



## Investigations

With the world's largest network of dedicated investigative professionals and dispute lawyers, DLA Piper's Global Investigations team provides guidance on all criminal, regulatory and crisis scenarios.

"Our experience and presence in the world's key regions enables us to deploy multi-disciplinary, cross-border teams to deal with every shade of corporate, regulatory and internal investigation."

*Senator George J. Mitchell, Partner and Senior Advisor, DLA Piper*

Comprising white collar crime and regulatory defense lawyers, dedicated investigative lawyers, and former regulators, government attorneys and prosecutors, our multi-disciplinary and cross-border team can deal with all kinds of investigation, in particular relating to audit inquiries, bribery and corruption, fraud, money laundering, market abuse, tax evasion, product liability, labor law issues, sanctions breaches and anti-competitive behavior; we regularly manage complex cross-border investigations and asset recovery exercises and we can assist to prepare for and respond to cyber-attack.

We can respond to challenges of any size, tailoring individual teams to the needs of our clients. We commit to providing services of the highest quality and integrity (including data management) and to do so efficiently and cost effectively.

We are one of a few international law firms with a dedicated and substantial global Investigations team with proven ability to share expertise and resources across our global platform. Our local advisors in the US, the UK, Europe, Middle East, Asia and Australia have deep experience and resources in handling criminal, regulatory and internal investigations for multinational companies with operations and businesses spread across the globe.

Our team also works side-by-side with our compliance specialists to assist multinational companies to conduct innovative proactive compliance reviews, develop effective compliance programmes to control risk, train employees, create monitoring and auditing procedures, and devise strategies for remedial action. This innovative approach sets us apart from traditional investigation practices.

Our core investigatory and compliance services include:

- Subject matter, sector based or country orientated investigations
- Internal investigations, regulatory investigations and criminal investigations
- Risk reviews, regulatory risk gap analysis and proactive compliance strategy audits
- Expert insight into the latest regulatory developments, investigation 'hot spots' and

### PERSONNES-RESSOURCES

#### **Karl H. Buch**

Associé  
New York  
T: +1 212 335 4943  
karl.buch@dlapiper.com

#### **Jonathan D. King**

Associé  
Chicago  
T: +1 312 368 7095  
jonathan.king@dlapiper.com

#### **Sam Millar**

Associé  
London  
T: +44 (0)20 7153 7714  
sam.millar@dlapiper.com

#### **Adam Vause**

Associé  
Dubai  
T: +971 4 438 6343  
adam.vause@dlapiper.com

#### **Nathan Bush**

Associé  
Singapore  
T: +65 6512 6065  
nathan.bush@dlapiper.com

#### **Prof Dr Juergen Taschke**

enforcement trends

- Compliance strategies that keep exposure to a minimum
- Response to contentious and non-contentious regulatory and contractual issues
- Crisis management
- Mitigating business interruption, economic loss and reputational damage
- Training global teams to respond effectively to regulatory intervention, including raid training.

## EXPERIENCE

---

- Served as counsel to former Senator and Secretary of Defense William S. Cohen and worked alongside staff from The Cohen Group in support of his independent review of the US EPA's evaluation of the environmental risks potentially posed by mining in Alaska's Bristol Bay watershed. The investigation culminated in a 346-page public report which details the investigative process, sets out a factual record, and provides Secretary Cohen's recommendations for policymakers.
- Acting for a global healthcare organisation on an internal investigation into two business units regarding the suspicion of antitrust violations (price arrangements, internal market issues) and criminal offences (bid rigging, fraud to the detriment of doctors and hospitals), and disclosure to and contact with the Cartel authorities and Public Prosecutor's Offices. The investigation included reviewing of employees' email accounts, dealer arrangements, employee incentive arrangements, interviewing numerous employees and management, taking remedial actions including dismissal of management and other disciplinary actions.
- Advising a Consultancy firm on an investigation into kidnapping in Sudan, allegations that the kidnap and rescue negotiators acted negligently, involved interviews conducted in multiple locations throughout Africa, including Sudan, South Africa, and additional interviews in London, North Carolina and Washington DC, with court hearings in New York.
- Advising two senior officers of an Australian-based regional provider of engineering services across Asia in respect of allegations of inappropriate payments in tender processes for major infrastructure projects in Vietnam in the period 2006-8 and in the Philippines in the period 2006-2010.
- Advising a publically listed Chinese fertiliser company regarding investigations in Canada, China and Mongolia related to accounting irregularities. Also represented the client in responding to the related investigation by the Ontario Securities Commission and the TSX.
- Representing a multinational conglomerate in two criminal antitrust investigations by the US Department of Justice, Antitrust Division and involved in follow-along civil litigation in Japan, the UK, and the United States.
- Investigating and advising on sanction proceedings for a leading European independent investment bank before the AMF regarding compliance issues and insider dealing.
- Representing a major Australian bank in large scale and complex investigations by both the Australian Competition and Consumer Commission (ACCC) and the Australian Securities and Investments Commission (ASIC) concerning trading practices in the \$5.3 trillion dollar per day international foreign currency exchange market, over a 6 year period.
- Advising an international insurance and financial services organisation and conducting a global internal investigation covering all of its subsidiaries across more than 30 countries in Europe, Middle East, Asia and Africa, to determine whether or not there were any potential OFAC investigations.
- Investigating on behalf of a food manufacturer, whistle-blower reports about alleged irregularities surrounding a tender in an African country and the possibility of corrupt payments to government officials. The internal investigation covered six jurisdictions.
- Advising a listed global provider of consumer products in respect of integrity and compliance issues raised by a UAE-based whistleblower and conducting the related investigation. Additionally advised on all Middle East aspects of a wide-ranging global compliance and integrity review across multiple jurisdictions.
- Assisting a major Asia based global transportation company in relation to a series of regulatory and criminal interventions that have regulatory impact in markets within and outside of Asia, including assisting with their internal investigations and responding to regulator inquiries.

