



Public Company and Corporate Governance

Sound corporate governance is an essential pillar of any successful business. Our corporate governance team is a trusted advisor to leading and emerging companies and government entities on corporate governance matters, providing strategic advice and guidance.

We offer comprehensive counsel and representation to public and private companies concerning the regulatory landscape and best practice for corporate governance matters. We assist clients with implementing new governance statutes, rules and regulations; auditing compliance; evaluating disclosure issues; investigating allegations of wrongdoing; and responding to civil, administrative or criminal actions. We also provide board and management continuing education programs.

We have significant experience in advising senior management, boards of directors and special committees of public companies of all sizes on governance matters. Others have experience in areas that directly concern governance issues, such as government controversies (internal investigations and regulatory enforcement proceedings), white collar criminal defence, government affairs, securities litigation and executive compensation.

We also have considerable experience in advising shareholders of listed and unlisted companies on best practice in relation to corporate governance issues. We advise clients on:

- Specifying the distribution of rights and responsibilities for stakeholders, board members, committees and managers
- Specifying the rules and establishing procedures for making decisions among stakeholders, board members, committees, managers and auditors, and
- Implementing structures for corporate governance so that the shareholder objectives can be met.

From an institutional investor perspective, we also recognize and advise investors on the importance of governance as a mechanism for monitoring the actions, policies and decisions of corporations in order to achieve an alignment of interests among stakeholders.

CAPABILITES

Our corporate governance services cover:

- Board structure and performance monitoring

CONTACTO

Andrew D. Ledbetter

Socio

Seattle

T: +1 206 839 4845

andrew.ledbetter@dlapiper.cc

Dr Andreas Meyer-Landrut

Socio

Colonia

T: +49 221 277 277

362

[andreas.meyer-](mailto:andreas.meyer-landrut@dlapiper.com)

landrut@dlapiper.com

Kerstin Schnabel

Socia

Colonia

T: +49 221 277 277

363

kerstin.schnabel@dlapiper.cor

Sanjay M. Shirodkar

Of Counsel

Washington, DC

T: +1 202 799 4184

sanjay.shirodkar@dlapiper.cor

Alex Tamlyn

Socio

Londres

T: +44 (0)20 7796

6185

- Conflicts of interest
- Compliance best practice, auditing and training
- Design and review of corporate governance programs
- Review of corporate governance structures within the compliance framework
- Disclosure of price-sensitive market information
- Directors' and Officers' duties
- Protection of company funds from non-arm's length, related party dealings
- Remuneration and indemnification of Directors
- Reporting obligations
- Risk management and minimisation

alex.tamlyn@dlapiper.com

Sander Wiggers

Socio

Ámsterdam

T: +31 (0) 20 5419 928

sander.wiggers@dlapiper.com

For shareholders:

- Obligations of shareholders as owners
- Shareholder rights to engage with management
- Shareholder activism

EXPERIENCE

We recently advised a UK bank subsidiary of a non-EU parent company who required advice in the context of a larger overseas restructuring, which gave the FSA ("Financial Services Authority") concerns about the protection of the UK bank's assets. As a result of these concerns, the FSA imposed an own-initiative variation of permission ("OIVOP") on the UK bank which prohibited it from transferring assets to the parent or affiliated group companies without the permission of the FSA.

DLA Piper was particularly suitable for this instruction because of our strong reputation for understanding banking businesses and financial services clients and of how the regulators approach their supervisory powers in the context of stressed situations.

The firm provided advice in relation to:

- the UK bank's obligations to the FSA;
- the UK bank's obligations to the to its overseas parent; and
- the UK bank's board members on their obligations as board directors to:
 - the FSA under UK financial services regulatory law and under the OIVOP;
 - to the UK bank, as directors subject to English company law; and
 - to the parent company, as directors of a subsidiary of the parent.

This involved advising the client on the implications of the OIVOP for the client's freedom of action at board level and of their obligations under FSA senior management requirements and UK company law. In doing so, advice was provided in relation to aspects of the FSA regulatory environment, the UK company law framework and the company law framework of the non EU parent company.

We also participated in meetings between the client and the FSA (at Head of Department level) in the context of the imposition of the OIVOP, which required an enhanced understanding of SYSC (Senior Management Arrangements, Systems and Controls), SUP (Supervision Manual), APER (Code of Practice for Approved Persons) and the FSA Principles for Businesses as well as the FSA's supervisory tools and enforcement options.

In addition, we advised the client with regard to their interactions with their UK clearing bank and the implications of the OIVOP for their clearing and for their day to day transactions. We also assisted the client's board in managing its relationship with its parent in order to explain the implications of the OIVOP for normal parent/subsidiary relationships and governance.

NOVEDADES

Publicaciones

Blockchain and Digital Assets News and Trends

25 February 2021

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

Canada's AML regime amendments: is your interactive entertainment service or platform operating a "money service business"?

Climate change disclosures – under SEC scrutiny

25 February 2021

This new directive does not come as a surprise.

Expectations for white collar enforcement under the Biden Administration

18 February 2021

Six key areas where the Biden Administration may focus its enforcement efforts.

Defensible deletion: The proof is in the planning

5 February 2021

A framework for making defensible deletion an attainable goal.

Biden Administration's ambitious climate agenda starts now

22 January 2021

President Biden has kicked off his whole-of-government approach to address climate change.

Blockchain and Digital Assets News and Trends

22 January 2021

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

Looking at the OCC's guidance on stablecoins.

SEC invites comment on newly revealed 2011 proposal to conduct undercover investigations of criminal violations of securities laws

20 January 2021

Such an undercover program could be a game changer, increasing criminal prosecutions of securities fraud.

Blockchain and Digital Assets News and Trends

22 December 2020

BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS

New Jersey seeks to regulate digital asset business activity through a mandatory licensing framework.

The EEOC breaks its silence on the COVID-19 vaccine

22 December 2020

Some of the most important questions answered by the EEOC's guidance.

Climate change convergence? The potential for global collaboration following China's momentous announcement

17 December 2020

With the election of Joe Biden, it is widely expected that the US will reengage with the international community in the fight against climate change and in efforts to reduce overall emissions.

Climate activism: Status check and opportunities for public companies

14 December 2020

The systemic risk of climate change is being discussed and managed in board rooms around the world.

Nasdaq proposes board diversity listing standards

8 December 2020

The proposal is the first of its kind among US exchanges and, if approved by the SEC, would mark an important step toward mandated diversity requirements for the boards of US-listed public companies.

SEC 2021 and beyond: What to expect

7 December 2020

Some likely areas of SEC focus, from both the regulatory and enforcement perspectives, in 2021 and beyond.

SEC streamlines and modernizes financial disclosure

1 December 2020

A detailed summary of the final rules.

Blockchain and Digital Assets News and Trends

23 November 2020

BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS

IMF issues report on regulatory framework for CBDs and GSCs, SEC invites feedback on application of custody rule to digital

assets.

Creating voluntary carbon markets: IIF recommendations and consultation – suggestions due by December 10

17 November 2020

The Taskforce's recommendations are based on core carbon principles to help establish standardized benchmark contracts for listing on exchanges and using existing infrastructure.

Contracting for the climate: The Climate Contract Playbook is a trove of climate clauses

11 November 2020

Contracts have become an essential vehicle for companies seek to mitigate their environmental risks and limit their carbon footprints.

New York Department of Financial Services calls on financial institutions to consider climate change risk

9 November 2020

Investors increasingly view climate as an area of business risk, and regulators are viewing it as a supervisory risk as well.

As expected, California ballot initiative passes, significantly altering the California Consumer Privacy Act

5 November 2020

The California Privacy Rights Act adds new obligations on both businesses and service providers, adds some important new definitions and creates new liability risks.

Assessing ESG factors in the energy sector

27 October 2020

[ESG HANDBOOKS AND GUIDES](#)

A reference tool for energy companies as they discuss and refine their ESG programs.

Blockchain and Digital Assets News and Trends

21 October 2020

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

SDNY finds Kin token is a security; unpacking the DOJ's cryptocurrency guidance – plus latest legal, regulatory and case law developments.

California legislation and recent stockholder derivative suits push for more board diversity

7 October 2020

California's latest diversity law follows a new wave of shareholder derivative actions attacking the lack of racial diversity in

corporate leadership.

Shareholder Proposal Rule modernized – now what?

1 October 2020

A brief background of the Shareholder Proposal Rule, plus some action items for boards to consider as companies head into the 2021 proxy season.

Blockchain and Digital Assets News and Trends

23 September 2020

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

The court finds that a valid arbitration agreement exists in the ongoing WAX tokens dispute – plus latest legal, regulatory and case law developments.

