



## Securities and Enforcement Litigation

Members of our Securities Litigation practice act for market participants in a wide range of litigation and regulatory matters before all levels of Canadian courts and US federal and state courts, securities commissions in Canada and the United States, and self-regulatory organizations.

Clients have included public issuers, their directors and officers, broker dealers and registrants, institutional and individual shareholders, and bidders and target companies.

Our team draws on firmwide resources with relevant experience in mergers and acquisitions, corporate finance, corporate securities, corporate governance and class actions to create comprehensive strategies for our clients.

We provide effective problem-solving techniques in numerous matters including:

- shareholder disputes in public and private companies including oppression remedy, derivative action and winding-up proceedings
- regulatory investigations and enforcement proceedings on behalf of issuers and their directors and officers, broker dealers and registrants
- contested takeover bids
- prescriptive advice on regulatory compliance matters, corporate governance and share transfers
- securities class actions
- dealer-client litigation
- corporations' applications including plans of arrangement, amalgamations and winding-ups
- commercial disputes between issuers
- limited partnership litigation
- valuation of shares and corporate assets

### RELATED SERVICES

- Restructuring
- Litigation, Arbitration and Investigations

## NEWS

### DLA Piper announces launch of Artificial Intelligence practice

14 MAY 2019

DLA Piper announced today the launch of its Artificial Intelligence practice, which will focus on assisting companies as they navigate the legal landscape of emerging and disruptive technologies, while helping them understand the legal and compliance risks arising from the creation and deployment of AI systems.

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### **DLA Piper (Canada) LLP ranked in 2019 *Canadian Legal Lexpert Directory***

3 MAY 2019

DLA Piper (Canada) LLP is pleased to announce that thirty-eight of the firm's lawyers have been recognized as leading practitioners in the 2019 edition of the *Canadian Legal Lexpert Directory*.

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### **Bretton Woods Law Canada to join DLA Piper (Canada) LLP's Montréal office**

1 MAY 2019

DLA Piper (Canada) LLP is pleased to announce it has reached an agreement with the Montréal office of Bretton Woods Law Canada. Effective May 1, 2019, Bretton Woods Canada's team of four lawyers and an articling student will join DLA Piper Canada's Montréal office.

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### **DLA Piper announces partnership promotions for 2019**

1 APR 2019

DLA Piper is proud to announce that 77 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2019 in the United States and May 1, 2019 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 43 different offices throughout 20 countries.

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### **DLA Piper announces launch of Blockchain and Digital Assets practice**

26 FEB 2019

DLA Piper announced today the launch of its Blockchain and Digital Assets practice, which will offer strategic advice on a global basis to address the needs of companies implementing blockchain technology solutions and creating and deploying digital assets.

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### **DLA Piper (Canada) LLP welcomes new litigation counsel Katrina Edgerton-McGhan**

11 FEB 2019

DLA Piper (Canada) LLP welcomes Katrina Edgerton-McGhan to the firm's Calgary office as Counsel in the Litigation, Arbitration and Investigations Group. Katrina brings with her a broad commercial litigation practice with deep experience in complex litigation and regulatory work and insurance law.

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### **Kate Brown de Vejar joins DLA Piper as global co-chair of International Arbitration**

22 JAN 2019

DLA Piper announced today that Kate Brown de Vejar has joined the firm's Litigation practice as a partner in Mexico City and New York.

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## **DLA Piper (Canada) LLP recognized in 2019 *Legal 500 Canada* guide**

7 DEC 2018

DLA Piper (Canada) LLP is pleased to announce that 42 firm lawyers across 17 practice areas have been recommended in the latest edition of the *Legal 500 Canada*.

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## **DLA Piper and IKM Advocates secure victory for the Republic of Kenya defeating a US\$2 billion ICSID claim**

24 OCT 2018

In a decisive victory for the Republic of Kenya, DLA Piper and DLA Piper Africa member firm, IKM Advocates, have successfully defeated the claim estimated to be worth US\$2 billion in arbitration proceedings brought by a mining investor, Cortec Mining Kenya Limited. The claim was brought under the bilateral investment treaty (BIT) between the UK and Kenya dated 13 September 1999. The arbitration took place under the auspices of the World Bank's International Centre for Settlement of Investment Disputes (ICSID), with the hearing taking place in Dubai in January 2018. The Tribunal issued its award on 22 October 2018.

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## **DLA Piper Canada recognized by *Chambers Canada* 2019**

27 SEP 2018

DLA Piper (Canada) LLP is pleased to be recognized as an industry leader in the 2019 edition of *Chambers Canada*. With 34 lawyers recognized across 20 practices areas, this is DLA Piper Canada's strongest showing to date.

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## **Best Lawyers in Canada 2019 recognizes 72 DLA Piper (Canada) LLP Lawyers**

22 AUG 2018

DLA Piper (Canada) LLP is pleased to have once more increased its rankings in the 2019 edition of *Best Lawyers*, with close to one-third of the firm's lawyers recommended as leading practitioners in Canada across key practice areas.

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## **DLA Piper (Canada) LLP welcomes new associate Ashley Boyes**

7 AUG 2018

DLA Piper (Canada) LLP is pleased to welcome Ashley Boyes to the firm's Toronto office as an associate in the Litigation, Arbitration and Investigations group.