## ACTUALITÉS

---

Senior Counsel

Frankfurt

T: +49 69 271 33 481

juergen.taschke@dlapiper.com

### SERVICES RELIÉS

- Litiges, arbitrage et enquêtes

## Publications

### SFO Operational Handbook - Evaluating a Compliance Programme

22 January 2020

On 17 January 2020, the UK Serious Fraud Office updated its Operational Handbook regarding Evaluating a Compliance Programme (the Handbook). The Handbook is an internal tool for SFO prosecutors, investigators, auditors, lawyers and compliance professionals that sets out standard processes, instructions and guidance on the conduct of SFO casework.

---

### Preventive Medicine: Official “Antimonopoly Compliance Guidelines for Business Operators” in China

10 December 2019

On 28 November 2019, the State Administration of Market Regulation (SAMR) released for public comment draft “Anti-Monopoly Compliance Guidelines for Operators” (the “Draft Guidelines”). These non-binding guidelines recommend measures for “business operators” subject to the Antimonopoly Law (AML) to develop and implement antitrust compliance programmes. The Draft Guidelines integrate prevailing best practices for international compliance programmes, while reflecting the unique challenges of antitrust risk management in China.

---

### Failed Tesco trial shows that when it comes to evidence, every little helps

13 DEC 2018

The Serious Fraud Office's case against two former Tesco senior executives has been thrown out for lack of evidence. This has highlighted the difficulties faced by prosecutors in proving individual and corporate criminal liability for misconduct committed within the context of a large corporation.

---

### Global Anticorruption Newsletter

5 DEC 2018

DLA Piper's *Global Anticorruption Newsletter* offers practical guidance on complying with international bribery laws.

---

### What now for the SFO: New director, new direction?

5 DEC 2018

There look to be exciting times ahead for the Serious Fraud Office (SFO), with a new head, a higher budget, the imminent appointment of a new general counsel and several important cases coming to their denouement. Will Lisa Osofsky's appointment as the SFO's director change the status quo? The authors consider the likely answer to be yes.

---

### Four tips for an effective eDiscovery plan in China

19 SEP 2018

A timely and well-documented eDiscovery plan, comprehensively addressing compliance with local laws, can also go a long way to address potential post-collection inquiries.

---

## Declinations for self-reporting on the rise under FCPA Pilot Program and Corporate Enforcement Policy

10 JUL 2018

The DOJ appears to be following through on its pledge to increase declinations in exchange for self-reporting potential FCPA violations.

---

## Decision of the German Constitutional Court on attorney-client privilege in internal investigations

6 JUL 2018

The current legislation and jurisprudence regarding information and documents derived from internal investigations is ambiguous. The German Constitutional Court decided on 6 July 2018 that the seizure of documents from an internal investigation in connection with the Diesel-investigations was lawful.

---

## Third parties: 4 FCPA takeaways for working with distributors

24 SEP 2014

Four key challenges and related "cures"

---

## ACTUALITÉS

---

### DLA Piper lawyers named Acritas Stars

10 March 2020

Acritas has named over 200 DLA Piper lawyers as 2020 Acritas Stars. Now in its fourth year, Acritas Stars highlights the stand-out lawyers in private practice as nominated by clients around the world. More than 3,000 senior in-house counsel feed into the nomination process to give a comprehensive view of highly recommended lawyers across the globe.