Key Reg S-K disclosure rules amended: Fundamental issues to consider in your next SEC filing

9 September 2020

The overarching theme of the amendments is the SEC's focus on issuer responsibility.

eSignature and ePayment News and Trends

2 September 2020

[ESIGNATURE AND EPAYMENT NEWS AND TRENDS](#)

Want to receive 4506-T documents electronically? Ensure you are addressing recent changes - plus latest legal, regulatory and case law developments.

Slave-Free Business Certification Act proposes audit requirements for labor abuses in major company supply chains

27 August 2020

The legislation would require large companies to report on and root out forced labor in their supply chains.

Blockchain and Digital Assets News and Trends

21 August 2020

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

California appellate court affirms judgment for Coinbase in lawsuit over Bitcoin Gold – plus latest legal, regulatory and case law developments.

Delaware Court of Chancery: "Internal affairs doctrine" bars stockholder from using California Corporations

Code to inspect books and records of a Delaware corporation – four takeaways

17 August 2020

Demonstrating the power of the internal affairs doctrine.

US moves forward on enhanced securities regulations focusing on Chinese companies

17 August 2020

Latest developments in US efforts to address the financial risks posed by emerging market companies.

SEC proposes updating Form 13F requirements

30 July 2020

Seeking to raise the reporting threshold from \$100 million to \$3.5 billion to reflect changes in the size and structure of the US equities market.

Blockchain and Digital Assets News and Trends

20 July 2020

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

Court upholds New York AG's Martin Act authority to investigate virtual currency fraud – plus latest legal, regulatory and case law developments.

Supreme Court approves SEC disgorgement with limits

9 July 2020

The decision appears to be a victory for the SEC 's ability to obtain disgorgement, but the Court recognized limitations on equitable disgorgement which may offer defendants some potential relief.

FCPA Resource Guide revisions signal DOJ focus on books and records and internal controls charges

8 July 2020

A key resource for companies and individuals operating internationally.

SEC provides additional guidance regarding COVID-19 disclosures

26 June 2020

Additional guidance for public companies when evaluating their disclosures with respect to business and market disruptions resulting from COVID-19.

Blockchain and Digital Assets News and Trends June 2020

18 June 2020

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

World Economic Forum announces Presidio Principles as a foundation of blockchain ecosystem design – plus latest legal, regulatory and case law developments.

World Economic Forum announces Presidio Principles as a foundation of blockchain ecosystem design

18 June 2020

WEF's foundational values for a decentralized future.

What does the revised DOJ Compliance Program Guidance mean for your business in a post-COVID world?

16 June 2020

Compliance programs are encouraged to consider risk-based methods to allocate budget and resources.

Beyond the Curve: A framework for public company directors

15 June 2020

A guide for directors navigating the unprecedented complexities public companies face today and over the horizon.

Boardroom Brexit - 15 June 2020

15 June 2020

[BOARDROOM BREXIT](#)

Boardroom Brexit - Britain rules out extension (15 June 2020).

DOJ updates guidance on evaluation of corporate compliance programs: three takeaways

4 June 2020

Three key takeaways to keep in mind when updating compliance policies for 2020.

COVID-19 spurs digital transformation and electronic use and acceptance

3 June 2020

Steps to help to ensure enforceability and meet compliance obligations.

eSignature and ePayment News and Trends

3 June 2020

[ESIGNATURE AND EPAYMENT NEWS AND TRENDS](#)

COVID-19 spurs digital transformation and electronic use and acceptance, plus latest legal, regulatory and case law developments.

SEC adopts amendments to required financial disclosures for acquisitions and dispositions of business

29 May 2020

Public companies can utilize the new rules on a voluntary basis effective immediately, so long as they adopt the amendments in their entirety.

Preparing for global class actions arising from COVID-19

28 May 2020

The risk to companies of global and cross-border class action and collective redress proceedings is rising.

Chinese and other emerging market companies listed in the US face increased scrutiny from Congress and Nasdaq

27 May 2020

Within a span of two days, the US Senate, House and Nasdaq each took steps to safeguard investors in the US capital markets.

Blockchain and Digital Assets News and Trends

20 May 2020

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

California may limit application of securities laws to tokens, French court says bitcoins are fungible assets – plus latest legal, regulatory and case law developments.

SEC announces July 9, 2020 date for roundtable on emerging markets and China

20 May 2020

The SEC's next steps in raising investor awareness about the risks of investing in emerging markets.

Guidance on Green Loan Principles and Guidance on Sustainability Linked Loan Principles

8 May 2020

These guidelines and standards portend an increase in sustainable finance documents and projects in the near future.

SEC Chairman announces roundtable on emerging markets and China

7 May 2020

This continuing focus on investor protection is consistent with other key regulatory initiatives.

Coronavirus: Directors' duties and making decisions in a crisis (Australia)

4 May 2020

Directors need to carefully consider the risks of the COVID-19 outbreak within their business, given its impact on the global economy. As many now face significant, and increasing, cash flow pressure, directors should carefully consider their actions in the context of the legal framework.

In this new guide we have set out the practical steps directors should be taking to protect their company and its business going forwards.

US securities regulators focus on China and emerging markets: Further risk and disclosure considerations are necessary

4 May 2020

Key takeaways for China-based US-listed companies.

BC company shareholder meetings during the COVID-19 pandemic — temporary rules permit virtual-only meetings and delays in annual meetings

30 APR 2020

The British Columbia government has recognized that business as usual with regard to shareholder meeting requirements for BC companies would be problematic. By order of the BC Minister of Public Safety and Solicitor General, BC has temporarily embraced hosting shareholder meetings by solely virtual means. Similarly, the BC Registrar of Companies will be deferential to requests for delaying annual general meetings of shareholders for BC companies and is providing a simplified process to make such requests.

Post-COVID-19: What to expect in the "next normal"

30 April 2020

Issues that are front of mind, based on an informal survey of some of the largest companies and most influential global business leaders.

COVID-19: conducting internal investigations in remote work settings

24 April 2020

For companies conducting internal investigations or responding to an investigation, practical solutions in a time of distancing.

Corporate commitment to compliance helps bank avoid FCPA charges despite alleged \$4.5M bribery scheme

24 April 2020

The SEC's complaint provides insight into what made the compliance program so effective that the individual's former employer avoided government sanctions.

Blockchain and Digital Assets News and Trends

23 April 2020

[**BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS**](#)

The FSB report on global stablecoin frameworks and related cryptoassets reflects views inside G20 governments – plus latest legal, regulatory and case law developments.

Declaration and payment of dividends in a time of economic uncertainty

23 April 2020

Delaware case law provides guidance for boards facing challenging economic pressure regarding dividends.

US antitrust enforcers on high alert for collusion in labor markets during COVID-19 pandemic

21 April 2020

[ANTITRUST AND COMPETITION: NOVEL ISSUES IN A POST-CORONAVIRUS WORLD](#)

Antitrust enforcers are closely monitoring employer coordination to disadvantage workers.

Investment adviser compliance in the COVID-19 pandemic – pay attention to valuation and strategy deviation issues

17 April 2020

Investment advisers still must comply with their obligations to maintain and implement fair pricing policies and procedures and follow their disclosed investment strategies.

US CPSC advises consumers certain recall remedies may be unavailable due to COVID-19 – four key takeaways

15 April 2020

Guidance will evolve as the pandemic develops, and CPSC-regulated firms are encouraged to consider these actions.

Contract analysis in a crisis: flowcharts

7 April 2020

Flowcharts providing considerations for analyzing commercial contracts in the context of the COVID-19 pandemic through a logical process flow that can serve as a practical checklist.

SEC provides additional filing relief and disclosure guidance in light of COVID-19

6 April 2020

In addition, the Division of Corporation Finance issued disclosure guidance regarding the impact of the pandemic.

The barbarians are coming ... time for public companies to reinforce the gate

2 April 2020

While it is far too early to understand or evaluate the true impact that the COVID-19 outbreak may have on the M&A market, for so long as market prices remain depressed, public companies are encouraged to remain cognizant of the potential threat of coercive takeover attempts.

eSignature and ePayment News and Trends

March/April 2020

[ESIGNATURE AND EPAYMENT NEWS AND TRENDS](#)

COVID-19 special edition

Annual shareholder letter considerations and guidance

1 April 2020

This alert provides an update on practice as of the date of publication as well as general guidance and insights to assist boards of directors and senior management of US public companies as they evaluate their communication strategies.

Coronavirus: Warning from SEC on insider trading highlights importance of disclosure controls during the COVID-19 pandemic

31 March 2020

A statement on March 23 warned that the SEC would be on heightened alert for signs of insider trading and other misconduct that might harm investors.

ESG: The rise of private ordering and the role of the NCGC committee (United States)

26 March 2020

[ESG HANDBOOKS AND GUIDES](#)

This inaugural ESG handbook, part of our 2020 Proxy Season Hot Topics series, aims to help public companies as they develop and maintain a robust ESG program.

