



## Global Governance and Compliance

DLA Piper's Global Governance and Compliance group has unparalleled experience helping clients with international operations meet the evolving – and sometimes conflicting – expectations of regulatory and enforcement agencies.

With compliance-focused lawyers in over 75 offices covering more than 35 countries and their capital cities, we have the global breadth, local knowledge and industry experience to guide clients in all aspects of designing, implementing and managing compliance programs, assessing and mitigating risk, remediating compliance issues and running internal investigations.

The best compliance program in the world is worthless if it cannot be implemented effectively. Importantly, our Compliance team includes former general counsels and in-house chief compliance counsel, as well as business leaders who ensure we provide practical and actionable advice.

Regulators recognize this and often investigate whether an organization's compliance program is truly integrated, or whether it is simply a paper program. But a compliance program is equally worthless if it does not also allow you to conduct business profitably and efficiently. We understand this balance and ensure that our compliance advice and recommendations can be implemented and eliminate or mitigate a company's greatest legal risks without disrupting lawful business goals and practices.

### CAPABILITIES

Partnering with our clients, we focus on the following components:

- Tone at the top and building an ethical culture
- Awareness
- Structure and governance
- Budget
- Benchmarking
- Code of business conduct and ethics
- Policy review, reconciliation and awareness
- Training
- Complaint channels and ethics hotline

### KEY CONTACTS

#### **Sammy Fang**

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- Reporting and Metrics
- Escalation protocols

## EXPERIENCE

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Our clients include:

- A \$130 billion financial services company for which we helped build a global compliance program from the ground up while under the scrutiny of a federal monitor
- Some of the largest-in-class pharmaceutical and consumer products companies for which we have re-designed, tested and remediated global compliance programs in the world's highest-risk countries
- International airlines with operations in four continents
- Energy companies of all stripes
- A wide range of sophisticated organizations requiring support in internal investigations, such as the investigation into the use of steroids in Major League Baseball and the monitoring of Penn State University as supervised by DLA Piper Chairman Emeritus Senator George Mitchell

## INSIGHTS

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### Publications

#### **US Antitrust enforcers issue joint statement on competitor collaboration amid COVID-19 pandemic**

27 March 2020

The US Department of Justice and Federal Trade Commission issued a Joint Antitrust Statement Regarding COVID-19, in which they announced an expedited procedure for evaluating proposed collaborations among competitors and other businesses working to address the pandemic.

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#### **Blockchain and Digital Assets News and Trends**

25 March 2020

#### **[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)**

The age of viral outbreaks – key contract considerations in a post-COVID-19 world, plus latest legal, regulatory and case law developments around blockchain and digital transformation.

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#### ***US v. Hoskins*: in setback for DOJ, court grants post-trial motion for acquittal on all FCPA counts**

27 February 2020

The decision calls into question DOJ's aggressive approach to a narrow but significant class of potential defendants in FCPA cases.

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## 2020 Proxy Season Hot Topics: Part 1 – Ten tips for implementing SEC rule changes in your upcoming Form 10-K and proxy statement

25 February 2020

### [PROXY SEASON HOT TOPICS](#)

Addressing new SEC rules and recent guidance in the 2020 proxy season.

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## Blockchain and Digital Assets News and Trends

19 February 2020

### [BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

In this issue, SEC Commissioner Peirce proposes bold new safe harbor for digital tokens, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## Representing individuals in interviews – the US perspective

19 February 2020

Determining whether to consent to an interview, and any interview itself, can be pivotal.

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## New obligations targeting human trafficking for employers in California – and across the US

11 February 2020

Employers across the United States should consider these new obligations and carefully determine what actions they may be required to take in the workplace.

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## Second Circuit (again) reverses Sheldon Silver’s corruption conviction (in part) – and brings some clarity to the “as opportunities arise” theory of liability

22 JANUARY 2020

The decision, while limited in scope, provides important clarification to the law around illicit payments to public officials.

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## Blockchain and Digital Assets News and Trends

16 January 2020

### [BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

Taxation of cryptocurrency: challenges for charities in the US and new guidance in the UK, plus latest legal developments in blockchain technology, smart contracts and digital assets.

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## Court rules that "personal benefit" not necessary for criminal insider trading liability under certain statutes

14 January 2020

*Blaszczak* is unlikely to be the last word on insider trading.

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## **Upcoming 12/31 deadline to comment on CMS and OIG proposed rule changes under the Stark Law and Anti-Kickback Statute**

16 December 2019

The two highly consequential proposals are poised to change how HHS approaches fraud and abuse enforcement in federal healthcare programs.

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## **Blockchain and Digital Assets News and Trends**

13 December 2019

### **BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS**

A new lawsuit alleges the CFPB Prepaid Rule violates free speech; plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## **Preventive Medicine: Official “Antimonopoly Compliance Guidelines for Business Operators” in China**

10 December 2019

On 28 November 2019, the State Administration of Market Regulation (SAMR) released for public comment draft “Anti-Monopoly Compliance Guidelines for Operators” (the “Draft Guidelines”). These non-binding guidelines recommend measures for “business operators” subject to the Antimonopoly Law (AML) to develop and implement antitrust compliance programmes. The Draft Guidelines integrate prevailing best practices for international compliance programmes, while reflecting the unique challenges of antitrust risk management in China.

