



**Kevin R. Bettsteller**

**Partner**

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Kevin Bettsteller focuses on representing financial services companies, such as private equity, real estate, credit, venture capital and hedge fund sponsors and other investment advisers; REITs, registered investment companies, business development companies and their independent directors; SPACs and their sponsors; and broker-dealers.

- Finance
- Global Investment Funds
- Investment Regulations

He has significant experience advising clients on a wide variety of investment management and securities law matters, including the structuring, formation, offering and operation of investment funds and separately managed accounts; adviser registration and compliance; agreements with service providers; mergers and acquisitions; joint ventures; securities offerings; public reporting; preparing for and responding to SEC examinations; status issues under the Investment Company Act of 1940; and other ongoing regulatory, governance and strategic issues.

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## Admissions

- California
- Illinois

## Education

- J.D., University of Michigan Law School
- B.A., University of Michigan

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## Publications

## SEC issues risk alert on investment adviser compliance program issues

24 November 2020

Deficiencies in the areas identified by OCIE increase the risk of enforcement actions.

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## SEC adopts changes to "accredited investor" definition

1 September 2020

Notable changes and practical considerations.

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## SEC issues risk alert on private fund abuses

8 July 2020

Activity by the Enforcement Division tends to follow OCIE's leadership on issues of concern.

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## The place of private equity in a 401(k) plan's investment lineup

12 June 2020

The Letter offers a potential framework for private equity fund sponsors and defined contribution plan fiduciaries seeking to meet the diversified investment needs of plan participants.

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## Events

### Previous

#### International Financial Services Regulation: What will be big in 2021?

28 October 2020

Webinar

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## NEWS

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#### Ken Muller joins DLA Piper's global Investment Funds practice

8 November 2021

DLA Piper announced today that Ken Muller has joined the firm as a partner in the global Investment Funds practice.

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#### DLA Piper advises Tristar Acquisition I Corp. in US\$200 million IPO

15 October 2021

DLA Piper represented Tristar Acquisition I Corp. (NYSE:TRIS.U) in its initial public offering of 20,000,000 units at a price of \$10 per unit. Each unit consists of one share of the company's Class A common stock and one-half of one redeemable warrant. Each whole

warrant entitles the holder to purchase one share of Class A common stock at a price of \$11.50 per share.

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### **DLA Piper advises Denali Growth Partners in closing of US\$203 million growth equity fund**

30 March 2021

DLA Piper represented Denali Growth Partners in the close of its US\$203 million inaugural fund, Denali Growth Partners Fund I, L.P.

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### **DLA Piper advises EQ Health Acquisition Corp. in its upsized US\$220 million initial public offering**

11 February 2021

DLA Piper represented EQ Health Acquisition Corp. in its recent upsized initial public offering of 21,999,960 units at a price of \$10 per unit.

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### **DLA Piper advises Validity Finance in US\$100 million financing round**

30 July 2020

DLA Piper represented commercial litigation finance company Validity Finance in a recent US\$100 million financing round led by TowerBrook Capital Partners.

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### **Kevin Bettsteller joins DLA Piper's Finance practice in Los Angeles**

12 May 2020

DLA Piper announced today that Kevin Bettsteller has joined the firm's Finance practice as a partner in Los Angeles.

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