Blockchain and Digital Assets News and Trends

25 March 2020

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

The age of viral outbreaks – key contract considerations in a post-COVID-19 world, plus latest legal, regulatory and case law developments around blockchain and digital transformation.

Coronavirus: business resilience and continuity planning

19 March 2020

Prudent companies understand that their response to the COVID-19 pandemic should be consistent with their business resilience plans.

Coronavirus: stock repurchase considerations and guidance for US public companies

18 March 2020

General guidance and insights to assist boards of directors and senior management of US public companies as they evaluate potential stock repurchases.

Coronavirus: SEC provides guidance for conducting annual meeting (United States)

17 March 2020

The SEC provides a process for companies to notify shareholders of a change in date, time or location of the annual meeting and guidance on the holding of virtual or hybrid annual meetings and the presentation of shareholder proposals.

Considerations for virtual annual shareholder meetings in light of the coronavirus (United States)

6 March 2020

With the proxy season upon us, many public companies are grappling with how to responsibly hold annual shareholder meetings in light of the COVID-19 outbreak.

SEC provides filing extension for companies affected by coronavirus (United States)

5 March 2020

Companies that file public reports that are unable to meet filing deadlines due to the COVID-19 outbreak will have an additional 45 days to file.

Coronavirus considerations and guidance for US public companies (United States)

3 March 2020

While the situation remains fluid and the specific impacts on most companies are uncertain, the coronavirus COVID-19 outbreak may create risks in all aspects of business.

2020 Proxy Season Hot Topics: Part 1 – Ten tips for implementing SEC rule changes in your upcoming Form 10-K and proxy statement

25 February 2020

[PROXY SEASON HOT TOPICS](#)

Addressing new SEC rules and recent guidance in the 2020 proxy season.

Blockchain and Digital Assets News and Trends

19 February 2020

[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

In this issue, SEC Commissioner Peirce proposes bold new safe harbor for digital tokens, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

COP25's key outcome: adoption of the San Jose Principles on carbon market mechanisms – takeaways for business

12 February 2020

Ambitious countries are not waiting for full consensus on carbon markets.

New obligations targeting human trafficking for employers in California – and across the US

11 February 2020

Employers across the United States should consider these new obligations and carefully determine what actions they may be required to take in the workplace.

FTC announces annual revisions to HSR Act thresholds

28 January 2020

The new thresholds will affect all transactions closing after the effective date.

Court rules that "personal benefit" not necessary for criminal insider trading liability under certain statutes

14 January 2020

Błaszczak is unlikely to be the last word on insider trading.

SEC proposes changes to "accredited investor" definition

6 January 2020

The proposal would significantly impact many private securities offerings conducted in the US.

House moves to codify the offense of insider trading

12 December 2019

In the final analysis, the bill would expand liability for insider trading.

Financial institutions and technology vendors: requirements and expectations when safeguarding customer information

25 November 2019

Guidance from the FDIC contains valuable advice for any business contracting with a technology vendor, and it alerts vendors to what their financial institution customers will be asking of them.

New decision shows importance of carefully analyzing shareholder grievance communications that may be "demands"

20 November 2019

Solak's deeper lesson is that the corporation faces consequences as soon as it receives a shareholder grievance communication that might constitute a "demand."

Announcing DLA Piper's 2020 SEC Filing Deadline Calendar

19 November 2019

Created to help public companies keep track of the various filing deadlines throughout the reporting year.

SEC issues FY2019 enforcement report – highlights and key takeaways

19 November 2019

The Report addresses the Division of Enforcement's five core guiding principles as well as its continued focus on retail investor protection and combating cyber threats.

SEC proposes substantial updates to advertising and solicitation rules for investment advisers

12 November 2019

If adopted, many aspects of the proposed rule will have a significant impact on the operations and compliance policies and procedures of investment advisers registered with the SEC.

The other shoe drops: Supreme Court will decide whether the SEC may obtain disgorgement as an equitable remedy

11 November 2019

The Supreme Court has accepted a *certiorari* petition in a case seeking to determine whether the SEC is entitled to seek and obtain disgorgement as equitable relief.

DOJ issues guidance regarding business entities' inability to pay corporate fines and penalties: key takeaways

31 October 2019

The latest guidance from DOJ to incentivize companies to self-report their violations and cooperate with the DOJ's investigations and recommended remedial actions.

President Trump sounds the death knell for "regulation by guidance"

10 October 2019

Two Executive Orders effectively end the controversial practice.

SEC proposes to modernize certain rules: transitioning from a prescriptive to a principles-based approach?

10 September 2019

A strong signal that disclosure changes are coming.

SEC issues guidance on investment advisers' proxy voting responsibilities

9 September 2019

A strong indication that the SEC expects advisers to focus on their proxy voting policies and procedures and improve those policies and procedures as necessary.

SEC Chair Clayton announces new coordinated approach to settlement negotiations and the waiver process

1 August 2019

Chair Clayton emphasizes SEC evaluation of all future waiver requests in the context of assessing settlement recommendations and the conduct at issue.

Houston, we have a breach. Now what? Lessons learned from the SEC's Facebook settlement

31 July 2019

Much has been written about preventing breaches. But what should companies think about doing when they become aware of a breach?

DOJ revises its guidance on corporate compliance programs

2 MAY 2019

DOJ has made tangible the benefits a company can receive for designing and implementing an effective compliance program, and the newly revised Compliance Guidance reinforces those principles.

Unpacking the SEC's Digital Assets Guidance Framework and No Action Letter

16 APR 2019

The Framework describes factors for assessing whether digital assets are “investment contracts” subject to federal securities laws; the No Action letter applies those factors.

California mandates female board directors for publicly held companies

1 OCT 2018

California becomes the first state in the US to mandate gender diversity in the corporate boardroom, but the law may face legal challenges.

SEC report on tokens as securities: seven takeaways

31 JUL 2017

Sneak peek: DAO Tokens are securities. Double-sneak peek: many tokens are securities.

THE HOT LIST: 2017 proxy season trends and action items

7 FEB 2017

Trends that we believe will play a prominent role in the upcoming proxy season.

How well do you know your compliance program? 6 simple tools

13 JAN 2016

Companies are under increasing pressure to demonstrate to both the Audit Committee and, if necessary, regulators, that their compliance program is operational and effective. But how do you really measure that?

International tax and withholding considerations for US companies and their directors

19 MAY 2015

Multinationals commonly elect boards with international representation; it is also common to convene board meetings outside the US. US companies that do either – or both – of these things must be mindful of any resulting US or foreign tax implications

Conflict minerals rule – limited portion invalidated; June 2 filing deadline looms

16 APR 2014

The conflict minerals rule applies to all issuers that file reports with the SEC under Section 13(a) or Section 15(d) of the Exchange Act, including foreign private issuers and smaller reporting companies.

Nine questions and answers about exclusive forum provisions for stockholder litigation

23 JAN 2014

Key points about this complex, important area of corporate governance

Dodd-Frank affects private companies too: practice points to note

3 DEC 2013

For private companies reviewing their governance structures in a post Dodd-Frank world, a capsule of the Act's relevant provisions

SEC lifts general solicitation ban, proposes changes to Reg D, approves “bad actor” rules

19 JUL 2013

US District Court vacates SEC’s Resource Extraction Rule

3 JUL 2013

Corporate governance also means protecting your technology and information

7 MAR 2013

SEC approves listing rules affecting compensation committees and advisers

4 Feb 2013

Conflict mineral reporting rules impact many public companies: *new supply chain requirements and new Form SD*

19 Sep 2012

Understanding the new reporting requirements.

SEC final rule on independence, outside consultants: changes afoot for compensation committees

2 Jul 2012

Responding to the SEC's final whistleblower bounty rules

2 Jun 2011

Finding your way in the days of say-on-pay: tips for your 2011 proxy materials

16 Nov 2010

Navigating Dodd-Frank: proactive steps to compensation disclosure

16 Nov 2010

SEC proposes rules on shareholder approval of executive compensation, golden parachute payments

26 Oct 2010

NYSE prohibits discretionary voting on executive compensation matters, NASDAQ to follow suit

23 Sep 2010

SEC adopts proxy access rules: prepare for changes to the director nomination process

7 Sep 2010

Federal banking agencies adopt final rules implementing the SAFE Act

2 Aug 2010

SEC begins Dodd-Frank rulemaking with new open process

28 Jul 2010

Financial reform legislation affects executive compensation and corporate governance

21 Jul 2010

Senator Dodd introduces revised financial services reform bill

18 Mar 2010

[Eventos](#)

[Reciente](#)

Corporate clutter and defensible deletion

15 September 2020 | 1:30 – 2:30 ET
Webinar

Playbook for managing novel and enhanced M&A risks in the new normal

15 September 2020 | 9:00 - 10:15 ET
Webinar

NOTICIAS

DLA Piper advises NuVasive in its acquisition of Simplify Medical

1 March 2021

DLA Piper represented NuVasive, Inc., in its recent acquisition of Simplify Medical, a privately held company and developer of the Simplify Cervical Artificial Disc for cervical total disc replacement (cTDR).