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## **Jury acquits foreign national in apparent rebuke of DOJ's attempt to police overseas corruption**

4 December 2019

The result highlights some significant issues that may, in some cases, limit the ability of the DOJ to prosecute foreign nationals for corruption overseas.

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## **Financial institutions and technology vendors: requirements and expectations when safeguarding customer information**

25 November 2019

Guidance from the FDIC contains valuable advice for any business contracting with a technology vendor, and it alerts vendors to what their financial institution customers will be asking of them.

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## **New decision shows importance of carefully analyzing shareholder grievance communications that may be "demands"**

20 November 2019

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Solak's deeper lesson is that the corporation faces consequences as soon as it receives a shareholder grievance communication that might constitute a "demand."

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### **Announcing DLA Piper's 2020 SEC Filing Deadline Calendar**

19 November 2019

Created to help public companies keep track of the various filing deadlines throughout the reporting year.

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### **SEC issues FY2019 enforcement report – highlights and key takeaways**

19 November 2019

The Report addresses the Division of Enforcement's five core guiding principles as well as its continued focus on retail investor protection and combating cyberthreats.

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### **Antitrust Matters - November 2019**

18 November 2019

#### **[ANTITRUST MATTERS](#)**

We're pleased to share with you a new Edition of our periodical global publication Antitrust Matters

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### **Blockchain and Digital Assets News and Trends**

15 November 2019

#### **[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)**

The latest legal developments in blockchain technology, smart contracts and digital assets.

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### **SEC proposes substantial updates to advertising and solicitation rules for investment advisers**

12 November 2019

If adopted, many aspects of the proposed rule will have a significant impact on the operations and compliance policies and procedures of investment advisers registered with the SEC.

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### **Enforcement trends: binary options industry sees surge in federal criminal and regulatory enforcement proceedings**

11 November 2019

Binary options offer investors the opportunity to earn enormous returns quickly, but come with the risk of substantial losses.

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## **The other shoe drops: Supreme Court will decide whether the SEC may obtain disgorgement as an equitable remedy**

11 November 2019

The Supreme Court has accepted a *certiorari* petition in a case seeking to determine whether the SEC is entitled to seek and obtain disgorgement as equitable relief.

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## **DOJ issues guidance regarding business entities' inability to pay corporate fines and penalties: key takeaways**

31 October 2019

The latest guidance from DOJ to incentivize companies to self-report their violations and cooperate with the DOJ's investigations and recommended remedial actions.

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## **Blockchain and Digital Assets News and Trends**

18 October 2019

### **BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS**

In this issue, new guidance on the taxation of cryptocurrency from the IRS, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## **US Supreme Court denies review of *Robles v. Domino's Pizza*, opening door to more lawsuits on website and mobile app accessibility claims**

16 October 2019

Does the ADA apply to websites and mobile apps?

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## **FINRA provides guidance on disclosure innovations in advertising and other public communications**

24 September 2019

FINRA's goal is to facilitate simplified, more effective disclosures that are more interesting and informative for investors.

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## **Treasury Department proposes regulations comprehensively implementing FIRRMA and reforming CFIUS national security review**

19 September 2019

The proposed regulations affect non-controlling investments involving critical technologies, critical infrastructure, and sensitive personal data; and transactions involving real estate near sensitive national security facilities.

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## Blockchain and Digital Assets News and Trends

19 September 2019

### [BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

In this issue, Wyoming aims to provide legal framework for using blockchain and transacting in digital assets, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## Brexit for the insurance sector: No-deal regimes in the UK and Europe

17 September 2019

### [INSURANCE HORIZONS](#)

This guide has been prepared by DLA Piper's insurance regulation team to provide a high level overview of post-Brexit regimes that are being implemented in key EU markets, and in the UK. It is intended to help insurers and intermediaries with their planning in the run up to a possible no-deal Brexit.

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## SEC proposes to modernize certain rules: transitioning from a prescriptive to a principles-based approach?

10 September 2019

A strong signal that disclosure changes are coming.

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## Blockchain and Digital Assets News and Trends

23 August 2019

### [BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

In this issue, blockchain consortium governance, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## Mexico: controversial, broad civil asset forfeiture law expands anticorruption arsenal – key points for companies

22 August 2019

The potential impact of the new law on companies accused of corruption is significant.

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## Second Circuit: *McDonnell* "official act" requirement doesn't apply to FCPA violations

October 2019

The court found that prosecutors need not satisfy the *McDonnell* heightened "official act" requirement in order to prove an FCPA violation.

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## **SEC Chair Clayton announces new coordinated approach to settlement negotiations and the waiver process**

1 August 2019

Chair Clayton emphasizes SEC evaluation of all future waiver requests in the context of assessing settlement recommendations and the conduct at issue.

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## **FTC announces Cambridge Analytica settlement**

25 July 2019

A sign that the FTC may be stepping up its pursuit of individual liability in addition to corporate liability.

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## **Blockchain and Digital Assets News and Trends**

26 July 2019

### **[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)**

In this issue, Facebook announces Libra currency, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## **FINRA issues significant and detailed guidance on credit for extraordinary cooperation**

22 July 2019

The Notice delineates the differences between extraordinary cooperation and required self-reporting and cooperation under FINRA rules and offers substantial new guidance.

