



**Dr Emanuel H. F. Ballo**

**Partner**

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Dr Emanuel Ballo advises national and international companies on all aspects of white collar crime. He is experienced in defending companies against criminal allegations and coordinating the defence of employees as well as advising companies with regard to criminal offences affecting their organisations.

Emanuel has conducted numerous internal investigations to detect, prosecute and prevent irregularities within companies. A further focus of his work is advising companies on criminal compliance.

Emanuel Ballo is a member of the Board and the Anti-Money Laundering Supervision Department of the Frankfurt Bar Association and a lecturer at the EBS University of Economics and Law. He is also on the academic advisory board of the journal "Geldwäsche und Recht" ("Anti-Money Laundering and Law").

**LANGUAGES SPOKEN**

- German
- English

- Litigation, Arbitration and Investigations
- White Collar and Corporate Crime
- International Trade, Regulatory and Government Affairs

- Financial Services
- Life Sciences
- Media, Sport and Entertainment
- Real Estate

German English

- Advising a subsidiary of a DAX-30-company on criminal law and internal investigation in connection with bribery on the part of an employee of the client, and the bribery and industrial espionage by management bodies of a globally-operating foreign telecommunications group to the detriment of the client
- Advising the supervisory board of an automotive manufacturer on criminal law and compliance in connection with the "diesel matter"
- Advising an integrated service provider in the Energy sector on criminal law and defence of the company against the suspicion of bribery on the part of employees
- Advising one of the world's largest automotive suppliers on criminal law and internal investigation on suspected environmental crimes including the defence vis-à-vis the public prosecutor's office

- Advising an international pharmaceutical company on criminal law and conducting an internal investigation in connection with the suspicion of bid rigging and fraud by employees to the detriment of customers
- Advising a DAX-30-company on criminal law in connection with the suspicion of bribery on the part of an employee of the client, conducting an internal investigation and defending the client vis-à-vis the public prosecutor's office

*The above list includes matters completed whilst Emanuel was in his previous employ.*

## CREDENTIALS

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### Prior Experience

- 2019 - today: Partner at DLA Piper
- 2015 - 2019: Associated Partner at another leading law firm in Frankfurt/Main
- 2011 - 2015: Associate and Senior Associate at DLA Piper

### Recognitions

- *JUVE Handbook 2021/2022*: Up and coming for white-collar crime and criminal tax law; frequently recommended for compliance audits and white-collar crime: advice to companies; 2020/2021: "competent and pragmatic", competitor
- *Legal 500 Germany 2021*: Recommended for compliance, internal investigations and white-collar crime: advice to companies
- *Handelsblatt* in cooperation with *Best Lawyers 2022*: Recommended for criminal defense
- *Who's Who Legal*: "Leading lawyer under 45" and "Future Leader" and "up-and-coming star" respectively, who is "recognised internationally for his extensive experience in white-collar crime matters and investigations"
- Member of the teams that received the JUVE Award "Firm of the Year for Compliance Investigations" in 2012, 2016 and 2018
- *WirtschaftsWoche 2021*: Recommended as "top lawyer" for compliance

### Education

- University of Frankfurt am Main, First State Examination, 2007
- University of Leicester
- Higher Regional Court Frankfurt am Main, Second State Examination, 2011
- University of Frankfurt am Main, Ph.D, 2010

### Memberships

- German Defence Counsel Association
- White Collar Crime Organisation

## INSIGHTS

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### Publications

#### Germany - Whistleblowing Laws in Europe: An international guide

June 2021

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- Ballo/Sauerwein, From Crisis to Criminal Liability? On the Criminal Liability Risks for employees and Companies in connection with

Corona Relief Measures, in: COVuR 2021, p.200 et seq.

- Ballo/Schoop, Commentary on anti bribery laws and confiscation legislation (before sections 73 et seq., sections 73-76 b, 299, 331-334, 357 StGB), in: Nomos Kommentar, Arbeits- und Sozialstrafrecht, ed. by Parigger / Helm / Stevens-Bartol, 1st edition 2021
- Ballo, Compliance in medium-sized businesses - curse or blessing?, in Mittelstandsjahrbuch Accounting, Taxation & Law 2021/2022, ed. Hoffmann / Schlüter / Langenhorst, 2021 (p. 1 et seq.)
- Ballo/Skoupil, Conduct during and recommendations for searches, in Anti-Korruption Compliance, ed. by Busch LL.M. / Hoven / Pieth / Rübenstahl, 1st edition 2020 (chapter 24)
- Ballo/Skoupil, Cooperation with Investigative Authorities, in: Anti-Korruption Compliance, ed. by Busch LL.M. / Hoven / Pieth / Rübenstahl, 1st edition 2020 (chapter 30)
- Ballo, Commentary on Anti-Money Laundering laws (section 261 StGB), in: GwG, ed. by Zentes / Glaab, 2nd edition 2020
- Ballo/Schoop, Commentary on the anti bribery laws (section 20 Corruption), in: Münchener Anwaltshandbuch Verteidigung in Wirtschafts- und Steuerstrafsachen, ed. by Volk / Beukelmann, 3rd ed. 2020
- Ballo/Schoop, Corporate criminal law is approaching, in: Börsen-Zeitung, 2020
- Ballo/Schoop, Is the "criminal code" for companies coming? in: Handelsblatt, 2019
- Ballo/Skoupil, "Quick Savings" – a problem of criminal law on corruption?, NJW 2019, 1174 - 1178
- Commentary on Sec. 261 of the Criminal Code (money laundering), in: GwG, published by Zentes/Glaab, 1st edition 2018, pages 1024 - 1051
- The coalition agreement 2018 – Fresh impetus to the reform of the law of corporate sanctions, in: CB 2018, 189 - 193
- Commentary on the decision of the Braunschweig Regional Court of 21 July 2015 (protection against seizure), in: wistra 2016, 40 - 44
- Legal privilege in the context of internal investigation – On the scope and the limits of Sec. 160a of the StPO, in: NZWiSt 2013, 46 - 52

## Events

## Previous

### Webinar: The Lure of The East – Investment Opportunity and Risk for German Investors in Asia

21 September 2021  
Webinar

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### Pan-European Week: Crime made Clear – Navigating criminal and compliance risks

19 April 2021

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Besides his lectures at the university Emanuel Ballo regularly gives lectures and trainings for clients, associations and at conferences