



## Corporate and Securities Litigation

DLA Piper is a leader in securities litigation defense. We represent public corporations, individual officers and directors of corporations, underwriters, accounting firms, and investment advisers in every area of securities litigation. Our practice is national, but we have a substantial presence in the busiest jurisdictions for privately litigated securities claims, including Silicon Valley and New York.

When securities litigation arises in the context of a broader corporate crisis, we work closely with our white collar and securities enforcement teams to assist clients in related civil and criminal investigations, enforcement proceedings, congressional inquiries and criminal trials.

### SECURITIES CLASS ACTIONS

In the litigation of securities fraud claims under the Securities Exchange Act of 1934 and claims for violations of the Securities Act of 1933 in connection with public offerings, we have an outstanding track record in obtaining dismissal at the pleadings stage before the costs and intrusions of discovery.

We have defended cases resulting in landmark decisions, including the first reported defense win under the Private Securities Litigation Reform Act of 1995, *Zeid v. Kimberley (Firefox)*, 930 F.Supp. 431 (N.D. Cal. 1996), and the first reported appellate decision affirming the dismissal of an accounting fraud case under that statute, *In re The Vantive Corporation Securities Litigation*, 283 F.3d 1079 (9th Cir. 2002).

In addition to defending claims of securities fraud, our securities litigators have had extensive experience and success in defending non-fraud based securities claims registration statements in public and private offerings, where scienter is not an element of the claim. In *Krim v. pcOrder.com, Inc.*, 402 F.3d 489 (5th Cir. 2005), we obtained a groundbreaking decision strictly limiting the potential claimants who can pursue a class claim under section 11 of the Securities Act of 1933 that has been followed in jurisdictions around the nation.

In cases that have not been dismissed, our trial lawyers have the experience and creativity necessary to resolve these matters to our clients' satisfaction, whether by obtaining summary judgment, by defeating a motion for class certification, or by trying the matter to verdict.

### CONTACTOS

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### SERVIÇOS

#### RELACIONADOS

- Contencioso,  
Arbitragem e  
Investigações

## STOCKHOLDER DERIVATIVE AND CORPORATE GOVERNANCE CLAIMS

We regularly defend stockholder derivative lawsuits and actions asserting claims of breach of fiduciary duty against corporations, limited liability companies, partnerships, and their directors, officers and managers.

Lawyers in our office in Wilmington, Delaware regularly defend these actions in the Delaware courts making us one of the few international firms that can provide such onestop representation in this important jurisdiction. Beyond Delaware, however, we have corporate governance litigators with deep knowledge of the corporate laws of other US jurisdictions under which large numbers of businesses are organized, including California, Maryland, Massachusetts and New York.

We advise boards of directors on the complex issues they must address when a derivative claim is asserted. We help directors understand whether a stockholder derivative claim is a corporate asset that should be pursued or a strike suit that represents a potential drain on corporate assets and management attention.

Our experience in stockholder derivative litigation also enables us to coordinate the defense of derivative claims asserted in conjunction with claims of alleged securities fraud.

## SECURITIES ENFORCEMENT

Securities litigation often is accompanied by investigations and enforcement proceedings brought by the SEC, the Department of Justice or self-regulatory organizations. We regularly assist our clients in formal and informal government investigations, enforcement proceedings, internal investigations and congressional and grand jury proceedings. We apply our experience and judgment to determine whether such actions call for a cooperative approach or a more aggressive strategy. When litigation is necessary and advisable, we have the experience to get results.

We staff multi-faceted matters with experienced lawyers, including securities class action professionals, former federal prosecutors and former enforcement staff from the SEC. Our experience enables us to guide clients through each phase of a matter as regulatory proceedings evolve into civil litigation. Our cohesive, integrated teams ensure that clients are defended on many fronts consistently and simultaneously.

## EXPERIENCE

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### SECURITIES CLASS ACTIONS

- Represented a leading aerospace and defense contractor in consolidated securities and derivative litigation in the Southern District of New York. We obtained dismissal of the stockholder derivative action and, after intensive litigation relating to allegations falsely attributing to a confidential witness, we negotiated a favorable settlement of the related securities class action
- Representing a wireless communications company in a securities action pending in the Southern District of California alleging accounting improprieties and inadequate internal controls
- Representing a mobile services company and related individuals in a securities class action in the Western District of Washington and in related state court stockholder derivative action. After we prevailed on a motion to dismiss the securities class action, the stockholder derivative action was voluntarily dismissed
- Represented multiple US-listed companies with operations in China and their officers and directors as well as underwriters in securities class actions involving allegations of violations of the Securities and Exchange Act of 1934 and the Securities Act of 1933
- Representing the underwriters in related class actions in the Southern District of New York and the Northern District of Alabama asserting securities violations in connection with three securities offerings by a large insurance company that is based in New York
- Representing a network equipment company in a securities class action pending in the Southern District of California alleging accounting improprieties and fraud in connection with a secondary offering
- Representing the underwriters in consolidated class actions in the Western District of Oklahoma concerning statements in

securities offerings and other filings by an oil and gas exploration company and related royalty trusts

- Represented the former chief executive officer of a government sponsored enterprise in consolidated securities class actions in the Southern District of New York asserting claims for securities law violations after the enterprise was placed in government conservatorship
- Represented the underwriters in a class action asserting claims based on alleged misstatements and omissions in the registration statement for an offering by a large regional bank holding company of trust preferred securities. The court dismissed the action with prejudice after plaintiffs' misrepresentation of information allegedly provided by a confidential witness was revealed
- Represented one of the world's largest financial institutions in multi-district litigation involving allegations of late trading and market timing in the mutual fund industry, in the District of Maryland Defense of investment advisors in a number of actions throughout the United States asserting claims for purported "death spiral" securities fraud, including a number of actions in which we obtained dismissal of plaintiffs' complaints
- Representing one of the nation's largest homebuilders and several individual officers in a consolidated action asserting claims for securities fraud in the Northern District of Texas