Ehab Elsonbaty joins DLA Piper's Corporate practice in New York

26 February 2021

DLA Piper announced today that Dr. Ehab Elsonbaty has joined the firm's Corporate practice as a partner in New York.

DLA Piper advises Sawafi in its acquisitions of Newsco International Energy Services USA and Telemetrix USA Inc.

23 February 2021

DLA Piper represented Sawafi Al-Jazeera Oilfield Products and Services Co. Ltd. in its simultaneous acquisitions of Newsco International Energy Services USA Inc. and Telemetrix USA Inc.

DLA Piper advises ZASH in its acquisition of Lomotif

23 February 2021

DLA Piper represented ZASH Global Media and Entertainment Corporation in its acquisition of Lomotif Private Limited.

DLA Piper advises Manulife on its acquisition of 39 Martin Place

29 January 2021

DLA Piper has advised international financial services group Manulife Financial Corporation on its 50/50 joint venture with Investa Commercial Property Fund ("ICPF") to acquire the right to a 100% interest in 39 Martin Place, Sydney from Macquarie Group Limited (ASX: MQG).

DLA Piper advises Zip on investment in Twisto Payments

19 January 2021

Global law firm DLA Piper has advised ASX listed Zip Co Limited (ASX: Z1P), a leading player in the digital retail finance and payments industry, on its investment in Twisto Payments a.s, a leading payments platform based in the Czech Republic and Poland.

Michael D. Maline joins DLA Piper's Corporate practice in New York

11 January 2021

DLA Piper announced today that Michael D. Maline has joined the firm's Corporate practice as a partner and co-chair of the Capital Markets practice, based in New York.

DLA Piper tops list for global M&A deal volume for 11th straight year

7 January 2021

For the 11th consecutive year, DLA Piper was the highest-ranked legal advisor in the world for M&A deal volume, according to *Mergermarket's* league tables.

Nine DLA Piper lawyers recognized by BTI Consulting Group for superior client service

10 December 2020

DLA Piper is pleased to announce that BTI Consulting Group has recognized nine of its lawyers for providing superior service to clients.

DLA Piper advises Legacy Acquisition Corporation in de-SPACing in connection with its business combination with Onyx Enterprises

9 December 2020

DLA Piper represented Legacy Acquisition Corporation in a de-SPACing process in connection with its previously announced business combination with Onyx Enterprises International Corporation, the owner and operator of, among other verticals, "CARiD.com," a leading digital commerce platform for the automotive aftermarket.

DLA Piper advises Analytical Graphics in its US\$700 million sale to Ansys, Inc.

3 December 2020

DLA Piper represented Analytical Graphics, Inc. (AGI) in its US\$700 million sale to Ansys, Inc.

DLA Piper partner Guy Flynn named to *Baltimore Business Journal's* Who's Who in Law 2020 list

3 December 2020

DLA Piper is pleased to announce that Guy Flynn has been named to the Baltimore Business Journal's Who's Who in Law 2020 list of attorneys and other legal practitioners "who have made their mark on Baltimore, and Maryland more broadly, in their careers."

Three DLA Piper partners named to *San Diego Business Journal's* SD500 list of influential people

1 December 2020

DLA Piper is pleased to announce that Corporate partners Michael Brown, Larry Nishnick and Randy Socol have been named to the *San Diego Business Journals* SD500 list of the most influential people in San Diego that are making a significant impact on the business community.

DLA Piper advises Open Systems Adaptable Solutions in its acquisition by Aptean

30 November 2020

DLA Piper represented Open Systems Adaptable Solutions (OSAS) in its recent acquisition by Aptean.

DLA Piper advises G2SF in its sale to Gunnison Consulting Group

23 November 2020

DLA Piper represented G2SF, Inc. in its sale to Gunnison Consulting Group, Inc., a leader in software development, data analytics and enterprise system testing for US federal government customers with a data-intensive focus.

DLA Piper advises Fircroft Group on strategic alignment with NES Global Talent

18 November 2020

DLA Piper has advised Fircroft Group, the global technical and engineering workforce solutions provider, on its merger with NES Global Talent, a global leader in engineering and technical resource solutions. The integration of these two businesses will create a leading global technical workforce solutions organization.

DLA Piper advises Prologis on largest-ever sale of logistics real estate assets in the UK

29 October 2020

DLA Piper has advised global leader in logistics real estate Prologis, Inc. on the sale of a portfolio of buildings and land in the UK to real estate funds managed by Blackstone for GBP473 million (US\$618 million).

DLA Piper advises Space Capital on investment in technology start-up bliq

27 October 2020

DLA Piper has advised US venture capital investor Space Capital on its investments in German technology start-up, bliq. The investment was part of bliq's Series Seed 2 financing round.

DLA Piper advises InstarAGF in its acquisition of LS Networks

19 October 2020

DLA Piper represented InstarAGF Asset Management Inc. in its definitive agreement to acquire LS Networks.

DLA Piper advises Vivendi Group and Gameloft in acquisition of The Other Guys

19 October 2020

DLA Piper represented Vivendi Group and Gameloft S.E. in the acquisition of The Other Guys.

DLA Piper advises MEDNAX in its US\$885 million sale of MEDNAX Radiology Solutions to Radiology Partners

15 September 2020

DLA Piper represented MEDNAX, Inc. in the sale of MEDNAX Radiology Solutions to Radiology Partners for US\$885 million.

Tom Rice joins DLA Piper's Corporate practice in New York

14 September 2020

DLA Piper announced today that Tom Rice has joined the firm's Corporate practice as a partner in New York and chair of the firm's newly formed Asset Recovery and Workouts group.

DLA Piper advises NanoSemi in its sale to MaxLinear

10 September 2020

DLA Piper represented NanoSemi, Inc. in its sale to MaxLinear, Inc.

Francisco Cerezo named to the *Daily Business Review's* 2020 list of Florida Trailblazers

10 September 2020

DLA Piper is pleased to announce that Francisco Cerezo has been named a 2020 Florida Trailblazer by the *Daily Business Review*.

DLA Piper (Canada) LLP launches Black and Indigenous Business Law Clinic

28 AUG 2020

DLA Piper Canada is pleased to announce the launch of a pro bono business law clinic dedicated to providing Black and Indigenous businesses with corporate and commercial legal advice.

DLA Piper advises NFP in its acquisition of Rose & Kiernan, Inc.

18 August 2020

DLA Piper represented NFP in its recent acquisition of Rose & Kiernan, Inc.

DLA Piper advises AS Roma in its sale to The Friedkin Group for €591 million

17 August 2020

DLA Piper represented AS Roma SPV, LLC, the majority shareholder of Italian soccer club AS Roma, in the sale of its controlling interest in the team and certain related assets to The Friedkin Group, Inc., in a transaction valued at €591 million.

DLA Piper advises Syngenta on the acquisition of South African Sensako Group

17 August 2020

DLA Piper has advised Swiss-based Syngenta Group on its acquisition of Sensako Group, a South African seeds company engaged in the breeding and the commercialization of agronomic crops.

DLA Piper advises Cultural Experiences Abroad in investment from Infinedi Partners

14 August 2020

DLA Piper represented Cultural Experiences Abroad, LLC (CEA) in a recent investment from private equity firm Infinedi Partners, LP.

DLA Piper advises First Solar, Inc. in sale of O&M Business to NovaSource Power Services

13 August 2020

DLA Piper is representing First Solar, Inc. in connection with its pending sale of First Solar's North American Operations and Maintenance Business to NovaSource Power Services, a portfolio company of Toronto-based private equity firm Clairvest Group Inc. The sale is for an undisclosed amount.

DLA Piper advises outsourced customer care provider IBEX in US\$90.5 million IPO

13 August 2020

DLA Piper represented IBEX Limited (Nasdaq: IBEX), a portfolio company of The Resource Group, in its initial public offering of US\$90.5 million of common stock. Citigroup Global Markets Inc. and RBC Capital Markets, LLC served as joint book-running managers for the offering.

DLA Piper advises Heidelberger Druckmaschinen on the sale of MIS software provider CERM

6 August 2020

DLA Piper has advised Heidelberger Druckmaschinen AG (Heidelberg) on the sale of CERM, a global provider of Management Information System (MIS) software for the printing industry, in a Management Buyout (MBO).