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## **Updated FATF standards include interpretative note on virtual assets**

16 July 2019

The FATF updates its anti-money laundering and counter-terrorist financing standards.

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## **Shareholder efforts to bootstrap FCPA violations into private securities cases meet with mixed success – key takeaways**

10 July 2019

When an FCPA-related resolution exposes a company to securities fraud claims.

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## **Blockchain and Digital Assets News and Trends**

24 June 2019

### **[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)**

In this issue, consortium blockchain governance, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## **Blockchain and Digital Assets News and Trends**

24 MAY 2019

### **[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)**

In this issue, consortium blockchain governance, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## **Court finds that failure to comply with cybersecurity obligations can create False Claims Act liability**

20 MAY 2019

Key takeaways about this significant finding.

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## **Department of Justice unveils guidelines for cooperation credit in False Claims Act matters**

9 MAY 2019

The new DOJ guidelines are an effort to formalize guidance and credit mechanisms for corporate cooperation in civil cases, especially False Claims Act cases.

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## **The cybersecurity of digital medical devices: higher technological capabilities, higher likelihood of liability**

8 MAY 2019

To prepare for potential new regulatory requirements, medical device manufacturers should take this opportunity to assess their compliance with HIPAA and FDA's Draft Guidance, then complete a Risk Management Plan.

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## ***US v. Connolly* and the potential pitfalls of cooperation in internal investigations**

8 MAY 2019

A warning shot to government lawyers against delegating core investigative functions to outside counsel for targets or subjects of their investigations.

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## **DOJ revises its guidance on corporate compliance programs**

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2 MAY 2019

DOJ has made tangible the benefits a company can receive for designing and implementing an effective compliance program, and the newly revised Compliance Guidance reinforces those principles.

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## **Blockchain and Digital Assets News and Trends**

24 APR 2019

### **BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS**

The inaugural issue looks at the SEC's Digital Guidance Framework and No Action Letter and covers the latest legal developments in blockchain technology, smart contracts and digital assets.

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## **Unpacking the SEC's Digital Assets Guidance Framework and No Action Letter**

16 APR 2019

The Framework describes factors for assessing whether digital assets are "investment contracts" subject to federal securities laws; the No Action letter applies those factors.

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## **Proposed amendments to Canada's anti-money laundering regulations aim to close loop holes**

15 APR 2019

Long-awaited amendments to Canada's anti-money laundering regulations are set to come into effect this coming fall. A number of deficiencies in the regulations had been previously identified in reports by inter-governmental agency, the Financial Action Task Force, and the US State Department.

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## **Top of Mind: Litigation**

20 MAR 2019

In this issue, our coverage of 10 big topics businesses have been thinking about this quarter.

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## **FBI's new Miami International Corruption Squad sends clear message to South Florida businesses**

18 MAR 2019

This development offers companies the opportunity to improve their corporate compliance programs and their operations in South Florida, Latin America and the Caribbean.

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## **2019 Proxy Season Hot Topics: Part 5 – SEC comment letter trends and shareholder engagement**

26 FEB 2019

### **PROXY SEASON HOT TOPICS**

A holistic look at some issues that affect all registrants, starting with trends observed in our review of SEC comment letters.

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## **2019 Proxy Season Hot Topics: Part 4 – Diversity disclosure and executive compensation**

21 FEB 2019

## [PROXY SEASON HOT TOPICS](#)

Part 4 in the series.

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### **2019 Proxy Season Hot Topics: Part 3 – SEC hedging rules, auditor report, Form 10-K changes**

15 FEB 2019

## [PROXY SEASON HOT TOPICS](#)

New SEC hedging rules, changes to the auditor's report, changes to Form 10-K cover pages and exhibit hyperlinks.

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### **Keeping watch on smartphone app payments in China**

7 FEB 2019

Now could be a good time to think about the level of transparency your company may have in connection with smartphone app payments by your employees.

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### **CCPA: risk of class actions makes early preparation imperative**

21 DEC 2018

Failure to address risk mitigation now could lead to significant liability if a company is breached following the CCPA's January 1, 2020 effective date.

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### **Corporate reporting obligations in changes of shareholders of Mexican subsidiaries**

14 DEC 2018

Apart from tax-related consequences and reporting obligations in case of a group restructuring, this new disclosure requirement should also be considered.

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### **Top of mind: Litigation**

10 DEC 2018

Our coverage of big compliance, enforcement and litigation issues that businesses are thinking about this quarter.

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### **DOJ tells SCOTUS it plans to seek to dismiss a major *qui tam* action because burdensome FCA discovery would not be in the public interest**

6 DEC 2018

The DOJ's filing is a significant development for FCA defendants and may portend more dismissals to come.

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## **Global Anticorruption Newsletter**

5 DEC 2018

DLA Piper's *Global Anticorruption Newsletter* offers practical guidance on complying with international bribery laws.

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## **DOJ announces changes to Yates Memo: companies seeking cooperation credit need not identify every person involved in misconduct, just those "substantially involved"**

4 DEC 2018

The changes will allow companies seeking cooperation credit to focus their resources on identifying people who were the most central to the misconduct at issue.