## SECURITIES ENFORCEMENT

- Representing the former CEO of a publicly traded utility company in a trial alleging criminal violations of the federal securities laws, resulting in a deadlocked jury
- Representing a former securities trader under allegations of securities fraud, TARP Fraud, and making false statements to the United States government
- Representing the former CFO and general counsel of a public company in an SEC investigation involving alleged accounting improprieties
- Representing the former general counsel of a public company in SEC action and grand jury investigation involving alleged accounting improprieties
- Representing a multinational issuer in SEC, congressional and Department of Justice inquiries, and in related securities class actions filed in the Southern District of New York, arising from a financial restatement
- Representing a former fund manager of a major mutual fund company in investigations and civil litigation relating to alleged market-timing trading in mutual fund shares
- Representing a broker-dealer in investigations by the New York Attorney General and the SEC concerning market timing and after-hours trading in shares of open-end mutual funds
- Representing a brokerage firm in a FINRA investigation of the Association's trade reporting rules
- Representing a former COO and CFO of a public company in investigations by the SEC and a grand jury into alleged violations of the Foreign Corrupt Practices Act
- Representing a corporate officer in an SEC investigation of possible Investment Company Act violations. Although the SEC took action against other officers, it took no action against our client
- Representing an issuer under SEC investigation regarding MD&A disclosures. After presentation to SEC staff, the matter was closed with no action
- Representing an issuer in a NASDAQ delisting inquiry, in which the delisting panel granted our client an exception to listing requirements which permitted it to execute transactions that brought it back into compliance

## INSIGHTS

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### Publicações

**Court grants SEC's motion for preliminary injunction against Telegram's sales of Gram cryptocurrency; Telegram appeals**

30 March 2020

The decision is likely to significantly impact digital asset sales in the US.

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## Blockchain and Digital Assets News and Trends

25 March 2020

### [BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

The age of viral outbreaks – key contract considerations in a post-COVID-19 world, plus latest legal, regulatory and case law developments around blockchain and digital transformation.

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## Delaware Supreme Court authorizes federal forum provisions requiring the filing of Securities Act cases in federal court

24 March 2020

This holding may spur many Delaware corporations to amend their articles of incorporation, or adopt bylaws, adding a federal forum provision.

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## 2020 Proxy Season Hot Topics: Part 1 – Ten tips for implementing SEC rule changes in your upcoming Form 10-K and proxy statement

25 February 2020

### [PROXY SEASON HOT TOPICS](#)

Addressing new SEC rules and recent guidance in the 2020 proxy season.

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## Blockchain and Digital Assets News and Trends

19 February 2020

### [BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

In this issue, SEC Commissioner Peirce proposes bold new safe harbor for digital tokens, plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## Second Circuit (again) reverses Sheldon Silver’s corruption conviction (in part) – and brings some clarity to the “as opportunities arise” theory of liability

22 JANUARY 2020

The decision, while limited in scope, provides important clarification to the law around illicit payments to public officials.

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## Blockchain and Digital Assets News and Trends

16 January 2020

### [BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)

Taxation of cryptocurrency: challenges for charities in the US and new guidance in the UK, plus latest legal developments in blockchain technology, smart contracts and digital assets.

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## **FINRA publishes 2020 risk monitoring and examination priorities**

15 January 2020

The Priorities Letter introduces significant new areas of emphasis for the coming year and summarizes other still-relevant areas discussed in previous letters.

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## **Court rules that "personal benefit" not necessary for criminal insider trading liability under certain statutes**

14 January 2020

*Błaszczak* is unlikely to be the last word on insider trading.

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## **OCIE announces 2020 Examination Priorities**

9 January 2020

SEC Chairman Jay Clayton said OCIE's list of priorities sets forth key areas of existing and emerging risk that the Commission expects market participants to identify and mitigate.

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## **Blockchain and Digital Assets News and Trends**

13 December 2019

### **[BLOCKCHAIN AND DIGITAL ASSETS NEWS AND TRENDS](#)**

A new lawsuit alleges the CFPB Prepaid Rule violates free speech; plus the latest legal developments in blockchain technology, smart contracts and digital assets.

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## **FINRA amends new issue rules to broaden exemptions**

22 November 2019

The amendments broaden the categories of investors that are exempt from the rules' restrictions and slightly narrow the types of securities offerings subject to the new issue rules.

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## **SEC issues FY2019 enforcement report – highlights and key takeaways**

19 November 2019

The Report addresses the Division of Enforcement's five core guiding principles as well as its continued focus on retail investor protection and combating cyberthreats.

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## **SEC proposes substantial updates to advertising and solicitation rules for investment advisers**

12 November 2019

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If adopted, many aspects of the proposed rule will have a significant impact on the operations and compliance policies and procedures of investment advisers registered with the SEC.

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### **Enforcement trends: binary options industry sees surge in federal criminal and regulatory enforcement proceedings**

11 November 2019

Binary options offer investors the opportunity to earn enormous returns quickly, but come with the risk of substantial losses.

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### **The other shoe drops: Supreme Court will decide whether the SEC may obtain disgorgement as an equitable remedy**

11 November 2019

The Supreme Court has accepted a *certiorari* petition in a case seeking to determine whether the SEC is entitled to seek and obtain disgorgement as equitable relief.