Raul Farias joins DLA Piper's Corporate practice and Energy sector

3 August 2020

DLA Piper announced today that Raul Farias has joined the firm's Corporate practice and Energy sector as a partner in San Diego.

DLA Piper advises New Signature in its acquisition by Cognizant

28 July 2020

DLA Piper represented New Signature in its acquisition by Cognizant.

DLA Piper advises Episode Six on its USD7 million Series A financing

22 July 2020

DLA Piper has advised Episode Six, a next-generation financial technology provider, on its recent USD7 million Series A funding. The round was led by HSBC and includes investments from Mastercard and SBI Investment Co., Ltd., which first invested in Episode Six through its FinTech Business Innovation Fund in 2017.

DLA Piper advises Flightdocs in its acquisition by Aircraft Technical Publishers

8 July 2020

DLA Piper represented Flightdocs in its acquisition by Aircraft Technical Publishers (ATP).

DLA Piper advises CIRCOR in sale of Distributed Valve business

7 July 2020

DLA Piper represented CIRCOR International, Inc. in the sale by its subsidiary, CIRCOR Energy Products LLC (CEP), of its distributed valve business to MS Valves GmbH.

DLA Piper advises Katoen Natie on the acquisition of Nijhof Wassink

7 July 2020

DLA Piper has advised Katoen Natie, an international logistics service provider and port operator, on the acquisition of the warehousing activities of Nijhof-Wassink in Poland, a family-owned company specialising in logistics.

DLA Piper advises Liquidia in its acquisition of RareGen and its US\$75 million follow-on offering

6 July 2020

DLA Piper represented Liquidia Technologies, Inc. in its acquisition of RareGen, LLC.

DLA Piper partner Larry Nishnick named to *San Diego Business Journal's* inaugural list of 50 leaders making a difference in San Diego

6 July 2020

DLA Piper is pleased to announce that Larry Nishnick, a partner in the firm's Corporate practice, has been named to the *San Diego Business Journal's* inaugural "SD 50" list of 50 influential leaders making a difference during the COVID-19 pandemic and these otherwise unprecedented times.

DLA Piper advises RetireUp in its sale to Tegra118

15 June 2020

DLA Piper represented RetireUp, a leading provider of retirement planning technology, in its recent sale to Tegra118.

DLA Piper advises Haemonetics in its sale of US blood donor management software to the GPI Group

12 June 2020

DLA Piper represented Haemonetics Corporation in its sale of certain blood donor management software assets within its Blood Center business unit to the GPI Group.

DLA Piper advises Alliance Residential Company in the sale of its property management business to Greystar Real Estate Partners

10 June 2020

DLA Piper represented multifamily real estate development and management company Alliance Residential Company in the sale of its property management business to Greystar Real Estate Partners, LLC.

Jeffrey Selman joins DLA Piper's Corporate practice in Northern California

29 May 2020

DLA Piper announced today that Jeffrey Selman has joined the firm's Corporate practice as a partner in Northern California, based in the Silicon Valley and San Francisco offices.

DLA Piper advises WaterSmart Software in its sale to VertexOne

14 May 2020

DLA Piper represented WaterSmart Software in its recent sale to VertexOne.

DLA Piper lawyers and practices ranked in latest Chambers edition

8 May 2020

DLA Piper today announced that the firm received 172 lawyer rankings and 71 practice rankings in *Chambers USA's* 2020 guide.

DLA Piper advises OneSpaWorld in US\$75 million investment

5 May 2020

DLA Piper represented OneSpaWorld Holdings Limited, a global provider of health and wellness services and products on cruise ships and in destination resorts around the world, in an agreement to sell US\$75 million in common equity and warrants to Steiner Leisure Limited and its affiliates and other investors.

DLA Piper announces partnership promotions for 2020

30 April 2020

DLA Piper is proud to announce that 67 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2020 in the United States and May 1, 2020 for EMEA and Asia Pacific. The promotions have been made across many of the firm's practice areas in 35 different offices throughout 13 countries.

Across the firm's practices globally, Corporate saw the largest intake of new partners with 19 promotions, followed by Litigation and Regulatory with 15. Intellectual Property and Technology and Finance and Projects had ten and eight promotions respectively, while there were six in Real Estate. Tax and Employment both had four, and there was one in Restructuring.

Dino Barajas joins DLA Piper's Finance practice as co-chair of US Projects and Infrastructure and Latin American Corporate and Finance practices

24 March 2020

DLA Piper announced today that Dino Barajas has joined the firm's Finance practice as a partner in Los Angeles.

Carole Bellis joins DLA Piper's Corporate practice in Northern California

17 March 2020

DLA Piper announced today that Carole Bellis has joined the firm's Corporate practice as a partner in Northern California.

James Kelly, Ilya Bubel and Peter Alfano join DLA Piper in New York

16 March 2020

DLA Piper announced today that James Kelly, Ilya Bubel and Peter Alfano have joined the firm's New York office.

DLA Piper lawyers named Acritas Stars

10 March 2020

Acritas has named over 200 DLA Piper lawyers as 2020 Acritas Stars. Now in its fourth year, Acritas Stars highlights the stand-out lawyers in private practice as nominated by clients around the world. More than 3,000 senior in-house counsel feed into the nomination process to give a comprehensive view of highly recommended lawyers across the globe.

DLA Piper names Matt Schwartz head of Growth Lending

2 March 2020

DLA Piper announced today that partner Matt Schwartz has been named head of the firm's Growth Lending practice.

DLA Piper advises ABS Capital Partners in Series C financing of SquadLocker

26 February 2020

DLA Piper represented ABS Capital Partners in its investment in SquadLocker, a leading provider of online tools and services for teams and organizations to manage custom apparel and equipment purchasing.

DLA Piper only firm in top five for private equity, venture capital and M&A for third consecutive year

25 February 2020

DLA Piper was the only firm to rank among the top five most active law firms for global deal volume in each of private equity, venture capital and M&A.

DLA Piper advises Hyve Group Plc on the USD145m acquisition of two US-based retail and e-commerce event firms

20 February 2020

DLA Piper advised Hyve Group plc on the acquisition of Shoptalk and Groceryshop, two US-based market leading events focused on e-commerce for the retail, grocery and consumer packaged goods industries, for a total consideration of USD145 million on a cash free, debt free basis.

Marc Samuel joins DLA Piper's Corporate practice in Washington, DC and New York

19 February 2020

DLA Piper announced today that Marc Samuel has joined the firm's Corporate practice as a partner in Washington, DC and New York.

DLA Piper advises court-appointed receiver in sale of Essai, Inc. to US subsidiary of Advantest Corporation

11 February 2020

DLA Piper represented Andrew Hinkelman of FTI Consulting, Inc. as a court-appointed independent receiver in the sale of Essai, Inc. to Advantest America, Inc. for more than US\$300 million.

DLA Piper represents Aumni in US\$10 million Series A financing

3 February 2020

DLA Piper advised Aumni, Inc., a software-as-a-service (SaaS) company developing a robotic process automation and data analytics platform for private capital investors, in a US\$10 million Series A funding round led by SVB Financial Group, the parent company of Silicon Valley Bank.

DLA Piper advises GS Engineering & Construction in its EUR140 million acquisition of Danwood Holding from Enterprise Investors

28 January 2020

DLA Piper advised GS Engineering & Construction, a leading global construction company based in South Korea, on the acquisition of 100% of the shares in Danwood Holding from Polish Enterprise Fund VII, a private equity fund managed by Enterprise Investors. The value of the transaction is EUR140 million.

DLA Piper announces new US Corporate practice sub-group and regional leadership

10 January 2020

DLA Piper is pleased to announce several recent changes to the firm's US Corporate practice sub-group and regional leadership.

DLA Piper tops list for global M&A deal volume for tenth straight year

8 January 2020

For the tenth consecutive year, DLA Piper was the highest ranked legal advisor in the world for M&A deal volume, according to

Mergermarket's league tables.

DLA Piper advises CIRCOR in US\$172 million sale of Instrumentation and Sampling business to Crane Co.

6 January 2020

DLA Piper represented CIRCOR International, Inc. in the US\$172 million sale of its Instrumentation and Sampling business to Crane Co.

DLA Piper advises Act II Global Acquisition Corp. in combination with Flavors Holdings Inc. subsidiaries Merisant Company and MAFCO Worldwide LLC

26 December 2019

DLA Piper represented Act II Global Acquisition Corp. in a business combination with Flavors Holdings Inc. subsidiaries Merisant Company and MAFCO Worldwide LLC.

DLA Piper advises CallMiner in US\$75 million investment from Goldman Sachs

17 December 2019

DLA Piper is pleased to announce that the firm represented CallMiner in connection with a US\$75 million investment from GS Growth.