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## **Security breach notification and reporting requirements are now in force under Canada's *PIPEDA***

13 NOV 2018

PIPEDA's obligations are now in force, including detailed regulations relating to breach notification and reporting. *PIPEDA* may apply to US organizations when there is a "real and substantial connection" with Canada.

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## **Corporate spoofing victims beware – the SEC is watching**

24 OCT 2018

The SEC urges issuers to consider whether their internal accounting controls are sufficient to provide "reasonable assurances" that corporate assets are safeguarded from cyber-threats.

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## **SEC fires warning shot against "short and distort" schemes**

18 OCT 2018

If successful, this case will pose a significant deterrent to would-be short-selling "analysts" seeking to manipulate the market.

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## **To monitor or not to monitor? DOJ Criminal Division issues new policy guidance regarding the imposition of corporate monitorships**

17 OCT 2018

Corporations concerned about the costs and burdens associated with the imposition of independent corporate monitors should find solace in the Monitorship Memorandum.

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## **CFIUS pilot program mandates declarations for certain non-controlling investments in critical technologies**

17 OCT 2018

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The pilot program covers critical technologies in 27 industries.

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### **Four tips for an effective eDiscovery plan in China**

19 SEP 2018

A timely and well-documented eDiscovery plan, comprehensively addressing compliance with local laws, can also go a long way to address potential post-collection inquiries.

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### **Top of Mind: Litigation**

6 SEP 2018

Here is a look at big topics that businesses have been thinking about this quarter, and how DLA Piper has been covering those stories.

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### **Announcing COMPASS – our newly automated compliance assessment tool**

4 SEP 2018

COMPASS, DLA Piper's newly automated compliance assessment tool, helps to ensure that your company's compliance program meets or exceeds all legal and regulatory requirements as well as industry best practices.

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### **The Second Circuit rules against DOJ's aggressive assertion of extraterritorial FCPA jurisdiction over foreign accessories**

29 AUG 2018

The ruling stands to limit DOJ's ability to bring FCPA charges against foreign nationals who do not travel to the US.

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### **The GDPR's impact on internal investigations**

10 JUL 2018

The impact of the European Union's General Data Protection Regulation on corporate internal investigations and criminal and civil litigation – both within the EU and abroad – has received little attention. Here, we take a look at the GDPR's privacy requirements through the lens of internal investigations and litigation.

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### **International HR and employee discipline issues in FCPA matters**

10 JUL 2018

Local laws, practices and cultural differences mean that the differences between investigations from one country to another, and the consequences of failing to understand them, can be significant. Here are some of the key considerations for multinational employers when they are conducting cross-border investigations.

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## **Lawyers as targets: how attorneys get ensnared in FCPA misconduct**

10 JUL 2018

The recent prosecutions of veteran lawyers at two multinationals offer a sobering truth: those responsible for protecting their companies from corruption-related risks can be held criminally accountable for their lapses in judgment. Here, we examine the conduct that led to their prosecutions.

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## **Super-apps complicate corporate compliance, pose heightened risks under FCPA Corporate Enforcement Policy**

10 JUL 2018

Super-apps allow seamless interaction between colleagues, customers, suppliers and other business parties. In some jurisdictions, local employees communicate exclusively via such apps – but this conduct presents grave legal, compliance and risk-management challenges.

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## **Declinations for self-reporting on the rise under FCPA Pilot Program and Corporate Enforcement Policy**

10 JUL 2018

The DOJ appears to be following through on its pledge to increase declinations in exchange for self-reporting potential FCPA violations.

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## **The rise of the "Crypto Czar," the fall of a "blockchain evangelist," and other crypto developments**

12 JUNE 2018

Three legal developments and their implications for the blockchain and cryptocurrency communities.

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## **How one New York court is shaping the future of cryptocurrency regulation**

17 MAY 2018

Cases with far-reaching implications for the cryptocurrency regulatory landscape.

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## **Crypto industry sweep: ICOs and token offerings under increasing scrutiny by US regulators**

18 APR 2018

Recent enforcement developments targeting the cryptocurrency community, and the opportunities and challenges that lie ahead.

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## **DOJ dismisses last of the drug trafficking charges against FedEx: two key takeaways**

5 JUL 2016

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A sudden about-face from the DOJ.

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### **CFTC announces its largest whistleblower award to date – key takeaways**

2 MAY 2016

CFTC sends a clear message to commodities futures traders that it means to aggressively court tipsters who have information about possible violations of the CEA.

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### **DLA Piper's 2016 Compliance & Risk Report: What CCOs need to know**

19 APR 2016

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### **How well do you know your compliance program? 6 simple tools**

13 JAN 2016

Companies are under increasing pressure to demonstrate to both the Audit Committee and, if necessary, regulators, that their compliance program is operational and effective. But how do you really measure that?