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### **DOJ issues guidance regarding business entities' inability to pay corporate fines and penalties: key takeaways**

31 October 2019

The latest guidance from DOJ to incentivize companies to self-report their violations and cooperate with the DOJ's investigations and recommended remedial actions.

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### **US regulators assert jurisdiction on several fronts regarding digital assets**

16 October 2019

Companies offering digital assets are taking note of this upswing in regulatory activity and their compliance obligations.

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### **President Trump sounds the death knell for "regulation by guidance"**

10 October 2019

Two Executive Orders effectively end the controversial practice.

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### **SEC Chair Clayton announces new coordinated approach to settlement negotiations and the waiver process**

1 August 2019

Chair Clayton emphasizes SEC evaluation of all future waiver requests in the context of assessing settlement recommendations and the conduct at issue.

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### **FINRA issues significant and detailed guidance on credit for extraordinary cooperation**

22 July 2019

The Notice delineates the differences between extraordinary cooperation and required self-reporting and cooperation under FINRA rules and offers substantial new guidance.

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### **SEC and FINRA issue joint statement on broker-dealer custody of digital asset securities**

12 July 2019

The Joint Statement discusses issues facing those wishing to intermediate transactions in digital asset securities and facilitate the creation of an organized marketplace.

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### **Major developments in class action litigation for 2018 – 2019**

18 June 2019

A top-level look at class action litigation for 2018-2019 and a look at trends, issues, and strategies that businesses face in the months to come.

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### **SEC adopts conduct rules and interpretations affecting broker-dealers and investment advisers – compliance date: June 30, 2020**

10 June 2019

The adopted rulemaking and interpretations package includes four components.

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### **DOJ revises its guidance on corporate compliance programs**

2 MAY 2019

DOJ has made tangible the benefits a company can receive for designing and implementing an effective compliance program, and the newly revised Compliance Guidance reinforces those principles.

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### **Digital asset regulation: SEC suggests possible path from security to non-security**

25 APR 2019

Examining the concept that digital assets can reach a tipping point – an “evolutionary moment” in their development – where they transform from a security to a non-security.

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### **Unpacking the SEC’s Digital Assets Guidance Framework and No Action Letter**

16 APR 2019

The Framework describes factors for assessing whether digital assets are “investment contracts” subject to federal securities laws; the No Action letter applies those factors.

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### **Supreme Court *Lorenzo* decision extends securities fraud liability to those who disseminate false statements made by others**

28 MAR 2019

The ruling potentially wide-reaching implications for ancillary participants in securities transactions, including investment banks, brokers and law firms.

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### **Top of Mind: Litigation**

20 MAR 2019

In this issue, our coverage of 10 big topics businesses have been thinking about this quarter.

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### **CFTC issues advisory incentivizing market participants to self-report foreign corrupt practices**

12 MAR 2019

The first time that the CFTC is publicly incentivizing market participants.

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### **2019 Proxy Season Hot Topics: Part 5 – SEC comment letter trends and shareholder engagement**

26 FEB 2019

#### [PROXY SEASON HOT TOPICS](#)

A holistic look at some issues that affect all registrants, starting with trends observed in our review of SEC comment letters.

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### **2019 Proxy Season Hot Topics: Part 4 – Diversity disclosure and executive compensation**

21 FEB 2019

#### [PROXY SEASON HOT TOPICS](#)

Part 4 in the series.

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### **2019 Proxy Season Hot Topics: Part 3 – SEC hedging rules, auditor report, Form 10-K changes**

15 FEB 2019

#### [PROXY SEASON HOT TOPICS](#)

New SEC hedging rules, changes to the auditor's report, changes to Form 10-K cover pages and exhibit hyperlinks.

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### **Government shutdown ends; CFTC announces resumption of normal operations**

29 JAN 2019

FTC will be updating the public and market participants over the coming days about the status of various agency activities.

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### **Government shutdown ends; SEC and CFTC may resume normal operations**

28 JAN 2019

The SEC divisions and offices strive to transition to normal operations.

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## Government shutdown's impact on SEC expands to administrative proceedings

16 JAN 2019

SEC halts all administrative proceedings until further notice due to the shutdown.

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## SEC, CFTC implement plans for the government shutdown

9 JAN 2019

Those who have business before the SEC or the CFTC should expect delays for the duration of the government shutdown and plan accordingly.

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## Granting certiorari in *Varjabedian*, Supreme Court will address circuit split over disclosure claims in tender offers

7 JAN 2019

The decision could have a significant impact on the prevalence of federal lawsuits challenging disclosures made with a tender offer, an area of increasing focus.

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## Top of mind: Litigation

10 DEC 2018

Our coverage of big compliance, enforcement and litigation issues that businesses are thinking about this quarter.

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## DOJ tells SCOTUS it plans to seek to dismiss a major *qui tam* action because burdensome FCA discovery would not be in the public interest

6 DEC 2018

The DOJ's filing is a significant development for FCA defendants and may portend more dismissals to come.

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## Improving class action notice and settlement procedures – new amendments to Rule 23

16 NOV 2018

As a whole, the amendments both modernize and streamline current procedures.

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## How technical touchpoints can ensnare foreign cryptocurrency companies

29 OCT 2018

Useful guideposts for overseas companies assessing whether they are exposed to US securities laws.

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## **Corporate spoofing victims beware – the SEC is watching**

24 OCT 2018

The SEC urges issuers to consider whether their internal accounting controls are sufficient to provide "reasonable assurances" that corporate assets are safeguarded from cyber-threats.