DLA Piper's Joshua Samek named Most Effective Lawyer for Corporate Securities by the *Daily Business Review*

11 December 2019

DLA Piper is pleased to announce that Joshua Samek, co-chair of the firm's Miami Corporate practice, has been named by the *Daily Business Review* as the 2019 Most Effective Lawyer for Corporate Securities.

Afshin Beyzaee named to *Variety's* Dealmakers Impact Report

4 December 2019

DLA Piper is pleased to announce Los Angeles-based partner Afshin Beyzaee has been selected for the 12th annual *Variety* Dealmaker Impact Report.

StartOut and DLA Piper welcome new cohort of Growth Lab companies

3 December 2019

The StartOut Growth Lab, a startup accelerator aimed at advancing companies founded or cofounded by LGBTQ+ entrepreneurs,

has welcomed its fifth cohort of companies, each focused on transforming their respective industry.

DLA Piper advises Seaspan Corporation in its US\$750 million acquisition of APR Energy Limited

2 December 2019

DLA Piper represented Seaspan Corporation in its acquisition of APR Energy Limited.

Gabriel Gershowitz joins DLA Piper's Corporate and Insurance practices in New York

2 December 2019

DLA Piper announced today that Gabriel Gershowitz has joined the firm's Insurance & Reinsurance practice as counsel in the New York office.

DLA Piper advises AiCure in US\$24.5 million Series C financing

18 November 2019

DLA Piper is pleased to announce that AiCure chose the firm to represent AiCure in its US\$24.5 million Series C financing.

John Maselli joins DLA Piper's Corporate practice in Northern California

18 November 2019

DLA Piper announced today that John Maselli has joined the firm's Corporate practice as a partner in Northern California, based in the San Francisco office.

DLA Piper represents Total Server Solutions in US\$35 million of new financing

15 November 2019

DLA Piper represented Total Server Solutions (TSS) in closing US\$35 million of new financing with a US\$27.5 million credit facility provided by Crestline Investors.

DLA Piper represents SunTrust Robinson Humphrey and SunTrust Bank in closing of US\$60 million credit facility for Electric Entertainment

13 November 2019

DLA Piper represented SunTrust Robinson Humphrey and SunTrust Bank in closing a US\$60 million syndicated corporate credit facility for Electric Entertainment.

DLA Piper advises Vindex in launch and key acquisition

6 November 2019

DLA Piper represented Vindex in its launch along with the acquisition of Next Generation Esports (NGE) and the launch of Esports Engine.

Tom Ara named a *National Law Journal* 2019 Sports and Entertainment Trailblazer

6 November 2019

DLA Piper is pleased to announce that Tom Ara has been named to the *National Law Journal's* 2019 Sports and Entertainment Trailblazers list.

Tom Ara profiled in *Screen International's* International Insiders report

6 November 2019

Tom Ara was recently profiled in *Screen International's* International Insiders in the US report.

DLA Piper advises Contino in its sale to Cognizant

5 November 2019

DLA Piper represented Contino, a privately-held technology consulting firm, in its sale to Cognizant.

DLA Piper advises Plexium in US\$28 million Series A financing

18 October 2019

DLA Piper represented Plexium, a San Diego-based emerging biotechnology company whose proprietary platform, DELPhe, enables cell-based phenotypic screening of DNA-encoded libraries in nanoliter volumes, in its US\$28 million Series A financing.

DLA Piper advises WH Smith in its US\$400 million acquisition of Marshall Retail Group

18 October 2019

DLA Piper advised UK retailer WH Smith in its US\$400 million acquisition of Marshall Retail Group.

DLA Piper advises Insilico Medicine on successful Series B funding

17 October 2019

DLA Piper advised Insilico Medicine, a pioneer in next-generation artificial intelligence technology for drug discovery, on a successful US\$37 million Series B funding round to commercialize the validated generative chemistry and target identification technology.

DLA Piper recognized in IFLR1000 2020 rankings

17 October 2019

DLA Piper has been once again recognized by the IFLR1000 in the publication's 2020 rankings of the world's leading financial and corporate law firms and lawyers.

Alex Steinberg rejoins DLA Piper's Corporate practice in New York

10 October 2019

DLA Piper announced today that Alex Steinberg has rejoined the firm's Corporate practice and Media, Sport and Entertainment sector.

Jenifer Renzenbrink Smith named to the *Texas Lawyer's* Texas Trailblazer list

9 October 2019

DLA Piper is pleased to announce that Jenifer Renzenbrink Smith, an Austin-based corporate partner, has been named a 2019 Texas Trailblazer by Texas Lawyer.

DLA Piper advises Rolls-Royce in agreement to sell North American civil nuclear services businesses to Westinghouse Electric Company

4 October 2019

DLA Piper represented Rolls-Royce in its agreement to sell the company's North American civil nuclear services businesses to Westinghouse Electric Company.

DLA Piper advises Camden Partners in Series D financing

1 October 2019

DLA Piper represented Camden Partners in its investment in RedPoint Global, a top provider of customer data platform (CDP) and customer engagement technologies.

DLA Piper advises emids on New Mountain Capital partnership

26 September 2019

DLA Piper represented emids in its partnership with New Mountain Capital.

DLA Piper advises Clutter on its US\$152 million acquisition of the New York real estate portfolio of The Storage Fox

23 September 2019

DLA Piper represented Clutter, an on-demand a tech-enabled storage company that manages the pickup, storage and retrieval of customers' belongings, in its US\$152 million acquisition of the New York-based real estate portfolio of The Storage Fox.

DLA Piper announces launch of LIBOR Transition practice

23 September 2019

DLA Piper announced today the launch of its LIBOR Transition practice, which will focus on assisting companies with impact assessment and advising on benchmark reform implementation across multiple jurisdictions and products.

DLA Piper advises Stellex Capital Management in its US\$352 million sale of Morbank LLC

13 September 2019

DLA Piper represented Stellex Capital Management in its US\$352 million sale of Morbank LLC, a leading manufacturer of high-performance equipment and aftermarket parts for forestry, tree maintenance, biomass, land management and recycling markets, to Alamo Group Inc.

Cross-border M&A practitioner Dr. Tim Arndt moves to DLA Piper's Corporate practice in New York

11 September 2019

DLA Piper announced today that Dr. Tim Arndt has joined the firm's New York office as a partner in the Corporate practice.

DLA Piper advises Bowlero Corp. in its acquisition of the Professional Bowlers Association

11 September 2019

DLA Piper represented Bowlero Corporation in its acquisition of the Professional Bowlers Association, the pinnacle of professional bowling since 1958.

Peter Ekberg joins DLA Piper's Corporate practice in Minneapolis

11 September 2019

DLA Piper announced today that Peter Ekberg has joined the firm's Corporate practice as a partner in Minneapolis.

DLA Piper advises Morgan Stanley Capital Partners in its investment in Ovation Fertility

10 September 2019

DLA Piper represented Morgan Stanley Capital Partners (MSCP), the middle market-focused private equity team within Morgan Stanley Investment Management, in its recent investment in Ovation Fertility.

DLA Piper adviseert The Carlyle Group bij investering in HSO Group

6 September 2019

DLA Piper heeft The Carlyle Group geadviseerd bij de investering in HSO Group. Het eigen vermogen voor de investering kwam van Carlyle European Technology Partners III Fund (CETP), een fonds van EUR635 miljoen dat investeert in technologiegerichte bedrijven in Europa en de VS.

DLA Piper advises The Carlyle Group on its investment in HSO Group

6 September 2019

DLA Piper has advised The Carlyle Group on its investment in HSO Group. Equity for the investment is provided by Carlyle European Technology Partners III Fund (CETP), a EUR635 million fund that invests in technology-focused companies in Europe and the US.

DLA Piper advises Spur Energy Partners LLC in its US\$925 million acquisition of New Mexico oil and gas properties

4 September 2019

DLA Piper represented Spur Energy Partners LLC in its US\$925 million acquisition of oil and gas properties in New Mexico from Concho Resources.

DLA Piper advises CIRCOR in US\$84.5 million sale of Spence and Nicholson product lines

3 September 2019

DLA Piper represented CIRCOR International, Inc., a provider of flow control solutions and other highly engineered products for the industrial, energy, aerospace and defense markets, in the sale of certain assets and liabilities relating to its Spence and Nicholson product lines to Emerson for approximately US\$84.5 million.

DLA Piper's John J. Gilluly III named to the *Texas Lawyer* 2019 Most Effective Dealmaker list

3 September 2019

DLA Piper is pleased to announce that John J. Gilluly III, the co-leader of the firm's global Corporate practice group, has been

named to the *Texas Lawyer* 2019 Most Effective Dealmaker list.

