



Nicoletta Alfano

Legal Director

nicoletta.alfano@dlapiper.com

Rome

T: +39 06 68 880 1

F: +39 06 68 880 201

Nicoletta Alfano's area of focus is in the asset management and financial services regulation, with a consolidated experience in assisting asset management companies, banks, financial intermediaries, investment firms, insurance companies, payment institutions and more generally, all the regulated entities, with regard to rules and regulations applicable to the relevant subjects (setting-up, governance structure and capital requirements, corporate matters, cross-border operations, etc.), typical activities (carrying out of collective management and investment services, etc.), products and customer relations (real estate funds, private equity funds, master-feeder funds, SICAF, innovative financial products, regulatory capital instruments, solvency margin instruments, derivatives for capital relief, complex insurance products, investment services rules of conduct, transparency of financial and insurance products, etc.).

- Corporate

- Italian

She has specific experience in the field of asset management; within this context, has provided her assistance in setting up of asset management companies and in establishing and launching investment funds (real estate funds, private equity funds, social housing, renewable energy, etc).

She has specific expertise in organizational and procedural requirements for asset management companies, banks and brokers, both with reference to specific procedures connected with typical activity of these subjects (for example, investment/disinvestment processes of asset management companies) and with reference to the process deriving from the application of the relevant rules (for example, anti-money laundering, MiFID, etc.).

She assists in the relationship with the competent Authorities.

Moreover, she assists corporate officers, asset managers and credit and financial intermediaries during administrative and judicial proceedings started by the supervisory authorities and aimed at the application of administrative sanctions.

LANGUAGES SPOKEN

- Italian

CREDENTIALS

Professional Qualifications

- Avvocato admitted to the Avellino Bar

Prior Experience

Before joining DLA Piper, Nicoletta worked for leading Italian law firms.

Education

-

INSIGHTS

Publications

Nicoletta is the author of a number of monographs and articles in the field of the regulations of the financial market.