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## **SEC fires warning shot against "short and distort" schemes**

18 OCT 2018

If successful, this case will pose a significant deterrent to would-be short-selling "analysts" seeking to manipulate the market.

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## **Fortress ruling helps temper overzealous SEC enforcement**

16 OCT 2018

The court found that, to support an enforcement action, the SEC is required to go beyond mere suspicion and identify the alleged tipper, and at least some information tying the trader to the tipper, rather than rely on mere suspicion.

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## **Sharpen the nails: 8 ideas for empowering jurors in complex trials**

24 SEP 2018

We can and should work to develop jurors who are better equipped to carry out the difficult tasks we place before them.

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## **EDNY: US securities laws can be used to prosecute ICO fraud**

18 SEP 2018

While the order allows the criminal prosecution to advance, it does not settle the investment contract debate.

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## **Bank Regulatory News and Trends**

18 JUL 2018

### **[BANK REGULATORY NEWS AND TRENDS](#)**

This regular publication from DLA Piper focuses on helping banking and financial services clients navigate the ever-changing federal regulatory landscape.

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## **First judicial finding that digital tokens are securities**

16 JUL 2018

But the precedential value of this finding remains an open question.

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## Bank Regulatory News and Trends

2 JUL 2018

### [BANK REGULATORY NEWS AND TRENDS](#)

The Fed objected to the capital plan of Deutsche Bank USA, issued conditional non-objections to Goldman Sachs, Morgan Stanley and State Street, and issued no objections to the plans of the other 31 banks it evaluated.

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## Supreme Court rules SEC ALJs unconstitutionally appointed

27 JUN 2018

Re-litigation of ALJ decisions pending before the SEC and casting doubt on the appointments of other agency ALJs.

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## Supreme Court holds that *American Pipe* tolling does not extend limitations period for successive class actions

12 JUN 2018

The Supreme Court's opinion in *China Agritech, Inc. v. Resh* is likely to have lasting effects on class action practice in the federal courts.

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## The rise of the "Crypto Czar," the fall of a "blockchain evangelist," and other crypto developments

12 JUNE 2018

Three legal developments and their implications for the blockchain and cryptocurrency communities.

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## How one New York court is shaping the future of cryptocurrency regulation

17 MAY 2018

Cases with far-reaching implications for the cryptocurrency regulatory landscape.

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## Crypto industry sweep: ICOs and token offerings under increasing scrutiny by US regulators

18 APR 2018

Recent enforcement developments targeting the cryptocurrency community, and the opportunities and challenges that lie ahead.

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## SEC report on tokens as securities: seven takeaways

31 JUL 2017

Sneak peek: DAO Tokens are securities. Double-sneak peek: many tokens are securities.

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## **Second Circuit clarifies law of insider trading in reversing convictions of remote tippees**

11 DEC 2014

The decision is likely to have implications for the type of insider trading prosecutions that are brought in the future and how the litigants will prosecute and defend those cases

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## **Dodd-Frank affects private companies too: practice points to note**

3 DEC 2013

For private companies reviewing their governance structures in a post Dodd-Frank world, a capsule of the Act's relevant provisions

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## **CFTC approves final cross-border guidance of the swap provisions of the Dodd-Frank Act**

12 JUL 2013

The Final Guidance aims to set forth the CFTC's policy on the application of the swap provisions of the Commodities Exchange Act to cross-border activities

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## **European Commission and CFTC announce a path forward on cross-border regulation of OTC derivatives**

11 JUL 2013

A high-level joint understanding

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## **Growing whistleblower activity calls for close employer attention to retaliation issues**

7 MAY 2013

Careful consideration must precede adverse action against purported whistleblowers

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## **SEC's whistleblower report reveals surprising volume of tips from foreign countries**

30 Nov 2011

The US Securities and Exchange Commission has issued its Annual Report on the Dodd-Frank Whistleblower Program.

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## **SEC, CFTC approve new Dodd-Frank rule requiring many hedge fund advisers to report detailed information**

3 Nov 2011

The regulatory tide continues to roll a year after President Barack Obama signed into law the Dodd-Frank Wall Street Reform and Consumer Protection Act. This past week, the SEC and CFTC jointly adopted a rule that will require many hedge fund advisers to complete a new form that discloses detailed information about their funds' holdings and investments to federal regulators.

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## **With even tougher SEC scrutiny imminent, can a mock examination help you prevail?**

7 Sep 2011

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### **Responding to the SEC's final whistleblower bounty rules**

2 Jun 2011

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### **Federal Reserve issues proposed rule on debit interchange fees and network restrictions**

17 Dec 2010

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### **Will proposed SEC whistleblower rules prevent the undermining of corporate compliance programs?**

9 Nov 2010

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### **Dodd-Frank Act: regulators to take center stage in wake of sweeping financial services legislation**

21 Jul 2010

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### **Senator Dodd introduces revised financial services reform bill**

18 Mar 2010

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## **Eventos**

## **Anteriores**

### **Securities class action litigation: a look back and a sneak peek into the future**

20 NOV 2018

Webinar

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### **All Things Crypto: The Evolving Landscape**

7 NOV 2018

New York

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### **The Securities Fraud Landscape: Recent Trends and Developments and their Implications for the Year Ahead**

10 OCT 2018

Webinar

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## NOTÍCIAS

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### John Phillips joins DLA Piper's Litigation practice in Northern California

26 March 2020

DLA Piper announced today that John Phillips has joined the firm's Litigation practice as a partner in Northern California, based in the San Francisco office.