DLA Piper represents Blue Ocean Robotics in its acquisition of the Beam telepresence robot business from Suitable Technologies

30 August 2019

DLA Piper is representing Denmark-based Blue Ocean Robotics in its acquisition of the assets and rights associated with the Beam® telepresence robot from Silicon Valley-based Suitable Technologies, Inc. The deal is subject to necessary approvals.

DLA Piper advises Protenus in US\$17 million Series C financing

23 August 2019

DLA Piper represented Protenus, a Baltimore-based healthcare compliance analytics company, in its US\$17 million Series C financing.

Stephen Ballas joins DLA Piper's Corporate practice in Los Angeles

20 August 2019

DLA Piper announced today that Stephen Ballas has joined the firm's Corporate practice as a partner in Los Angeles.

DLA Piper partner Laura Sixkiller named one of Arizona's Most Influential Women

14 August 2019

DLA Piper is pleased to announce that Laura Sixkiller, a Litigation partner in the firm's Phoenix office, has been named one of Arizona's Most Influential Women by AZ Business and AZRE magazines.

DLA Piper advises Big Bolt Corporation in its sale to Tenex Capital Management

9 August 2019

DLA Piper represented Big Bolt Corporation, a manufacturer and supplier of industrial fasteners, in its sale to private equity firm Tenex Capital Management, L.P. The transaction closed on August 9, 2019.

DLA Piper advises PHI, Inc. and various affiliates in their voluntary chapter 11 cases

9 August 2019

DLA Piper represented PHI, Inc. and various affiliates in their voluntary chapter 11 cases in the United States Bankruptcy Court for the Northern District of Texas, Dallas Division.

DLA Piper advises Board of Directors of Members Mutual Holding Co. in its conversion to a stock form company

8 August 2019

DLA Piper represented the board of directors of Members Mutual Holding Company and its subsidiary Fidelity Life Association in connection with a series of transactions to convert Members Mutual from a mutual company to a stock form company.

DLA Piper advises Credible on merger with Fox

6 August 2019

Global law firm DLA Piper has advised ASX-listed US fintech company Credible Labs Inc. (ASX: CRD) on its AUD585 million proposed merger with NASDAQ listed Fox Corporation.

DLA Piper advises Remote Operations Center in its sale to LineStar Integrity Services

30 July 2019

DLA Piper represented Remote Operations Center in its sale to LineStar Integrity Services.

DLA Piper advises The Compliance Group in its sale to LineStar Integrity Services

30 July 2019

DLA Piper represented The Compliance Group in its sale to LineStar Integrity Services.

DLA Piper advises VMware on its proposed acquisition of Bitfusion

29 July 2019

DLA Piper represented VMware, Inc., a global leader in cloud infrastructure and digital workspace technology, in its proposed acquisition of Bitfusion.io Inc.

DLA Piper represents Bridge Investments in sale of QDiscovery

24 July 2019

DLA Piper represented Bridge Investments in the sale of e-discovery provider QDiscovery LLC to Xact Data Discovery.

DLA Piper represents Advizr in its acquisition by Orion Advisor Services

23 July 2019

DLA Piper represented Advizr, Inc. in its acquisition by Orion Advisor Services.

Six-partner group joins DLA Piper across key markets and practice groups from coast to coast

18 July 2019

DLA Piper announced today the addition of a six-partner group whose members will be part of the firm's Corporate, Intellectual Property and Technology, and Litigation practices. The partners will be based in San Francisco, Los Angeles, Silicon Valley and New York.

DLA Piper advises Vungle in proposed acquisition by Blackstone

17 July 2019

DLA Piper represented Vungle, Inc., a mobile video advertising company, in the negotiation of an agreement to be acquired by private equity firm Blackstone.

DLA Piper advises Jaja Finance on its £530m acquisition of Bank of Ireland's UK credit card business

12 July 2019

DLA Piper has advised digital finance company Jaja Finance Limited (Jaja) on the acquisition of Bank of Ireland's (The Bank) UK credit card portfolio.

DLA Piper advises FTI Consulting, Inc. on its acquisition of Andersch AG

3 July 2019

DLA Piper represented FTI Consulting, Inc. on its acquisition of Andersch AG, a leading German restructuring advisory firm with offices in Frankfurt, Hamburg and Düsseldorf.

DLA Piper advises Adaptive Biotechnologies in its US\$345 million IPO

2 July 2019

July 2, 2019 – DLA Piper represented Seattle-based Adaptive Biotechnologies Corporation in its US\$345 million initial public offering.

DLA Piper advises NEA in their US\$50 million Series C financing in Tamara Mellon Brand, Inc.

1 July 2019

DLA Piper represented New Enterprise Associates, Inc. (NEA) in connection with its participation in a US\$50 million Series C financing in Tamara Mellon Brand, Inc., a Los Angeles-based direct-to-consumer luxury footwear company started by Jimmy Choo co-founder, Tamara Mellon.

DLA Piper advises Tubi on IPO and ASX listing

21 June 2019

Global law firm DLA Piper has advised Tubi Limited (ASX: 2BE) on its AUD48.5 million initial public offering (IPO) and listing on the Australian Securities Exchange (ASX).

DLA Piper's Joseph Alexander named a Dealmaker of the Year by the *Daily Report*

20 June 2019

DLA Piper is pleased to announce that Joseph Alexander, the Southeast US managing partner and global co-chair of the Corporate and Private Equity practices, has been named to the *Daily Report* 2019 list of Dealmakers of the Year.

DLA Piper advises Real Capital Analytics in US\$115 million investment and recapitalization by Susquehanna Growth Equity

18 June 2019

DLA Piper advised Real Capital Analytics, Inc., a real estate analytics and data provider firm, in a US\$115 million growth equity investment and recapitalization by Susquehanna Growth Equity, LLC. The investment was in the form of a Series B equity fundraising and debt.

DLA Piper advises Q2 Holdings in concurrent common stock and convertible note offerings

17 JUN 2019

DLA Piper advised Q2 Holdings, Inc. in a US\$210.8 million underwritten registered public offering of its common stock and a concurrent private placement of US\$316.25 million aggregate principal amount of convertible senior notes.

Khoa D. Do rejoins DLA Piper's Corporate practice in Northern California

13 June 2019

DLA Piper announced today that Khoa Do, who was previously a partner at the firm, has returned to the Corporate practice as a partner in Northern California, based out of the Silicon Valley office.

DLA Piper advises Critical Start in US\$40 million investment from Bregal Sagemount

12 June 2019

DLA Piper represented Texas-based cybersecurity firm Critical Start in a US\$40 million minority investment by Bregal Sagemount.

DLA Piper advises underwriters in US\$90 million underwritten follow-on offering for The Lovesac Company

23 MAY 2019

DLA Piper represented the underwriters in a follow-on public offering of 2.5 million shares of common stock of The Lovesac Company (NASDAQ: LOVE).

DLA Piper represents Locana in US\$55 million Series A financing

23 MAY 2019

DLA Piper represented Locana, Inc. in a US\$55 million round of Series A financing led by ARCH Venture Partners, with participation from existing investors Temasek and Lightstone Ventures.

DLA Piper advises Alsea on bond placement

21 MAY 2019

DLA Piper represented Alsea S.A.B. de C.V., a Mexico City-based operator of quick service restaurants, coffee shops and casual dining establishments in Latin America and Spain, in obtaining authorization from the Mexican National Banking and Securities Commission (CNBV) to issue a revolving registered public offering of short and long term senior notes valued at US\$523 million (MX\$10 billion), as well as conducting the first and second bond placements for a total amount of MX\$4 billion.

DLA Piper advises New Signature in acquisition of Nebbia Technology

20 MAY 2019

DLA Piper represented New Signature, a Washington, DC-based provider of Microsoft technologies, in its acquisition of Nebbia Technology, a consulting provider focused on Microsoft's Azure cloud computing platform.

DLA Piper announces launch of Artificial Intelligence practice

14 MAY 2019

DLA Piper announced today the launch of its Artificial Intelligence practice, which will focus on assisting companies as they navigate the legal landscape of emerging and disruptive technologies, while helping them understand the legal and compliance risks arising from the creation and deployment of AI systems.

Mariana Lozza and Nicolas Teijeiro join DLA Piper Argentina

14 MAY 2019

DLA Piper Argentina announced today the addition of Mariana Lozza as a partner in the International Arbitration practice and Nicolas Teijeiro as a partner in the Corporate Finance practice. Both will be based in the firm's Buenos Aires office.

DLA Piper appoints new Head of Corporate for the Middle East, strengthening M&A capability

13 MAY 2019

DLA Piper has appointed Will Seivewright as a partner and Head of Corporate for the Middle East. Will joins the firm's Dubai office from Baker McKenzie. His practice focuses on M&A (both public and private), joint ventures, corporate restructurings, private equity and venture capital transactions in the UAE, broader Middle East and internationally.

