueillir Stephen Kroeger à titre d'avocat au sein du groupe litiges, arbitrages et enquêtes du bureau d'Edmonton.
