



Natalie Caton

Socia

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Natalie Caton advises multinational clients (operating across an array of sectors including energy and mining, financial services, agricultural / food and beverage, gaming and infrastructure) on how to proactively deal with a range of issues concerning corporate reputation and risk management, particularly with respect to cross-border and international business in emerging markets.

In this context, Natalie provides holistic, strategic advice to address corporate risk throughout the business cycle ranging from issue identification and pre-emptive risk management to contentious regulatory investigations and disputes. This includes undertaking risk assessments and devising risk mitigation strategies, the development, implementation and monitoring of practical and effective compliance programmes, advising on approaches to corporate and executive (director and officer) reputation protection, regulator liaison expertise and internal communication methodologies with respect to:

- Media, Sport, Gaming and Entertainment
- Energía
- Servicios bancarios y financieros

- Anti-money laundering
- Bribery and corruption
- Modern slavery
- Sanctions
- White collar crime including financial crime and fraud
- More generally corporate compliance and business ethics

Natalie has practised law in Australia, Hong Kong and New Zealand - giving her unique insight into the complexities of doing business in the Asia Pacific region and other emerging markets (including on the ground experience in notable jurisdictions such as Papua New Guinea).

Natalie also specialises in general commercial dispute resolution - frequently advising clients on large scale, complex commercial litigation and arbitration covering a wide range of areas including corporate contractual disputes, multi-jurisdictional, cross border matters, professional negligence claims, financial services litigation and regulatory investigations.

- Advising client in the gaming sector on the development of its anti-bribery and corruption programme, including carrying out a worldwide risk assessment and surveying a cross sectional of the company's employees and contractors, preparing programme implementation time line including training, preparation of policies and communications plan
- Acting for Flight Centre in proceedings commenced by the Australian Competition and Consumer Commission in relation to allegations concerning attempted price fixing
- Advising a Hong Kong listed company in relation to investigations by the Hong Kong Securities and Futures Commission for suspected insider dealing in the shares of the listed company
- Acting for the special purpose liquidators of Queensland Nickel Pty Limited in relation to the public examinations of the directors and officers of the company and related litigation
- Working with a multinational FMCG through the implementation stage of its anti-bribery programme including preparation of relevant policy documents and contractual protections, determining reporting lines and delivering training to the Board, senior management and employees working in high risk areas
- Preparing anti-bribery and anti-corruption policy for Australian based logistics company investing in emerging markets
- Advising a Singaporean based joint venture entity with respect to anti-bribery and corruption issues arising in relation to its potential shareholding in a Hong Kong Special Purpose Vehicle investing in project companies in Mainland China
- Advising the New Zealand arm of an international bank in connection with the misplacement of funds during the course of an international telegraphic transfer.
- Advising on a multi-faceted, high value contractual dispute concerning the operation of a coal terminal in North Queensland
- Advising Big Four accountancy firms in relation to (a) a professional negligence claim arising out of certain tax advice the firm had provided to an overseas consortium of investors, (b) a dispute arising out of its role as the Inspector of the New Zealand Stock Exchange and (c) a multi-million dollar professional negligence claim which arose as a result of what is reputed to be the largest corporate collapse in Hong Kong's history

CREDECIALES

Cualificaciones profesionales

- Solicitor of the Supreme Court of Queensland
- Solicitor of the High Court of Australia
- Solicitor of the High Court of Hong Kong
- Barrister and Solicitor of the High Court of New Zealand

Reconocimientos

- Received 'Individual Mentions' for Litigation and Financial Services Regulatory in *Legal500 Asia Pacific*, 2021
- Recognised for Alternative Dispute Resolution in the Brisbane market - *Best Lawyers in Australia*, 2022
- Recognised as 'One to watch' for Alternative Dispute Resolution in the Brisbane market - *Best Lawyers in Australia*, 2021
- Recognised as a 'Recommended White Collar Crime, Corporate Crime and Regulatory Investigations Lawyer - Australia' by *Doyles Guide* 2019
- Recognised as a 'Leading Commercial Litigation & Dispute Resolution Lawyer - Queensland' by *Doyles Guide* 2018
- Natalie was one of 100 women worldwide selected for inclusion in *Global Investigation Review's* survey "Women in Investigations" (April 2015).

Formación

- Bachelor of Laws, University of Waikato, 1996-2000
- Bachelor of Social Sciences, University of Waikato, 1996-2000

Asociaciones profesionales

- Queensland Committee of Transparency International: 2013 to present
- Law Council of Australia Foreign Corrupt Practices Working Party
- The Law Society of Queensland
- The Law Society of Hong Kong

NOVEDADES

Publicaciones

Australia - Global bribery offenses guide

11 January 2022

An update from Down Under on whistleblower laws

5 October 2021

Australia's stringent whistleblower protections have led to a tenfold increase in whistleblower reports which result in internal investigations.

Anti-slavery and human rights obligations on corporations: impact on supply chains

5 August 2021

The past few years have seen rapid development in legislation targeting modern slavery and forced labor in various developed economies. This trend will only accelerate as multinationals increasingly focus on their sustainability and ESG goals.

Away with anonymous shell companies for good?

12 March 2021

On 24 February 2021, Transparency International submitted a global petition to the UN General Assembly preparing for the United Nation's first ever Special Session against Corruption, UNGASS 2021, which will take place in June 2021.

Corruption Perceptions Index 2020 – an Asia Pacific perspective

11 February 2021

Late last week, Transparency International released its 2020 Corruption Perception Index (CPI), which ranks 180 countries by their levels of perceived corruption on a scale of zero to 100 (zero being very corrupt and 100 being very clean).

ESG in 2020: What African resources-developers should do to prepare

11 August 2020

Africa Connected

The risks inherent in sourcing and bringing to market a diverse range of mineral commodities has meant that the natural resources industry has always been particularly exposed to environmental, social and governance issues, particularly in Africa.