DLA Piper advises Lightspeed Systems in investment by Madison Dearborn Partners

6 MAY 2019

DLA Piper represented Austin, Texas-based education technology company Lightspeed Systems in connection with a strategic growth investment it received from private equity funds advised by Madison Dearborn Partners, LLC.

DLA Piper lawyers and practices ranked in latest Chambers edition

30 APR 2019

DLA Piper today announced that 158 of the firm's lawyers and 64 of its practices were ranked in *Chambers USA's* 2019 guide.

DLA Piper lawyers and practices ranked in latest Chambers edition

30 APR 2019

DLA Piper today announced that 158 of the firm's lawyers and 64 of its practices were ranked in *Chambers USA's* 2019 guide.

DLA Piper advises BTIG as financial advisor to PAO TMK in US\$1.2 billion sale of IPSCO Tubulars

26 APR 2019

DLA Piper advised BTIG, LLC as financial advisor to PAO TMK, a Russian manufacturer of steel pipe, in its US\$1.2 billion sale of IPSCO Tubulars Inc. to Tenaris, S.A.

DLA Piper represents Cowen and Canaccord Genuity as lead underwriters of Greenlane Holdings IPO

23 APR 2019

DLA Piper represented Cowen and Company, LLC and Canaccord Genuity LLC as the lead underwriters in the recently completed upsized US\$102 million initial public offering of Greenlane Holdings (NASDAQ: GNLN).

DLA Piper advises Summit Infrastructure Group in majority investment by SDC Capital Partners

18 APR 2019

DLA Piper represented Summit Infrastructure Group, Inc. (SummitIG), a network solutions and bandwidth infrastructure provider, in the sale of a majority stake in the company to SDC Capital Partners.

K. Randolph Peak joins DLA Piper's Healthcare sector and Corporate practice in Dallas

17 APR 2019

DLA Piper announced today that K. Randolph Peak has joined the firm's Healthcare sector and Corporate practice as a partner in Dallas.

DLA Piper advises OMRON in US\$893 million sale of automotive electronics division to Nidec

16 APR 2019

DLA Piper is representing OMRON Corp. in the ¥100 billion (US\$893 million) sale of its automotive electronics division, OMRON Automotive Electronics Co. Ltd., to Nidec Corp., headquartered in Kyoto, Japan.

DLA Piper advises Riverwood Capital in its Series F investment in Sauce Labs Inc.

9 APR 2019

DLA Piper represented Riverwood Capital in its Series F investment in Sauce Labs Inc., a California-based provider of cloud-based mobile and web-testing platforms. In conjunction with the funding, Jeff Parks from Riverwood Capital will join the Sauce Labs Board of Directors.

DLA Piper advises Tilson Technology Management in SDC Capital Partners' US\$100 million investment

9 APR 2019

DLA Piper represented Tilson Technology Management in the completed transaction through which funds managed by SDC Capital Partners, LLC will invest US\$100 million in the company.

DLA Piper advises Vivo Capital as lead investor in Series D financing for MacuLogix

8 APR 2019

DLA Piper represented Vivo Capital, LLC as the lead investor in US\$38.7 million Series D financing for MacuLogix, Inc., a Harrisburg, Pennsylvania-based company providing eye care professionals with tools to diagnose and treat patients with age-related macular degeneration (AMD).

DLA Piper advises Custom Ink in its recapitalization by Great Hill Partners

5 APR 2019

DLA Piper represented Custom Ink, a leader in custom apparel for groups, companies and communities, in its recapitalization by private equity firm Great Hill Partners.

DLA Piper advises Securly in its acquisition of TechPilot Labs

5 APR 2019

DLA Piper represented Securly, Inc., an education technology company and provider of a cloud-based web filtering platform for school computers, in its acquisition of the business of Atlanta-based TechPilot Labs, Inc., a fast-growing provider of K-12 education technology.

DLA Piper advises Tally Energy Services in its acquisition of ReStream Solutions

4 APR 2019

DLA Piper represented Tally Energy Services in its acquisition of ReStream Solutions, LLC.

DLA Piper announces partnership promotions for 2019

1 APR 2019

DLA Piper is proud to announce that 77 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2019 in the United States and May 1, 2019 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 43 different offices throughout 20 countries.

Douglas Boggs joins DLA Piper's Corporate practice in Washington, DC

1 APR 2019

DLA Piper announced today that Douglas Boggs has joined the firm's Corporate practice as a partner in Washington, DC.

Travis Leach joins DLA Piper's Corporate practice in Phoenix

1 APR 2019

DLA Piper announced today that Travis Leach has joined the firm's Corporate practice as a partner in Phoenix, bringing new talent to the well-established group.

DLA Piper advises Alsea on financing related to €575 million acquisition of Grupo Vips

27 MAR 2019

DLA Piper represented Alsea S.A.B. de C.V., a Mexico City-based operator of quick service restaurants, coffee shops and casual dining establishments in Latin America and Spain, in the financing related to its €575 million acquisition of Spanish restaurant group Grupo Vips.

DLA Piper advises Haymaker Acquisition Corp. in closing of its business combination with OneSpaWorld

20 MAR 2019

DLA Piper represented Haymaker Acquisition Corp., a publicly traded special purpose acquisition company, in its US\$850 million business combination with OneSpaWorld, a global provider of health and wellness products and services on board cruise ships and in destination resorts around the world.

Patrick Anding joins DLA Piper's Corporate practice in Los Angeles and San Diego

20 MAR 2019

DLA Piper announced today that Patrick Anding has joined the firm's Corporate practice as a partner in Los Angeles and San Diego.

Advising Zetta Jet on foreign insolvency judgment in Singapore

19 MAR 2019

DLA Piper acted as international counsel to Zetta Jet Pte. Ltd. (a Singapore-incorporated company) ("Zetta Jet") and others, as applicants, in a seminal judgment on the recognition of foreign insolvency proceedings under the UNCITRAL Model Law on Cross-Border Insolvency (as adopted in Singapore in 2017) (the "Singapore Model Law").

DLA Piper advises China Ping An Insurance Overseas (Holdings) Co. Ltd. on investment in GDS Holdings Limited

18 MAR 2019

DLA Piper represented China Ping An Insurance Overseas (Holdings) Co. Ltd. (Ping An Overseas) in entering into a definitive agreement to make an equity investment in the NASDAQ listed GDS Holdings Limited (GDS), a leading developer and operator of high-performance data centers in China. Ping An Overseas paid a subscription price of US\$150 million for convertible preferred shares in GDS.

DLA Piper's Eric Wang named a 2019 Rainmaker by the Minority Corporate Counsel Association

15 MAR 2019

DLA Piper is pleased to announce that Eric Wang, a Silicon Valley-based partner who is co-chair of the Northern California Corporate and Finance practice, has been named by the Minority Corporate Counsel Association (MCCA) to its list of Rainmakers for 2019.

DLA Piper advises Lantern Entertainment in launch of Spyglass Media Group in partnership with Gary Barber

14 MAR 2019

DLA Piper represented Lantern Entertainment, an affiliate of Lantern Capital Partners, in the launch of Spyglass Media Group LLC, an independent premium content company focused on developing, producing, financing and acquiring motion pictures and television series for worldwide audiences, in partnership with former studio head Gary Barber.

DLA Piper advises Merlin Entertainments on the A\$174 million sale of Australian ski resorts

13 MAR 2019

DLA Piper has advised Merlin Entertainments, a global leader in location based entertainment, on the A\$174 million sale of its Australian ski resorts, Hotham and Falls Creek, to US ski resort operator Vail Resorts, Inc.

Prakash "PK" Paran, co-chair of Global Insurance and Corporate partner, moves to DLA Piper in New York

8 MAR 2019

DLA Piper announced today that Prakash "PK" Paran has joined the firm's New York office as a partner in the Corporate practice. Paran, who serves as global co-chair of the firm's Insurance sector practice, was previously a partner in DLA Piper's London office.

DLA Piper advises Laureate on US\$28 million asset sale

6 MAR 2019

DLA Piper has advised Baltimore-based and Nasdaq listed Laureate Education (NASDAQ:LAUR), the world's largest global network of higher education institutions, on the sale of Laureate's interest in Stamford International University (Stamford) to China YuHua Education Investment Limited (YuHua) (6169:HK).

DLA Piper represents Tally Energy Services in their acquisition of Epic Lift Systems

4 MAR 2019

DLA Piper represented Tally Energy Services in the acquisition of Epic Lift Systems, a portfolio company of Intervale Capital. Tally is a private equity sponsored oilfield services company focused on North America shale that seeks to partner with innovative companies in technical oilfield products and services with opportunities for growth, consolidation, and differentiation.