---

### DLA Piper appoints Head of Global Government Affairs team

6 March 2020

DLA Piper announces the strengthening of its Global Government Affairs team with the appointment of Richard Sterneberg who will head up the team in its Brussels office. Richard brings with him over 20 years of experience working in Brussels as a global government affairs expert.

---

### DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat, Raymond Bastedo

2 MAR 2020

DLA Piper (Canada) s.e.n.c.r.l. accueille Raymond Bastedo à titre d'avocat au sein du groupe litiges, arbitrages et enquêtes du bureau de Calgary.

---

### DLA Piper (Canada) LLP accueille trois nouveaux associés

1 JAN 2020

DLA Piper (Canada) s.e.n.c.r.l. accueille trois nouveaux ajouts au partenariat, à compter du 1er janvier 2020.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat Andrew MacGregor**

4 DEC 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Andrew MacGregor à titre d'avocat au sein du groupe litiges, arbitrages et enquêtes du bureau de Calgary.

---

### **DLA Piper launches its Global Litigation Guide**

3 December 2019

DLA Piper has launched its 'Global Litigation Guide', which covers aspects of civil litigation in 30 jurisdictions worldwide.

---

### **DLA Piper (Canada) LLP recommended in the 2020 edition of the *Legal 500 Canada***

14 NOV 2019

DLA Piper (Canada) LLP has been recommended across a broad range of practice areas in the latest edition of the *Legal 500 Canada*.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille Stephen F. Gleave à titre d'associé**

7 OCT 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'annoncer que Stephen Gleave s'est joint au cabinet à titre d'associé au bureau de Toronto. Stephen a une pratique de litige de droit commercial axée sur le droit de l'emploi.

---

### **DLA Piper (Canada) s.e.n.c.r.l. reconnue dans l'édition 2020 de Chambers Canada 2020**

20 Sep 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'être reconnue comme leader de l'industrie dans l'édition 2020 de *Chambers Canada*.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat, Simon McCleary**

9 SEPT 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Simon McCleary à titre d'avocat au sein du groupe litiges, arbitrages et enquêtes du bureau de Toronto.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate Brooke Stewart**

3 SEP 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Brooke Stewart à son bureau de Toronto à titre d'avocate au sein du groupe du droit de l'emploi.

---

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate, Adrienne Wong**

3 SEP 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Adrienne Wong à titre d'avocate au sein du groupe litiges, arbitrages et enquêtes du bureau de Calgary.

---

### **Les avocats de DLA Piper (Canada) s.e.n.c.r.l. sont reconnus dans l'édition 2020 de *Best Lawyers in Canada***

21 AUG 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir de voir 70 de ses avocats dans 34 domaines de pratique différents reconnus dans l'édition 2020 du guide *Best Lawyers in Canada*.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate Emma Cosgrave**

24 JUN 2019

DLA Piper (Canada) s.e.n.c.r.l. accueille Emma Cosgrave à titre d'avocate au sein du groupe litiges, arbitrages et enquêtes du bureau de Toronto.

---

### **DLA Piper (Canada) LLP recognized as a leader in patent laws by IAM Patent 1000**

12 JUN 2019

The 2019 edition of *IAM Patent 1000 - The World's Leading Patent Practitioners* has recognized DLA Piper (Canada) LLP and four members of its Intellectual Property and Technology (IPT) Group as leaders in the Canadian patent market.

---

### **DLA Piper announces launch of Artificial Intelligence practice**

14 MAY 2019

DLA Piper announced today the launch of its Artificial Intelligence practice, which will focus on assisting companies as they navigate the legal landscape of emerging and disruptive technologies, while helping them understand the legal and compliance risks arising from the creation and deployment of AI systems.

---

### **DLA Piper (Canada) LLP ranked in 2019 *Canadian Legal Lexpert Directory***

3 MAY 2019

DLA Piper (Canada) LLP is pleased to announce that thirty-eight of the firm's lawyers have been recognized as leading practitioners in the 2019 edition of the *Canadian Legal Lexpert Directory*.

---

### **Bretton Woods Avocats Canada se joint au bureau de DLA Piper (Canada) s.e.n.c.r.l. à Montréal**

1 MAY 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'annoncer qu'une entente a été conclue avec Bretton Woods Avocats Canada (« Bretton Woods Canada »). À compter du 1er mai 2019, l'équipe de quatre avocats et d'une stagiaire de Bretton Woods Canada se joindra au bureau de DLA Piper Canada à Montréal. L'équipe apporte un agencement unique de capacité et d'expérience renforçant ainsi le

---

bureau de Montréal et augmentant notre offre de service nationale dans le marché croissant de la conformité aux normes internationales, de la gestion du risqué et des enquêtes visant des sociétés.