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### Ronald N. Brown, III joins DLA Piper's Litigation practice in Wilmington

19 March 2020

DLA Piper announced today that Ronald N. Brown, III has joined the firm's Litigation practice as a partner in the Wilmington office.

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### DLA Piper lawyers named Acritas Stars

10 March 2020

Acritas has named over 200 DLA Piper lawyers as 2020 Acritas Stars. Now in its fourth year, Acritas Stars highlights the stand-out lawyers in private practice as nominated by clients around the world. More than 3,000 senior in-house counsel feed into the nomination process to give a comprehensive view of highly recommended lawyers across the globe.

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### DLA Piper's Jonathan Haray named 2020 Criminal Law Trailblazer by the National Law Journal

7 March 2020

DLA Piper is pleased to announce that Jonathan Haray, a Litigation partner in the firm's Washington, DC office, has been named by the *National Law Journal* as a 2020 Criminal Law Trailblazer.

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### DLA Piper appoints Head of Global Government Affairs team

6 March 2020

DLA Piper announces the strengthening of its Global Government Affairs team with the appointment of Richard Sterneberg who will head up the team in its Brussels office. Richard brings with him over 20 years of experience working in Brussels as a global government affairs expert.

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### Keelin Kavanagh, Arthur Hoffmann and David Jaroslaw join DLA Piper's Litigation practice in New York

12 February 2020

DLA Piper announced today that Keelin Kavanagh, Arthur Hoffmann and David Jaroslaw have joined the firm's Litigation practice as partners in New York.

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### Craig Waugh joins DLA Piper's Litigation practice in Phoenix

11 February 2020

DLA Piper announced today that Craig Waugh has joined the firm's Litigation practice as a partner in Phoenix.

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### **Matthew Miller, Robert Nolan and Michael Fluhr join DLA Piper's Litigation practice in Northern California**

10 February 2020

DLA Piper announced today that Matthew Miller and Robert Nolan have joined the firm's Litigation practice as partners in Northern California, based in the San Francisco office, and Michael Fluhr has joined as of counsel, also based in San Francisco.

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### **James C. Bookhout of DLA Piper listed in *D Magazine's* 2020 Best Lawyers Under 40 list**

7 February 2020

James C. Bookhout was recently named to *D Magazine's* 2020 Best Lawyers Under 40 list, which recognizes outstanding young lawyers in Dallas.

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### **Michael Hazzard joins DLA Piper's Litigation practice in Washington, DC**

4 February 2020

DLA Piper announced today that Michael Hazzard has joined the firm's Litigation practice as a partner in Washington, DC.

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### **Nicholas Klein joins DLA Piper's Litigation and Regulatory practice in Washington, DC**

3 February 2020

DLA Piper announced today that Nicholas Klein has joined the firm's Litigation and Regulatory practice as of counsel in the Washington, DC office.

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### **Christopher Mikson joins DLA Piper's Litigation practice in Philadelphia and Washington, DC**

23 January 2020

DLA Piper announced today that Christopher Mikson has joined the firm's Litigation practice as a partner in Philadelphia and Washington, DC.

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### **DLA Piper announces new US Corporate practice sub-group and regional leadership**

10 January 2020

DLA Piper is pleased to announce several recent changes to the firm's US Corporate practice sub-group and regional leadership.

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### **DLA Piper launches its Global Litigation Guide**

3 December 2019

DLA Piper has launched its 'Global Litigation Guide', which covers aspects of civil litigation in 30 jurisdictions worldwide.

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### **Scott Wilson joins DLA Piper's Litigation practice in New York**

2 December 2019

DLA Piper announced today that Scott Wilson has joined the firm's Litigation practice as a partner in the New York office.

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### **DLA Piper achieves victory for California Institute of Technology**

26 November 2019

DLA Piper recently represented the California Institute of Technology (Caltech) in the dismissal of a case filed by David Lillie, an employee of Caltech subcontractor ManTech International, which alleged defamation and a number of other causes.

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### **DLA Piper achieves trial victory for Applied Underwriters**

22 November 2019

DLA Piper recently represented Applied Underwriters, Inc. in a bench trial victory in California state court.

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### **DLA Piper achieves victory for Maryland Reclamation Associates**

6 November 2019

DLA Piper recently achieved a victory for its client, Maryland Reclamation Associates, Inc. (MRA), in a case filed against Harford County, Maryland.

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### **34 DLA Piper lawyers in nine countries ranked among The World's Leading Patent Professionals**

30 October 2019

DLA Piper is pleased to announce that IAM Patent 1000: The World's Leading Patent Professionals 2019 has named 34 DLA Piper lawyers from nine countries to its list of top patent professionals – representing an increase for the firm in numbers of both lawyers and countries.

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### **DLA Piper's Angela Agrusa named the *Los Angeles Business Journal's* Leaders in Law 2019 Litigation Attorney of the Year**

29 OCT 2019

DLA Piper is pleased to announce that Angela Agrusa, Los Angeles-based co-head of Business and Commercial Litigation and co-chair of the Food and Beverage sector, has been named the *Los Angeles Business Journals* Leaders in Law 2019 Litigation Attorney of the Year.

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### **Jonathan Sablone joins Litigation practice in Boston**

15 October 2019

DLA Piper announced today that Jonathan Sablone has joined the firm's Litigation practice as a partner in the Boston office.

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### **DLA Piper partner Isabelle Ord honored by Western Bankers Association**

11 October 2019

DLA Piper is pleased to announce that Isabelle Ord, co-chair of the firm's Class Action Litigation practice and co-leader of the LIBOR Transition practice, has received the Franzel Award from the Western Bankers Association.