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### **Mexico's new National Anticorruption System: 7 key points**

20 JUL 2015

Constitutional reform regarding the creation of the National Anticorruption System

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### **Third parties: 4 FCPA takeaways for working with distributors**

24 SEP 2014

Four key challenges and related "cures"

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### **CFTC approves final cross-border guidance of the swap provisions of the Dodd-Frank Act**

12 JUL 2013

The Final Guidance aims to set forth the CFTC's policy on the application of the swap provisions of the Commodities Exchange Act to cross-border activities

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### **European Commission and CFTC announce a path forward on cross-border regulation of OTC derivatives**

11 JUL 2013

A high-level joint understanding

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## **Events**

## [Previous](#)

### **Delegation of Chinese Investors and Entrepreneurs from Sichuan, China**

19 December 2019  
East Palo Alto

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## [NEWS](#)

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### **John Phillips joins DLA Piper's Litigation practice in Northern California**

26 March 2020

DLA Piper announced today that John Phillips has joined the firm's Litigation practice as a partner in Northern California, based in the San Francisco office.

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### **Ronald N. Brown, III joins DLA Piper's Litigation practice in Wilmington**

19 March 2020

DLA Piper announced today that Ronald N. Brown, III has joined the firm's Litigation practice as a partner in the Wilmington office.

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### **DLA Piper lawyers named Acritas Stars**

10 March 2020

Acritas has named over 200 DLA Piper lawyers as 2020 Acritas Stars. Now in its fourth year, Acritas Stars highlights the stand-out lawyers in private practice as nominated by clients around the world. More than 3,000 senior in-house counsel feed into the nomination process to give a comprehensive view of highly recommended lawyers across the globe.

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### **DLA Piper's Jonathan Haray named 2020 Criminal Law Trailblazer by the National Law Journal**

7 March 2020

DLA Piper is pleased to announce that Jonathan Haray, a Litigation partner in the firm's Washington, DC office, has been named by the *National Law Journal* as a 2020 Criminal Law Trailblazer.

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### **DLA Piper appoints Head of Global Government Affairs team**

6 March 2020

DLA Piper announces the strengthening of its Global Government Affairs team with the appointment of Richard Sterneberg who will head up the team in its Brussels office. Richard brings with him over 20 years of experience working in Brussels as a global government affairs expert.

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### **Keelin Kavanagh, Arthur Hoffmann and David Jaroslaw join DLA Piper's Litigation practice in New York**

12 February 2020

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DLA Piper announced today that Keelin Kavanagh, Arthur Hoffmann and David Jaroslaw have joined the firm's Litigation practice as partners in New York.

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### **Craig Waugh joins DLA Piper's Litigation practice in Phoenix**

11 February 2020

DLA Piper announced today that Craig Waugh has joined the firm's Litigation practice as a partner in Phoenix.

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### **Matthew Miller, Robert Nolan and Michael Fluhr join DLA Piper's Litigation practice in Northern California**

10 February 2020

DLA Piper announced today that Matthew Miller and Robert Nolan have joined the firm's Litigation practice as partners in Northern California, based in the San Francisco office, and Michael Fluhr has joined as of counsel, also based in San Francisco.

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### **James C. Bookhout of DLA Piper listed in *D Magazine's* 2020 Best Lawyers Under 40 list**

7 February 2020

James C. Bookhout was recently named to *D Magazine's* 2020 Best Lawyers Under 40 list, which recognizes outstanding young lawyers in Dallas.

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### **Michael Hazzard joins DLA Piper's Litigation practice in Washington, DC**

4 February 2020

DLA Piper announced today that Michael Hazzard has joined the firm's Litigation practice as a partner in Washington, DC.

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### **Nicholas Klein joins DLA Piper's Litigation and Regulatory practice in Washington, DC**

3 February 2020

DLA Piper announced today that Nicholas Klein has joined the firm's Litigation and Regulatory practice as of counsel in the Washington, DC office.

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### **Christopher Mikson joins DLA Piper's Litigation practice in Philadelphia and Washington, DC**

23 January 2020

DLA Piper announced today that Christopher Mikson has joined the firm's Litigation practice as a partner in Philadelphia and Washington, DC.

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### **DLA Piper launches its Global Litigation Guide**

3 December 2019

DLA Piper has launched its 'Global Litigation Guide', which covers aspects of civil litigation in 30 jurisdictions worldwide.

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## **Scott Wilson joins DLA Piper's Litigation practice in New York**

2 December 2019

DLA Piper announced today that Scott Wilson has joined the firm's Litigation practice as a partner in the New York office.

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## **DLA Piper achieves victory for California Institute of Technology**

26 November 2019

DLA Piper recently represented the California Institute of Technology (Caltech) in the dismissal of a case filed by David Lillie, an employee of Caltech subcontractor ManTech International, which alleged defamation and a number of other causes.

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## **DLA Piper achieves trial victory for Applied Underwriters**

22 November 2019

DLA Piper recently represented Applied Underwriters, Inc. in a bench trial victory in California state court.

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## **DLA Piper achieves victory for Maryland Reclamation Associates**

6 November 2019

DLA Piper recently achieved a victory for its client, Maryland Reclamation Associates, Inc. (MRA), in a case filed against Harford County, Maryland.

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## **34 DLA Piper lawyers in nine countries ranked among The World's Leading Patent Professionals**

30 October 2019

DLA Piper is pleased to announce that IAM Patent 1000: The World's Leading Patent Professionals 2019 has named 34 DLA Piper lawyers from nine countries to its list of top patent professionals – representing an increase for the firm in numbers of both lawyers and countries.