---

### **DLA Piper announces partnership promotions for 2019**

1 APR 2019

DLA Piper is proud to announce that 77 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2019 in the United States and May 1, 2019 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 43 different offices throughout 20 countries.

---

### **DLA Piper announces launch of Blockchain and Digital Assets practice**

26 FEB 2019

DLA Piper announced today the launch of its Blockchain and Digital Assets practice, which will offer strategic advice on a global basis to address the needs of companies implementing blockchain technology solutions and creating and deploying digital assets.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate conseil Katrina Edgerton-McGhan**

11 FEB 2019

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'accueillir Katrina Edgerton-McGhan à titre d'avocate conseil au sein du groupe litiges, arbitrages et enquêtes du bureau de Calgary.

---

### **Kate Brown de Vejar joins DLA Piper as global co-chair of International Arbitration**

22 JAN 2019

DLA Piper announced today that Kate Brown de Vejar has joined the firm's Litigation practice as a partner in Mexico City and New York.

---

### **DLA Piper (Canada) s.e.n.c.r.l. est reconnue par le Legal 500 Canada en 2019**

7 DEC 2018

DLA Piper (Canada) s.e.n.c.r.l. a le plaisir d'annoncer que 42 avocats dans 17 domaines de pratique ont été recommandés dans la dernière édition du *Legal 500 Canada*.

---

### **DLA Piper and IKM Advocates secure victory for the Republic of Kenya defeating a US\$2 billion ICSID claim**

24 OCT 2018

In a decisive victory for the Republic of Kenya, DLA Piper and DLA Piper Africa member firm, IKM Advocates, have successfully defeated the claim estimated to be worth US\$2 billion in arbitration proceedings brought by a mining investor, Cortec Mining Kenya Limited. The claim was brought under the bilateral investment treaty (BIT) between the UK and Kenya dated 13 September 1999. The arbitration took place under the auspices of the World Bank's International Centre for Settlement of Investment Disputes (ICSID), with the hearing taking place in Dubai in January 2018. The Tribunal issued its award on 22 October 2018.

---

---

### **DLA Piper Canada s.e.n.c.r.l. reconnue dans l'édition 2019 de *Chambers Canada***

27 SEP 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'être reconnue en tant que leader de l'industrie dans l'édition 2019 de *Chambers Canada*. Avec 34 avocats qui se sont distingués dans plus de 20 champs de pratique de droit, il s'agit de la meilleure performance de DLA Piper Canada à ce jour.

---

### **Soixante-douze avocats de DLA Piper (Canada) s.e.n.c.r.l. sont reconnus dans l'édition 2019 de *Best Lawyers in Canada***

22 AUG 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'avoir augmenté encore une fois ses classements dans l'édition 2019 de *Best Lawyers*, avec près du tiers des avocats du cabinet qui se distinguent à titre de praticiens de premier plan au Canada dans plusieurs domaines de pratique clés.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate, Ashley Boyes**

7 AUG 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'accueillir Ashley Boyes à titre d'avocate au sein du groupe litiges, arbitrages et enquêtes du bureau de Toronto.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat de litige, Stephen Kroeger**

25 JUN 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'accueillir Stephen Kroeger à titre d'avocat au sein du groupe litiges, arbitrages et enquêtes du bureau d'Edmonton.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate de litige Michelle Thomarat**

28 MAY 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'accueillir Michelle Thomarat à titre d'avocate au sein du groupe de litiges, arbitrage et enquêtes du bureau de Toronto.

---

### **DLA Piper (Canada) s.e.n.c.r.l. obtient ses meilleurs résultats à ce jour dans l'édition 2018 *Canadian Legal Expert Directory***

2 MAY 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'annoncer que 43 des avocats du cabinet ont été reconnus en tant que leaders dans l'édition 2018 du *Canadian Legal Expert Directory*. Le cabinet s'est également démarqué dans onze domaines de pratique différents.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille un nouvel avocat, Titus Totan**

23 APR 2018

---



DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'accueillir Titus Totan au sein du groupe du droit de l'emploi et du travail à son bureau de Toronto.

---

### **DLA Piper (Canada) s.e.n.c.r.l. accueille une nouvelle avocate, Jessica Sullivan**

9 APR 2018

DLA Piper (Canada) s.e.n.c.r.l. est heureuse d'accueillir Jessica Sullivan à son bureau de Vancouver à titre d'avocate au sein du groupe fiducies, testaments et planification successorale.

---

### **DLA Piper announces partnership promotions for 2018**

3 APR 2018

DLA Piper is proud to announce that 62 lawyers have been promoted to its partnership. The promotions are effective as of 1 April 2018 in the United States and 1 May 2018 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 42 different offices throughout 20 countries.

---