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### **Tamany Vinson Bentz named to the *Daily Journal's* list of Top Trade Secrets Lawyers**

9 October 2019

DLA Piper is pleased to announce that Tamany Vinson Bentz, a Los Angeles-based partner in the IPT Litigation practice, has been named to the *Daily Journal's* 2019 list of Top Trade Secrets Lawyers.

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### **DLA Piper announces launch of LIBOR Transition practice**

23 September 2019

DLA Piper announced today the launch of its LIBOR Transition practice, which will focus on assisting companies with impact assessment and advising on benchmark reform implementation across multiple jurisdictions and products.

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### **Abigail Reardon appointed chair of the Attorney Grievance Committee for the First Department of the Appellate Division of the New York Supreme Court**

5 September 2019

DLA Piper is pleased to announce that Abigail Reardon, a partner in the firm's New York office, has been appointed by the First Department of the Appellate Division of the New York Supreme Court to serve as a chair of the Attorney Grievance Committee for the First Department.

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### **George G. Demos joins DLA Piper's Litigation practice in Northern California**

3 September 2019

DLA Piper announced today that George G. Demos has joined the firm's Litigation practice as a partner in Northern California based in the Sacramento office.

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### **Paul Hemmersbaugh joins DLA Piper's Litigation practice as chair of Transportation Regulatory group**

3 September 2019

DLA Piper announced today that Paul Hemmersbaugh has joined the firm's Litigation practice as a partner and chair of its transportation regulatory and litigation group, in Washington, DC.

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### **DLA Piper's Michael Garfinkel named to the *Los Angeles Business Journal's* 2019 list of Top Litigators and Trial Lawyers**

27 August 2019

DLA Piper is pleased to announce that Michael Garfinkel has been named to the *Los Angeles Business Journal's* 2019 list of Top Litigators and Trial Lawyers.

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### **DLA Piper's Angela Agrusa named to the *Los Angeles Business Journal's* 2019 list of Top Litigators and Trial Lawyers**

27 August 2019

DLA Piper is pleased to announce that Angela Agrusa has been named to the *Los Angeles Business Journal's* 2019 list of Top Litigators and Trial Lawyers.

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### **Edward Hanover to join DLA Piper's Litigation practice in Northern California**

26 August 2019

DLA Piper announced today that Edward Hanover will join the firm's Litigation practice as a partner in Northern California based in the Silicon Valley office.

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### **DLA Piper represents Applied Underwriters in defeat of three class certification motions**

19 August 2019

DLA Piper represented Applied Underwriters in its defeat of three separate motions for class certification arising out of a regulatory dispute over whether a reinsurance transaction adjunct to workers' compensation coverage was required to be filed with, among others, the California Department of Insurance.

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### **DLA Piper partner Andrew Hoffman named to the *Daily Journal's* Top 40 Under 40 list**

16 August 2019

DLA Piper is pleased to announce that Andrew Hoffman, a partner in the firm's Litigation practice, has been named to the *Daily Journal's* 2019 Top 40 Under 40 list honoring young California lawyers across a range of practice areas.

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### **Six-partner group joins DLA Piper across key markets and practice groups from coast to coast**

18 July 2019

DLA Piper announced today the addition of a six-partner group whose members will be part of the firm's Corporate, Intellectual Property and Technology, and Litigation practices. The partners will be based in San Francisco, Los Angeles, Silicon Valley and New York.

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### **DLA Piper secures significant victory for Houston Casualty**

3 July 2019

DLA Piper secured a significant victory for Houston Casualty in *Houston Casualty Co. v. Cavan Corp. of N.Y., et al.* before the New York State Supreme Court on June 27, 2019.

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### **DLA Piper's Ilana Eisenstein named an Unsung Hero by the *Legal Intelligencer***

19 June 2019

DLA Piper is pleased to announce that Ilana Eisenstein, a Philadelphia-based partner and co-chair of the Appellate Advocacy practice, has been named to the *Legal Intelligencer* 2019 list of Unsung Heroes.

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### **DLA Piper secures appellate victory for Petrobras America**

18 June 2019

DLA Piper secured a victory on behalf of Petrobras America, Inc. in a long-running lawsuit against Vicinay Cadenas, S.A., a manufacturer of chains and accessories for the offshore industry.

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### **Scott Weber joins DLA Piper's Litigation practice in New York**

10 June 2019

DLA Piper announced today that Scott Weber has joined the firm's Litigation practice as a partner in the New York office.

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### **DLA Piper represents the Conrad Prebys Foundation in dismissal of derivative lawsuit**

31 MAY 2019

DLA Piper represented the Conrad Prebys Foundation, a charitable foundation started by late philanthropist and San Diego developer Conrad Prebys, in the successful dismissal of a lawsuit filed by Prebys' partner and former foundation board member.

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### **DLA Piper represents Finisar in denial of class certification and dismissal of class action lawsuit**

30 MAY 2019

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DLA Piper represented optical communications company Finisar Corporation and its officers in a securities class action lawsuit filed in the US District Court for the Northern District of California, in which plaintiff claimed investors were misled by a statement made by a Finisar officer in December 2010 and purchased Finisar stock at an allegedly inflated market price.

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### **named to *Latinvex's* list of Latin America's Top 100 Female Lawyers**

23 MAY 2019

DLA Piper is pleased to announce that Kate Brown de Vejar and Lida Rodriguez-Taseff have been named to *Latinvex's* 2019 list of Latin America's Top 100 Female Lawyers.

