



Kevin R. Bettsteller

Partner

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Kevin Bettsteller focuses on representing financial services companies, such as private equity, real estate, credit, venture capital and hedge fund sponsors and other investment advisers; REITs, registered investment companies, business development companies and their independent directors; SPACs and their sponsors; and broker-dealers.

He has significant experience advising clients on a wide variety of investment management and securities law matters, including the structuring, formation, offering and operation of investment funds and separately managed accounts; adviser registration and compliance; agreements with service providers; mergers and acquisitions; joint ventures; securities offerings; public reporting; preparing for and responding to SEC examinations; status issues under the Investment Company Act of 1940; and other ongoing regulatory, governance and strategic issues.

- Finance
- Global Investment Funds
- Investment Management and Funds
- Investment Regulations

Admissions

- California
- Illinois

Recognitions

- *The Legal 500 United States*
2021 - Recommended, Investment Fund Formation and Management Private Equity Funds (including Venture Capital)

Education

- J.D., University of Michigan Law School
- B.A., University of Michigan

INSIGHTS

Publications

SEC proposes fundamental changes to private fund regulation

14 February 2022

The changes would impose a host of mandates on private fund advisers, including those not registered with the SEC.

SEC issues risk alert on investment adviser compliance program issues

24 November 2020

Deficiencies in the areas identified by OCIE increase the risk of enforcement actions.

SEC adopts changes to "accredited investor" definition

1 September 2020

Notable changes and practical considerations.

SEC issues risk alert on private fund abuses

8 July 2020

Activity by the Enforcement Division tends to follow OCIE's leadership on issues of concern.

The place of private equity in a 401(k) plan's investment lineup

12 June 2020

The Letter offers a potential framework for private equity fund sponsors and defined contribution plan fiduciaries seeking to meet the diversified investment needs of plan participants.

Events

Previous

International Financial Services Regulation: What will be big in 2021?

28 October 2020

Webinar

NEWS

Ken Muller joins DLA Piper's global Investment Funds practice

8 November 2021

DLA Piper announced today that Ken Muller has joined the firm as a partner in the global Investment Funds practice.

DLA Piper advises Tristar Acquisition I Corp. in US\$200 million IPO

15 October 2021

DLA Piper represented Tristar Acquisition I Corp. (NYSE:TRIS.U) in its initial public offering of 20,000,000 units at a price of \$10 per unit. Each unit consists of one share of the company's Class A common stock and one-half of one redeemable warrant. Each whole warrant entitles the holder to purchase one share of Class A common stock at a price of \$11.50 per share.

DLA Piper advises Denali Growth Partners in closing of US\$203 million growth equity fund

30 March 2021

DLA Piper represented Denali Growth Partners in the close of its US\$203 million inaugural fund, Denali Growth Partners Fund I, L.P.

DLA Piper advises EQ Health Acquisition Corp. in its upsized US\$220 million initial public offering

11 February 2021

DLA Piper represented EQ Health Acquisition Corp. in its recent upsized initial public offering of 21,999,960 units at a price of \$10 per unit.

DLA Piper advises Validity Finance in US\$100 million financing round

30 July 2020

DLA Piper represented commercial litigation finance company Validity Finance in a recent US\$100 million financing round led by TowerBrook Capital Partners.