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## **DLA Piper's Angela Agrusa named the *Los Angeles Business Journal's* Leaders in Law 2019 Litigation Attorney of the Year**

29 OCT 2019

DLA Piper is pleased to announce that Angela Agrusa, Los Angeles-based co-head of Business and Commercial Litigation and co-chair of the Food and Beverage sector, has been named the *Los Angeles Business Journal's* Leaders in Law 2019 Litigation Attorney of the Year.

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## **Jonathan Sablone joins Litigation practice in Boston**

15 October 2019

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DLA Piper announced today that Jonathan Sablone has joined the firm's Litigation practice as a partner in the Boston office.

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### **DLA Piper partner Isabelle Ord honored by Western Bankers Association**

11 October 2019

DLA Piper is pleased to announce that Isabelle Ord, co-chair of the firm's Class Action Litigation practice and co-leader of the LIBOR Transition practice, has received the Franzel Award from the Western Bankers Association.

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### **Tamany Vinson Bentz named to the *Daily Journals* list of Top Trade Secrets Lawyers**

9 October 2019

DLA Piper is pleased to announce that Tamany Vinson Bentz, a Los Angeles-based partner in the IPT Litigation practice, has been named to the *Daily Journal's* 2019 list of Top Trade Secrets Lawyers.

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### **DLA Piper announces launch of LIBOR Transition practice**

23 September 2019

DLA Piper announced today the launch of its LIBOR Transition practice, which will focus on assisting companies with impact assessment and advising on benchmark reform implementation across multiple jurisdictions and products.

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### **Abigail Reardon appointed chair of the Attorney Grievance Committee for the First Department of the Appellate Division of the New York Supreme Court**

5 September 2019

DLA Piper is pleased to announce that Abigail Reardon, a partner in the firm's New York office, has been appointed by the First Department of the Appellate Division of the New York Supreme Court to serve as a chair of the Attorney Grievance Committee for the First Department.

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### **George G. Demos joins DLA Piper's Litigation practice in Northern California**

3 September 2019

DLA Piper announced today that George G. Demos has joined the firm's Litigation practice as a partner in Northern California based in the Sacramento office.

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### **Paul Hemmersbaugh joins DLA Piper's Litigation practice as chair of Transportation Regulatory group**

3 September 2019

DLA Piper announced today that Paul Hemmersbaugh has joined the firm's Litigation practice as a partner and chair of its transportation regulatory and litigation group, in Washington, DC.

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**DLA Piper's Michael Garfinkel named to the *Los Angeles Business Journal's* 2019 list of Top Litigators and Trial Lawyers**

27 August 2019

DLA Piper is pleased to announce that Michael Garfinkel has been named to the *Los Angeles Business Journal's* 2019 list of Top Litigators and Trial Lawyers.

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**DLA Piper's Angela Agrusa named to the *Los Angeles Business Journal's* 2019 list of Top Litigators and Trial Lawyers**

27 August 2019

DLA Piper is pleased to announce that Angela Agrusa has been named to the *Los Angeles Business Journal's* 2019 list of Top Litigators and Trial Lawyers.

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**Edward Hanover to join DLA Piper's Litigation practice in Northern California**

26 August 2019

DLA Piper announced today that Edward Hanover will join the firm's Litigation practice as a partner in Northern California based in the Silicon Valley office.

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**DLA Piper represents Applied Underwriters in defeat of three class certification motions**

19 August 2019

DLA Piper represented Applied Underwriters in its defeat of three separate motions for class certification arising out of a regulatory dispute over whether a reinsurance transaction adjunct to workers' compensation coverage was required to be filed with, among others, the California Department of Insurance.

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**DLA Piper partner Andrew Hoffman named to the *Daily Journal's* Top 40 Under 40 list**

16 August 2019

DLA Piper is pleased to announce that Andrew Hoffman, a partner in the firm's Litigation practice, has been named to the *Daily Journal's* 2019 Top 40 Under 40 list honoring young California lawyers across a range of practice areas.

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**Six-partner group joins DLA Piper across key markets and practice groups from coast to coast**

18 July 2019

DLA Piper announced today the addition of a six-partner group whose members will be part of the firm's Corporate, Intellectual Property and Technology, and Litigation practices. The partners will be based in San Francisco, Los Angeles, Silicon Valley and New York.

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### **DLA Piper secures significant victory for Houston Casualty**

3 July 2019

DLA Piper secured a significant victory for Houston Casualty in *Houston Casualty Co. v. Cavan Corp. of N.Y., et al.* before the New York State Supreme Court on June 27, 2019.

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### **DLA Piper's Ilana Eisenstein named an Unsung Hero by the *Legal Intelligencer***

19 June 2019

DLA Piper is pleased to announce that Ilana Eisenstein, a Philadelphia-based partner and co-chair of the Appellate Advocacy practice, has been named to the *Legal Intelligencer* 2019 list of Unsung Heroes.

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### **DLA Piper secures appellate victory for Petrobras America**

18 June 2019

DLA Piper secured a victory on behalf of Petrobras America, Inc. in a long-running lawsuit against Vicinay Cadenas, S.A., a manufacturer of chains and accessories for the offshore industry.

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### **Scott Weber joins DLA Piper's Litigation practice in New York**

10 June 2019

DLA Piper announced today that Scott Weber has joined the firm's Litigation practice as a partner in the New York office.