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### **DLA Piper announces launch of Artificial Intelligence practice**

14 MAY 2019

DLA Piper announced today the launch of its Artificial Intelligence practice, which will focus on assisting companies as they navigate the legal landscape of emerging and disruptive technologies, while helping them understand the legal and compliance risks arising from the creation and deployment of AI systems.

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### **Mariana Lozza and Nicolas Teijeiro join DLA Piper Argentina**

14 MAY 2019

DLA Piper Argentina announced today the addition of Mariana Lozza as a partner in the International Arbitration practice and Nicolas Teijeiro as a partner in the Corporate Finance practice. Both will be based in the firm's Buenos Aires office.

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### **Carl Wessel joins DLA Piper's Litigation practice in Washington, DC**

22 APR 2019

DLA Piper announced today that Carl Wessel has joined the firm's Litigation practice as a partner in Washington, DC.

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### **DLA Piper represents Immunomedics in dismissal of class action lawsuit**

16 APR 2019

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### **DLA Piper announces partnership promotions for 2019**

1 APR 2019

DLA Piper is proud to announce that 77 lawyers have been promoted to its partnership. The promotions are effective as of April 1, 2019 in the United States and May 1, 2019 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 43 different offices throughout 20 countries.

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### **Kathleen Birrane named to the *Daily Record's* 2019 Top 100 Women list**

14 MAR 2019

DLA Piper is pleased to announce that Kathleen Birrane, a partner in the firm's Litigation practice and Insurance sector, has been named to the *Daily Record's* 2019 Top 100 Women list.

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### **Peter Karanjia joins DLA Piper's Telecom and Appellate practices in Washington, DC**

4 MAR 2019

DLA Piper announced today that Peter Karanjia has joined the firm's Telecom and Appellate practices as a partner in Washington, DC.

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### **DLA Piper announces launch of Blockchain and Digital Assets practice**

26 FEB 2019

DLA Piper announced today the launch of its Blockchain and Digital Assets practice, which will offer strategic advice on a global basis to address the needs of companies implementing blockchain technology solutions and creating and deploying digital assets.

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### **Stefanie Wayco joins DLA Piper's Litigation practice in Atlanta**

31 JAN 2019

DLA Piper announced today that Stefanie Wayco has joined the firm's Litigation practice as a partner in Atlanta.

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### **Former Delaware Attorney General Matt Denn joins DLA Piper's Litigation practice**

23 JAN 2019

DLA Piper announced today that Matt Denn, who has served as Delaware's attorney general since 2015, has joined the firm's Litigation practice as a partner in Wilmington.

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### **Terry Weiss joins DLA Piper's Litigation practice in Atlanta**

23 JAN 2019

DLA Piper announced today that Terry Weiss has joined the firm's Litigation practice as a partner in Atlanta.

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### **Kate Brown de Vejar joins DLA Piper as global co-chair of International Arbitration**

22 JAN 2019

DLA Piper announced today that Kate Brown de Vejar has joined the firm's Litigation practice as a partner in Mexico City and New York.

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### **Two DLA Piper lawyers listed in *D Magazine's* 2019 Best Lawyers Under 40 list**

8 JAN 2019

Crystal Woods and James C. Bookhout were recently named to *D Magazine's* 2019 Best Lawyers Under 40 list, which recognizes outstanding young lawyers in Dallas.

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### **Eric Falkenberry named a *Law360* Data-Driven Lawyer for 2018**

5 DEC 2018

Eric Falkenberry has been named a *Law360* Data-Driven Lawyer for 2018.

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### **DLA Piper and IKM Advocates secure victory for the Republic of Kenya defeating a US\$2 billion ICSID claim**

24 OCT 2018

In a decisive victory for the Republic of Kenya, DLA Piper and DLA Piper Africa member firm, IKM Advocates, have successfully defeated the claim estimated to be worth US\$2 billion in arbitration proceedings brought by a mining investor, Cortec Mining Kenya Limited. The claim was brought under the bilateral investment treaty (BIT) between the UK and Kenya dated 13 September 1999. The arbitration took place under the auspices of the World Bank's International Centre for Settlement of Investment Disputes (ICSID), with the hearing taking place in Dubai in January 2018. The Tribunal issued its award on 22 October 2018.

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### **DLA Piper secures summary judgment victory on behalf of well-known economist in fraud lawsuit**

12 OCT 2018

DLA Piper won summary judgment on behalf of well-known economist Dr. Arthur Laffer, one of multiple defendants in a lawsuit alleging securities fraud and numerous other claims, and seeking more than US\$30 million in damages.

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### **R. Matthew Hiller joins DLA Piper's Litigation practice in Chicago**

9 OCT 2018

DLA Piper announced today that R. Matthew Hiller has joined the firm's Litigation practice as a partner in Chicago.

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### **Ellen Dew named to the *Daily Record's* 2018 Leading Women list**

5 OCT 2018

DLA Piper is pleased to announce that Ellen Dew, an associate in the firm's Litigation practice, has been named to the *Daily*

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Record's 2018 Leading Women List.

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### **Daniel Tobey joins DLA Piper's Litigation practice in Dallas**

19 SEP 2018

DLA Piper announced today that Daniel Tobey has joined the firm's Litigation practice as a partner in Dallas.

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### **Brooke Kim and Evan Parness named *Law360* Rising Stars**

16 AUG 2018

DLA Piper is pleased to announce that *Law360* has named Brooke Kim and Evan Parness to its 2018 list of Rising Star attorneys.

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### **Angela Agrusa named to the *Los Angeles Business Journal* Top Litigators list**

15 AUG 2018

DLA Piper is pleased to announce that Angela Agrusa has been named as one of the Top Litigators by the *Los Angeles Business Journal*.