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## **DLA Piper (Canada) LLP welcomes new litigation associate Stephen Kroeger**

25 JUN 2018

DLA Piper (Canada) LLP is pleased to welcome Stephen Kroeger to the firm's Edmonton office as an associate in the Litigation, Arbitration and Investigations Group.

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## **DLA Piper (Canada) LLP welcomes new litigation associate Michelle Thomarat**

28 MAY 2018

DLA Piper (Canada) LLP is pleased to welcome Michelle Thomarat to the firm's Toronto office as an associate in the Litigation, Arbitration and Investigations Group.

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## **DLA Piper (Canada) LLP has strongest showing to date in 2018 *Canadian Legal Lexpert Directory***

2 MAY 2018

DLA Piper (Canada) LLP is pleased to announce that forty-three of the firm's lawyers have been recognized as leading practitioners in the 2018 edition of the *Canadian Legal Lexpert Directory*. The firm was also featured as a leader in eleven different practice areas.

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## **DLA Piper Canada welcomes new associate Titus Totan**

23 APR 2018

DLA Piper (Canada) LLP is pleased to welcome Titus Totan to the firm's Toronto office as an associate in the Employment Group.

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## **DLA Piper Canada welcomes Jessica Sullivan**

9 APR 2018

DLA Piper (Canada) LLP is pleased to welcome Jessica Sullivan to the firm's Vancouver office as an associate in the Wills, Estates and Trusts group.

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## **DLA Piper announces partnership promotions for 2018**

3 APR 2018

DLA Piper is proud to announce that 62 lawyers have been promoted to its partnership. The promotions are effective as of 1 April 2018 in the United States and 1 May 2018 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 42 different offices throughout 20 countries.

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## **DLA Piper (Canada) LLP welcomes new litigation associate Nivi Ramaswamy**

2 APR 2018

DLA Piper (Canada) LLP is pleased to welcome Nivedhya (Nivi) Ramaswamy to the firm's Toronto office as an associate in the Litigation, Arbitration and Investigations Group.

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## **DLA Piper advises Timor-Leste on historic maritime treaty**

6 MAR 2018

DLA Piper has been advising the Government of Timor-Leste, for more than four years, on its historic maritime treaty with the Australian Government, signed today at the United Nations Headquarters in New York, following the successful outcome of a compulsory conciliation process.

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## **DLA Piper (Canada) LLP welcomes new litigation associate Wendy Sun**

5 MAR 2018

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DLA Piper (Canada) LLP is pleased to welcome Wendy Sun to the firm's Toronto office as an associate in the Litigation, Arbitration and Investigations Group.

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### **Businesses still not fully aware of Data Protection issues**

31 JAN 2018

DLA Piper has released its second Data Privacy Snapshot report, finding that once again, company global privacy programs have gaps in meeting increasingly demanding global privacy principles. Significantly, it appears that many companies are falling short of data protection obligations under the General Data Protection Regulation (GDPR), which will start to apply from 25 May 2018.

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### **Stephen Matthews joins DLA Piper's Litigation practice in New Jersey**

17 JAN 2018

DLA Piper announced today that Stephen Matthews has joined the firm's Litigation practice as a partner in the Short Hills, New Jersey, office.

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### **DLA Piper (Canada) LLP welcomes six new partners**

8 JAN 2018

DLA Piper (Canada) LLP is delighted to welcome six new additions to the partnership, effective January 1, 2018.

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### **DLA Piper (Canada) LLP welcomes important additions to the Restructuring group**

31 DEC 2017

DLA Piper (Canada) LLP is delighted to welcome Edmond Lamek as Partner and Danny Nunes as Associate Counsel to our national Restructuring group. Both Edmond and Danny will join our Toronto office.

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### **DLA Piper welcomes new litigation associate Maxim Kaploun**

11 DEC 2017

DLA Piper (Canada) is pleased to welcome Maxim Kaploun as a litigation associate in the firm's Toronto office.

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### **DLA Piper (Canada) LLP expands rankings in *Legal 500 Canada***

1 DEC 2017

DLA Piper (Canada) LLP is pleased to have achieved its best showing to date in *Legal 500 Canada*, with 11 lawyers gaining new rankings in the newly released 2018 edition.

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### **Susy M. Trace joins DLA Piper (Canada) LLP's Restructuring Group in Edmonton**

10 OCT 2017

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Susy assists clients across a variety of industries on matters concerning the *Companies' Creditor Arrangement Act*, bankruptcies, receiverships, foreclosures, collections, fraud, breach of contract and real estate litigation.

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### **DLA Piper (Canada) LLP increases rankings in *Chambers Canada 2018***

29 SEP 2017

DLA Piper (Canada) LLP is pleased to be recognized for its expanding market leadership in the 2018 edition of *Chambers Canada*, garnering its highest number of rankings to date.

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### **DLA Piper (Canada) LLP secures victory for international airlines as BC Court of Appeal upholds dismissal of class action certification applications**

15 SEP 2017

The decision has important implications for unjust enrichment and consumer protection claims in British Columbia and has garnered international attention.

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### **DLA Piper (Canada) LLP welcomes Katelyn Ellins and Sydney Kert to its Toronto office**

5 JUN 2017

DLA Piper (Canada) LLP is pleased to welcome two new associates to its expanding Toronto office.

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