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### **DLA Piper represents the Conrad Prebys Foundation in dismissal of derivative lawsuit**

31 MAY 2019

DLA Piper represented the Conrad Prebys Foundation, a charitable foundation started by late philanthropist and San Diego developer Conrad Prebys, in the successful dismissal of a lawsuit filed by Prebys' partner and former foundation board member.

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### **named to *Latinvex's* list of Latin America's Top 100 Female Lawyers**

23 MAY 2019

DLA Piper is pleased to announce that Kate Brown de Vejar and Lida Rodriguez-Taseff have been named to *Latinvex's* 2019 list of Latin America's Top 100 Female Lawyers.

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### **DLA Piper announces launch of Artificial Intelligence practice**

14 MAY 2019

DLA Piper announced today the launch of its Artificial Intelligence practice, which will focus on assisting companies as they navigate the legal landscape of emerging and disruptive technologies, while helping them understand the legal and compliance risks arising from the creation and deployment of AI systems.

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### **Mariana Lozza and Nicolas Teijeiro join DLA Piper Argentina**

14 MAY 2019

DLA Piper Argentina announced today the addition of Mariana Lozza as a partner in the International Arbitration practice and Nicolas Teijeiro as a partner in the Corporate Finance practice. Both will be based in the firm's Buenos Aires office.

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### **Carl Wessel joins DLA Piper's Litigation practice in Washington, DC**

22 APR 2019

DLA Piper announced today that Carl Wessel has joined the firm's Litigation practice as a partner in Washington, DC.

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### **DLA Piper represents Immunomedics in dismissal of class action lawsuit**

16 APR 2019

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### **DLA Piper announces partnership promotions for 2019**

1 APR 2019

DLA Piper is proud to announce that 77 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2019 in the United States and May 1, 2019 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 43 different offices throughout 20 countries.

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### **Kathleen Birrane named to the *Daily Record's* 2019 Top 100 Women list**

14 MAR 2019

DLA Piper is pleased to announce that Kathleen Birrane, a partner in the firm's Litigation practice and Insurance sector, has been named to the *Daily Record's* 2019 Top 100 Women list.

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### **Peter Karanjia joins DLA Piper's Telecom and Appellate practices in Washington, DC**

4 MAR 2019

DLA Piper announced today that Peter Karanjia has joined the firm's Telecom and Appellate practices as a partner in Washington, DC.

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## **DLA Piper announces launch of Blockchain and Digital Assets practice**

26 FEB 2019

DLA Piper announced today the launch of its Blockchain and Digital Assets practice, which will offer strategic advice on a global basis to address the needs of companies implementing blockchain technology solutions and creating and deploying digital assets.

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## **Stefanie Wayco joins DLA Piper's Litigation practice in Atlanta**

31 JAN 2019

DLA Piper announced today that Stefanie Wayco has joined the firm's Litigation practice as a partner in Atlanta.

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## **Former Delaware Attorney General Matt Denn joins DLA Piper's Litigation practice**

23 JAN 2019

DLA Piper announced today that Matt Denn, who has served as Delaware's attorney general since 2015, has joined the firm's Litigation practice as a partner in Wilmington.

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## **Terry Weiss joins DLA Piper's Litigation practice in Atlanta**

23 JAN 2019

DLA Piper announced today that Terry Weiss has joined the firm's Litigation practice as a partner in Atlanta.

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## **Kate Brown de Vejar joins DLA Piper as global co-chair of International Arbitration**

22 JAN 2019

DLA Piper announced today that Kate Brown de Vejar has joined the firm's Litigation practice as a partner in Mexico City and New York.

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## **Two DLA Piper lawyers listed in *D Magazine's* 2019 Best Lawyers Under 40 list**

8 JAN 2019

Crystal Woods and James C. Bookhout were recently named to *D Magazine's* 2019 Best Lawyers Under 40 list, which recognizes outstanding young lawyers in Dallas.

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## **Eric Falkenberry named a *Law360* Data-Driven Lawyer for 2018**

5 DEC 2018

Eric Falkenberry has been named a *Law360* Data-Driven Lawyer for 2018.

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## **2018 Compliance & Risk Report: Compliance Settles In, Personal Liability Concerns Persist and Technology Emerges as the Next Frontier**

15 NOV 2018

Although corporate compliance officers (CCOs) received greater support for their programs, concerns about personal liability increased over the past year, according to a new survey released by DLA Piper.

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## **DLA Piper and IKM Advocates secure victory for the Republic of Kenya defeating a US\$2 billion ICSID claim**

24 OCT 2018

In a decisive victory for the Republic of Kenya, DLA Piper and DLA Piper Africa member firm, IKM Advocates, have successfully defeated the claim estimated to be worth US\$2 billion in arbitration proceedings brought by a mining investor, Cortec Mining Kenya Limited. The claim was brought under the bilateral investment treaty (BIT) between the UK and Kenya dated 13 September 1999. The arbitration took place under the auspices of the World Bank's International Centre for Settlement of Investment Disputes (ICSID), with the hearing taking place in Dubai in January 2018. The Tribunal issued its award on 22 October 2018.

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## **DLA Piper secures summary judgment victory on behalf of well-known economist in fraud lawsuit**

12 OCT 2018

DLA Piper won summary judgment on behalf of well-known economist Dr. Arthur Laffer, one of multiple defendants in a lawsuit alleging securities fraud and numerous other claims, and seeking more than US\$30 million in damages.