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### **Lida Rodriguez-Taseff joins DLA Piper's Litigation practice in Miami**

6 AUG 2018

DLA Piper announced today that Lida Rodriguez-Taseff has joined the firm's Litigation practice as a partner in Miami.

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### **Harout Samra of DLA Piper reappointed to Florida Third District Court of Appeal Judicial Nominating Commission**

1 AUG 2018

Harout Samra was reappointed by Florida Governor Rick Scott to serve on the Florida Third District Court of Appeal Judicial Nominating Commission for a second term.

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### **DLA Piper wins significant victory for New York University**

31 JUL 2018

DLA Piper won a significant victory for its client, New York University (NYU), in an Employee Retirement Income Security Act (ERISA)- related class action.

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## **DLA Piper and cooperative firm lawyers recognized by the Latin American Corporate Counsel Association**

17 JUL 2018

DLA Piper is pleased to announce that the Latin American Corporate Counsel Association have included eleven firm and cooperative firm attorneys on their list of Latin America's leading business lawyers.

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## **Eric Falkenberry named to the Fastcase 50 list**

12 JUL 2018

Eric Falkenberry was named to the "Fastcase 50" list which honors "the smartest, most courageous innovators, techies, visionaries and leaders in the law."

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## **Kristi Kung joins DLA Piper's Litigation and Healthcare practices in Northern Virginia and Washington, DC**

27 JUN 2018

DLA Piper announced today that Kristi Kung has joined the firm's Litigation and Healthcare practices as a partner in Northern Virginia and Washington, DC.

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## **Levi Heath joins DLA Piper's Litigation practice in Los Angeles**

19 JUN 2018

DLA Piper announced today that Levi Heath has joined the firm's Litigation practice as a partner in Los Angeles.

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## **Robby Robertson joins DLA Piper's Litigation practice in Chicago**

12 JUN 2018

DLA Piper announced today that J. Robert "Robby" Robertson has joined the firm's Litigation practice as a partner in Chicago.

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## **DLA Piper secures victory for WebSpectator**

24 MAY 2018

DLA Piper secured a victory this week in its representation of WebSpectator Corporation, a Santa Monica-based company that pioneered online analytics and attention ad space, as the plaintiff before the United States District Court for the Central District of California.

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## **Angela Agrusa named to the *Daily Journal's* Top Women Lawyers in California list**

2 MAY 2018

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DLA Piper is pleased to announce that Angela Agrusa, a Los Angeles-based partner in the firm's Litigation practice, has been named one of the Top Women Lawyers in California by the *Daily Journal*.

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### **Alva Mather joins DLA Piper's Litigation practice in Philadelphia**

30 APR 2018

DLA Piper announced today that Alva Mather has joined the firm's Litigation practice as a partner in Philadelphia.

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### **Angela Agrusa and Ann Lawrence named to *Los Angeles Business Journal's* 2018 Most Influential Women Attorneys list**

26 APR 2018

DLA Piper is pleased to announce that Los Angeles-based partners Angela Agrusa and Ann Lawrence, global co-chair of the firm's Retail Industry sector, have both been selected for the Los Angeles Business Journal's 2018 Most Influential Women Attorneys list.

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### **DLA Piper wins significant victory for Maryland Reclamation Associates**

17 APR 2018

DLA Piper won a significant victory for its client, Maryland Reclamation Associates, Inc.(MRA), in a case filed against Harford County, Maryland, in the Harford County Circuit Court.

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### **DLA Piper adds Jason Lewis and Jason Hopkins to Litigation practice in Dallas**

5 APR 2018

DLA Piper announced today that Jason Lewis and Jason Hopkins have joined the firm's Litigation practice as partners in Dallas.

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### **DLA Piper announces partnership promotions for 2018**

3 APR 2018

DLA Piper is proud to announce that 62 lawyers have been promoted to its partnership. The promotions are effective as of 1 April 2018 in the United States and 1 May 2018 for EMEA and Asia Pacific. The promotions were made across many of the firm's practice areas in 42 different offices throughout 20 countries.

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## **Rankings**

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- Named a "standout" firm for securities and finance litigation (BTI Litigation Outlook Report 2013, 2014, 2015)
  - Ranked #1 in Securities and White Collar by number of partners (*Law360* 2014)
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- Ranked #2 in the US for commercial law and contracts litigation, based on a review of public filings from Fortune 250 companies (*Corporate Counsel*)
- Dispute Resolution Team of the Year (Legal Business Awards)
- #1 Litigation practice by number of lawyers (*The American Lawyer* 2013)
- #1 in overall M&A deal volume and mid-market deal volume globally during 2014 (*Mergermarket* and *Thomson Reuters*)
- Named in the prestigious FT 40 as one of the most innovative North American law firms (*Financial Times* 2014)
- Named Law Firm of the Year (*Legal Business* 2014)
- Leading firm for international arbitration (*Global Arbitration Review's* 2013 GAR 30 rankings of the world's most active arbitration practices)
- Ranked #1 for US antitrust litigation, based on the number of cases in US federal district court during the prior two years (*Martindale-Hubbell*)
- Listed as one of the top Product Liability Groups of the Year (*Law360* 2013)
- More litigators based outside the US than any other firm on the AmLaw 100 List
- Global Real Estate Firm of the Year (*The International Who's Who of Business Lawyers* 2005-2013)
- Franchise Law Firm of the Year (*The International Who's Who of Business Lawyers* 2005-2013)
- Named International Law Firm of the Americas, for the fifth consecutive year (*International Tax Review* 2014)