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## **R. Matthew Hiller joins DLA Piper's Litigation practice in Chicago**

9 OCT 2018

DLA Piper announced today that R. Matthew Hiller has joined the firm's Litigation practice as a partner in Chicago.

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## **Ellen Dew named to the *Daily Record's* 2018 Leading Women list**

5 OCT 2018

DLA Piper is pleased to announce that Ellen Dew, an associate in the firm's Litigation practice, has been named to the *Daily Record's* 2018 Leading Women List.

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## **Daniel Tobey joins DLA Piper's Litigation practice in Dallas**

19 SEP 2018

DLA Piper announced today that Daniel Tobey has joined the firm's Litigation practice as a partner in Dallas.

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### **Brooke Kim and Evan Parness named *Law360* Rising Stars**

16 AUG 2018

DLA Piper is pleased to announce that *Law360* has named Brooke Kim and Evan Parness to its 2018 list of Rising Star attorneys.

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### **Angela Agrusa named to the *Los Angeles Business Journal* Top Litigators list**

15 AUG 2018

DLA Piper is pleased to announce that Angela Agrusa has been named as one of the Top Litigators by the *Los Angeles Business Journal*.

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### **Lida Rodriguez-Taseff joins DLA Piper's Litigation practice in Miami**

6 AUG 2018

DLA Piper announced today that Lida Rodriguez-Taseff has joined the firm's Litigation practice as a partner in Miami.

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### **Harout Samra of DLA Piper reappointed to Florida Third District Court of Appeal Judicial Nominating Commission**

1 AUG 2018

Harout Samra was reappointed by Florida Governor Rick Scott to serve on the Florida Third District Court of Appeal Judicial Nominating Commission for a second term.

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### **DLA Piper wins significant victory for New York University**

31 JUL 2018

DLA Piper won a significant victory for its client, New York University (NYU), in an Employee Retirement Income Security Act (ERISA)- related class action.

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### **DLA Piper and cooperative firm lawyers recognized by the Latin American Corporate Counsel Association**

17 JUL 2018

DLA Piper is pleased to announce that the Latin American Corporate Counsel Association have included eleven firm and cooperative firm attorneys on their list of Latin America's leading business lawyers.

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### **Eric Falkenberry named to the Fastcase 50 list**

12 JUL 2018

Eric Falkenberry was named to the "Fastcase 50" list which honors "the smartest, most courageous innovators, techies, visionaries and leaders in the law."

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### **Kristi Kung joins DLA Piper's Litigation and Healthcare practices in Northern Virginia and Washington, DC**

27 JUN 2018

DLA Piper announced today that Kristi Kung has joined the firm's Litigation and Healthcare practices as a partner in Northern Virginia and Washington, DC.

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### **Levi Heath joins DLA Piper's Litigation practice in Los Angeles**

19 JUN 2018

DLA Piper announced today that Levi Heath has joined the firm's Litigation practice as a partner in Los Angeles.

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### **Robby Robertson joins DLA Piper's Litigation practice in Chicago**

12 JUN 2018

DLA Piper announced today that J. Robert "Robby" Robertson has joined the firm's Litigation practice as a partner in Chicago.

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### **DLA Piper secures victory for WebSpectator**

24 MAY 2018

DLA Piper secured a victory this week in its representation of WebSpectator Corporation, a Santa Monica-based company that pioneered online analytics and attention ad space, as the plaintiff before the United States District Court for the Central District of California.

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### **Angela Agrusa named to the *Daily Journal's* Top Women Lawyers in California list**

2 MAY 2018

DLA Piper is pleased to announce that Angela Agrusa, a Los Angeles-based partner in the firm's Litigation practice, has been named one of the Top Women Lawyers in California by the *Daily Journal*.

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### **Alva Mather joins DLA Piper's Litigation practice in Philadelphia**

30 APR 2018

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DLA Piper announced today that Alva Mather has joined the firm's Litigation practice as a partner in Philadelphia.

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### **Angela Agrusa and Ann Lawrence named to *Los Angeles Business Journal's* 2018 Most Influential Women Attorneys list**

26 APR 2018

DLA Piper is pleased to announce that Los Angeles-based partners Angela Agrusa and Ann Lawrence, global co-chair of the firm's Retail Industry sector, have both been selected for the Los Angeles Business Journal's 2018 Most Influential Women Attorneys list.

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### **DLA Piper wins significant victory for Maryland Reclamation Associates**

17 APR 2018

DLA Piper won a significant victory for its client, Maryland Reclamation Associates, Inc.(MRA), in a case filed against Harford County, Maryland, in the Harford County Circuit Court.

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### **DLA Piper adds Jason Lewis and Jason Hopkins to Litigation practice in Dallas**

5 APR 2018

DLA Piper announced today that Jason Lewis and Jason Hopkins have joined the firm's Litigation practice as partners in Dallas.

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### **DLA Piper announces partnership promotions for 2018**

3 APR 2018

DLA Piper is proud to announce that 62 lawyers have been promoted to its partnership. The promotions are effective as of 1 April 2018 in the United States and 1 May 2018 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 42 different offices throughout 20 countries.

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